



**21st International TOVS Study Conference**  
**Darmstadt, Germany**  
**29 November – 5 December 2017**

**Abstracts**

**Session 1a: Community software – session dedicated to Paul van Delst**

**1.01 CRTM development status and future plans**

*Presenter: Benjamin Johnson, UCAR/JSCDA @NOAA*

*Authors: Benjamin T. Johnson, Tong Zhu, Ming Chen, Thomas Auligne, Quanhua Liu, Fuzhong Weng, Ping Yang, Bingqi Yi, Jiachen Deng, Patrick Stegmann, David Groff, Isaac Moradi, Fuqing Zhang, Scott Sieron, Thomas Greenwald, James Rosinski*

The development of the Community Radiative Transfer Model (CRTM) and its subsequent improvements has created a critically important method for NWP models to assimilate satellite measurements made under all weather conditions. The CRTM was primarily developed by STAR and EMC scientists with the support of the Joint Center for Satellite Data Assimilation (JCSDA) to provide fast, accurate satellite radiance simulations and associated Jacobian calculations under all weather and surface conditions, CRTM supports all current operational and many research satellite measurements, covering wavelengths ranging from the visible through the microwave. The model has undergone substantial improvement and expansion, since the first version released in 2004.

The CRTM has been used in the NOAA/NCEP and U.S. Navy operational data assimilation systems and by many other JCSDA partners such as NOAA/NESDIS/STAR, NOAA/OAR, NASA/GMAO, Naval Research Laboratory, Air Force Weather, and within multiple university environments. Over the past 13 years, external research groups and operational centers alike have made essential contributions to CRTM development.

This presentation provides an overview of the current status of CRTM version 2.3.0; and also describes the future planned release of CRTM version 3.0.0, which will represent a major milestone in CRTM development and capabilities.

**1.02 Community Radiative Transfer Model (CRTM) applications to support sensor Cal/Val and EDR generations**

*Presenter: Quanhua (Mark) Liu, NOAA/NESDIS/STAR*

*Authors: Quanhua (Mark) Liu, Benjamin Johnson, Tong Zhu, Ming Chen, Yong Chen, Lin Lin, and Fuzhong Weng*

The Community Radiative Transfer Model (CRTM) operationally supports satellite radiance assimilation for weather forecast, sensor data verifications, and retrievals of satellite products. This presentation will discuss recent improvements of the CRTM and the applications in support of instrumental calibration and validation.

The CRTM serves as a useful tool, greatly support sensor calibration and validation for JPSS and GOES-R missions. By subtracting CRTM simulated radiance from the ATMS measurements, one can reveal and analyze the weak striping in few channels of the SNPP ATMS sensor. With the help of the CRTM, scientists at the NOAA have developed the algorithm for cloud detection based on a pair or a set of pairs of the CrIS channels.

Using the CRTM, we found the root cause in the VIIRS M15 image. The CRTM is also used in radiance monitoring system. This presentation will also demonstrate how the CRTM plays a role in the NOAA Microwave Integrated Retrieval System (MiRS) for the generation of atmospheric profiles of temperature and water vapor, cloud liquid water, ice water content, rainfall rate, snow cover and snow water equivalent, snow fall rate, surface temperature and microwave emissivity, and sea ice concentration.

### **1.03 RTTOV development status**

*Presenter: James Hocking, Met Office*

*Authors: Pascal Brunel, John Eyre, Alan Geer, Stephan Havemann, James Hocking, Cristina Lupu, Marco Matricardi, Pascale Roquet, David Rundle, Roger Saunders, Emma Turner, Jérôme Vidot*

A new major release of RTTOV, v12.1, was made available to users in February 2017. The new package includes various developments. New visible/IR coefficients have been generated based on updated trace gas training profiles, and coefficient files are also available allowing SO<sub>2</sub> as a variable gas. A new Discrete Ordinates solver for visible/IR aerosol- and cloud-affected radiances has been implemented, and there are updated visible/IR optical properties for ice clouds. There are numerous updates related to the treatment of surface emissivity and reflectance. The non-local thermodynamic equilibrium (NLTE) correction has been updated and new PC-RTTOV coefficients are available optionally including the NLTE correction. The C++/Python wrapper has been updated so that all direct and K (Jacobian) model clear-sky and scattering capabilities are supported. Finally, it is also now possible to call the HT-FRTC fast radiative transfer model through the RTTOV interface. An overview of the new capabilities will be presented along with a look ahead to planned developments for future versions.

### **1.04 CSPP LEO: Recent updates and support for JPSS-1**

*Presenter: Liam Gumley, SSEC/UW-Madison*

*Authors: Liam Gumley, Allen Huang, Scott Mindock, Graeme Martin, Ray Garcia, Kathy Strabala, Jessica Braun, Nick Bearson, Geoff Cureton, Jim Davies*

The CSPP LEO software suite supports processing of data obtained via direct broadcast from SNPP, JPSS-1, Metop-A/B, NOAA-18/19, Terra, Aqua, GCOM-W1, and FY-3B/C. Products include Level 1 (SDRs) from ATMS, CrIS, and VIIRS; atmosphere, land, and ocean geophysical products from imagers and sounders on multiple satellites; and imagery from multiple Level 1 and geophysical datasets. Recent additions to the suite include support for JPSS-1 ATMS, CrIS, and VIIRS, and the addition of full spectral resolution processing for CrIS on both SNPP and JPSS-1. New Level 2 products include a NOAA algorithm for VIIRS active fire detection, and VIIRS flood detection. This presentation will report on the current status of the CSPP suite and describe the support for JPSS-1 processing, along with examples of the new VIIRS fire and flood detection products.

## **Session 1b: Community radiative transfer software**

### **1p.01 Withdrawn**

### **1p.02 Radar simulation in CRTM**

*Presenter: Benjamin Johnson, UCAR/JSCDA @NOAA*

*Authors: Benjamin T. Johnson*

The current version of CRTM has the ability to quickly and accurately forward model the passive IR and microwave response to the atmosphere and surface. However, many research and operational centers seek to make better use of active radar data, both ground-based and space-based, in order to better improve on the modeling/assimilation of the complex 3D structure of clouds and precipitation.

This poster describes recent advances in the ability of CRTM to forward model the radar reflectivity and path-integrated attenuation and provides associated tangent linear, adjoint, and K-matrix models. This development is expected to provide an initial capability to directly assimilate radar reflectivities from both satellite and ground-based systems in any assimilation framework using CRTM. It also provides support for non-spherical hydrometeor scattering and extinction calculation. No polarization-by-scattering is included yet, only intensity.

Specific case studies for forward modeling and assimilation will be shown, highlighting the strengths and weaknesses of this new implementation. Also, a section of the poster will highlight the improvements in the cloud and precipitation hydrometeor properties within CRTM.

### **1p.03 RTTOV GUI, the graphical user interface for RTTOV**

*Presenter: Pascale Roquet, Météo-France*

*Authors: Pascal Brunel, James Hocking, Tony MacNally, Jean-Luc Piriou, Pascale Roquet, Jérôme Vidot*

RTTOV GUI is the graphical user interface for RTTOV, the fast radiative transfer model developed in the context of the EUMETSAT NWP-SAF project. Designed for educational purposes, this graphical user interface

can be used by researchers, teachers and students to learn about radiative transfer. Users can visualize atmospheric profiles and surface properties, simulate and examine top of atmosphere brightness temperatures and radiances as well as Jacobian profiles for all satellite instruments supported by RTTOV. Users can also modify atmospheric profiles and surface properties, add or remove clouds and aerosols and compare RTTOV simulations. Furthermore, it is possible to use the included 1D-Var feature to visualize temperature and humidity profile retrievals.

The poster presentation will be supported by a live demo of RTTOV GUI.

#### **1p.04 Modeling of nonlocal thermodynamic equilibrium effects in the classical and principal component based version of the RTTOV fast radiative transfer model**

*Presenter: Marco Matricardi, ECMWF*

*Authors: Marco Matricardi, Manuel Lopez Puertas and Bernd Funke*

The ECMWF 4D-Var data assimilation system has been recently modified to allow the direct assimilation of Principal Component (PC) scores of long-wave IASI spectra. To maximise the exploitation of the IASI instrument, a logical future step is to consider the extension of the PC approach to the extraction of information from the 4.3  $\mu\text{m}$  CO<sub>2</sub> absorbing region, which contains excellent temperature sounding channels. Short-wave IASI channels are currently underused compared to similar channels in the long-wave region for a number of reasons, which include day-night variations in data usability due to departures from Local Thermodynamic Equilibrium (LTE) during daytime. In this poster, we document the introduction of Non Local Thermodynamic Equilibrium (NLTE) effects in the PC based version of the RTTOV fast radiative transfer model (PC-RTTOV). This will allow the use of PC-RTTOV for the simulation of daytime IASI short wave PC scores or reconstructed radiances. The inclusion of NLTE effects in PC-RTTOV has required the development of a parameterized scheme that allows the fast computation of a NLTE correction to LTE radiances. The fast NLTE model is based on the use of state-of-the-art vibrational temperatures and is general enough to be applied to any sensor and can be utilised to add a fast and accurate NLTE correction to the polychromatic LTE spectra computed by any general radiative transfer model, including RTTOV, which now incorporates the fast NLTE model developed in this study. The accuracy of the NLTE correction is such that daytime and night-time radiances can be simulated to almost the same degree of accuracy. The comparison with IASI observations shows that the fast NLTE model presented here performs significantly better than the fast NLTE model incorporated in the previous version of RTTOV but also that improvements have to be made to the simulation of NLTE effects at winter high latitudes.

#### **1p.05 Evaluation of the radiative transfer model RTTOV-12 at ECMWF**

*Presenter: Cristina Lupu, ECMWF*

*Authors: Cristina Lupu, Marco Matricardi, Alan Geer*

The use of satellite radiance observations in NWP depends directly on the accuracy of the radiative transfer model, RTTOV, developed within the context of EUMETSAT NWP-SAF activity. This study summarises the evaluation in the IFS of the very latest release of the radiative transfer model, RTTOV-12 with updated surface emissivity models and coefficient databases. The latter includes a move towards using LBLRTM-based coefficients for all infrared sensors and coefficients which better describe the half width of the 183 GHz water vapour line (and its temperature dependency) and with updated values for the oxygen line parameters for microwave sensors. Results show that the new coefficients mainly alter bias characteristics of the assimilated radiances, with one of the largest changes for infrared radiances (e.g., IASI) due to the move to LBLRTM12.2-based RTTOV coefficients from kCARTA-based coefficients. Assimilation experiments conducted in the IFS to evaluate the impact of all components of the RTTOV-12 upgrade show improvements in terms of first-guess departure fits for 183 GHz humidity sensitive observations (e.g., MHS) and lower tropospheric temperature sensitive channels (e.g., ATMS). Studies are ongoing to investigate the performance on medium-range forecasts, and the latest results will be discussed in the poster.

### **Session 1c: Community software**

#### **1.05 NASA International MODIS/AIRS Processing Package (IMAPP): Current status and future plans**

*Presenter: Kathleen Strabala, CIMSS/SSEC/UW-Madison*

*Authors: Kathleen Strabala, James Davies, Rebecca Cintineo, Liam Gumley, Allen Huang*

NASA's commitment to support global operational environmental satellite users has resulted in the sponsorship of the International MODIS/AIRS Processing Package (IMAPP) for more than 17 years. Since its

inception, IMAPP has promoted the local real-time use of Aqua and Terra direct broadcast data through the release of software packages that support the AIRS and MODIS calibration, geolocation and creation of science products for environmental decision makers.

The IMAPP user base now consists of more than 2400 registrants representing more than 90 countries. The extensive suite of supported products now includes MODIS Level 2 Collect 6.1 Atmosphere science software, AIRS Collect 5.0 Level 1 and 6.0 Level 2 software from JPL, two different Air Quality Forecast applications including aerosol pollution trajectories based upon the MODIS AOD MOD04 product, and Stratospheric Ozone Intrusions based upon a University of Wisconsin-Madison AIRS single Field-of-View AIRS retrieval. A set of aviation hazard detection application software which includes lightning and turbulence areal coverage. Other packages include a complete Aqua and Terra direct broadcast processing system that allows users to take advantage of the entire suite of software freely available to the user community. This package is released in the form of a Virtual Appliance that allows installation on Windows, Macintosh and Linux operating systems.

IMAPP also fosters the education of satellite users through local direct broadcast application workshops. Partnering with organizations including the WMO, IGARSS and GEOSS, IMAPP has co-sponsored 16 local workshops on 6 continents.

This presentation will include a review of the current status of the IMAPP software suite along with scheduled near-term releases, with a focus on expansion of software to support NASA VIIRS, CrIS and ATMS products on S-NPP and JPSS-1 satellites.

#### **1.06 NWP SAF software deliverables: 2017 to 2022**

*Presenter: Nigel Atkinson, Met Office*

*Authors: Nigel Atkinson and Chiara Piccolo*

The NWP SAF (Satellite Application Facility) aims to improve and support the interface between satellite data/products and European activities in NWP. The NWP SAF is one of several EUMETSAT SAFs, which are dedicated centres of excellence for processing satellite data and form an integral part of the distributed EUMETSAT Application Ground Segment.

The third Continuous Development and Operations Phase (CDOP-3) of the NWP SAF started in March 2017 and runs for 5 years. In addition to monitoring and support functions, the NWP SAF develops and maintains a number of software deliverables, including AAPP, RTTOV, 1DVAR, scatterometer packages, an aerosol and cloud package and a radiance simulator. During CDOP-3, two new software packages will be developed: a Microwave Imager Processing Package (MWIPP) and an Infrared Sounder Processing Package (IRSPP).

The IRSPP will support the hyperspectral sounder on Meteosat Third Generation. Its primary function will be to provide tools to allow users to work with the near-real time data that will be distributed by EUMETSAT, in which the radiances will be compressed using Principal Component (PC) Scores.

The MWIPP will be partly based on the current SSMIS processor, but extended to include several additional sensors – including the microwave imagers on Metop Second Generation, as well as current sensors like SSMIS and AMSR-2. Support for the Microwave and IR sounders on Metop-SG will be included within AAPP.

The NWP SAF seeks to gather input on user requirements for all its packages, but this is particularly true at this time for the two new packages. For example: (i) what instruments should be covered, (ii) what data formats need to be supported (BUFR, NetCDF4, etc.), (iii) what pre-processing is needed (e.g. spatial averaging, PC transformation, etc.).

It is hoped that this talk will prompt discussion in the ITSC-21 working groups, and that the groups will make recommendations which can then be used to develop the product specifications for both the new software packages and the existing ones.

### **Session 1d: Community software and direct broadcasting**

#### **1p.06 CSPP SDR 3.0 support for JPSS-1**

*Presenter: Scott Mindock, SSEC/CIMSS/UW-Madison*

*Authors: Scott Mindock, Graeme Martine, Ray Garcia, Kathy Strabala, Nick Bearson, Liam Gumley, Allen Huang*  
The CSPP SDR team at the University of Wisconsin has been hard at work adding support for JPSS-1 to the CSPP SDR software. CSPP (Community Satellite Processing Package) SDR software has been proving users with dependable SDR generation from SNPP Direct Broadcast data since its initial launch. The SDR 3.0 software will continue to support SNPP but additionally will support JPSS-1 in one easy to use software package. This poster describes the challenges as well as new features (CrIS FS) to this popular processing suite.

#### **1p.07 Himawari support in the CSPP-GEO Direct Broadcast package**

*Presenter: Geoff Cureton, CIMSS/UW-Madison*

*Authors: Geoffrey Cureton*

The Cooperative Institute for Meteorological Satellite Studies (CIMSS) has a long history of supporting the Direct Broadcast (DB) community for various sensors, recently with the International MODIS/AIRS Processing Package (IMAPP) for the NASA EOS polar orbiters Terra and Aqua, and the Community Satellite Processing Package (CSPP) for the NOAA polar orbiter Suomi-NPP. CSPP has been significant in encouraging the early usage of Suomi-NPP data by US and international weather agencies, and it is hoped that a new package, CSPP-GEO, will similarly encourage usage of DB data from GOES-R, Himawari, and other geostationary satellites. The support of Himawari-8 provides several challenges for the CSPP-GEO-Geocat package, which generally revolve around the greatly increased data rate associated with the subsatellite point footprint approaching 1km. CSPP-GEO-Geocat takes advantage of python shared-memory multiprocessor support to divide Himawari data into manageable pieces, which are then farmed out to individual cores for processing by the underlying geocat code. The resulting product segments are then stitched together to make the final product NetCDF4 files. CSPP-GEO-Geocat will support high-data-rate HRIT input, as well as the reduced resolution HimwariCast direct broadcast data stream. Products supported by CSPP-GEO-Geocat include the level-1 reflective and emissive bands, as well as level-2 products like cloud mask, cloud type, optical depth and particle size, cloud top temperature and pressure.

#### **1p.08 CSPP Geo direct broadcast software for GOES-16 and Himawari-8: Project overview and lessons learned**

*Presenter: Graeme Martin, SSEC/UW-Madison*

*Authors: Graeme Martin, Liam Gumley, Nick Bearson, Jessica Braun, Alan DeSmet Geoff Cureton, Ray Garcia, Tommy Jasmin, Scott Mindock, Eva Schiffer, Kathy Strabala*

The Community Satellite Processing Package for Geostationary Data (CSPP Geo) project is funded by the NOAA GOES-R Program to create and distribute software allowing direct broadcast users to process data received from geostationary weather satellites. In the period leading up to the launch of GOES-R (now GOES-16) in November 2016, the CSPP Geo team released several prototype versions of software packages intended to help prepare direct broadcast users for reception of GOES-16 data via the GOES Rebroadcast (GRB) data stream. Since the launch of GOES-R, we have released multiple versions of software that allows users to process the raw GRB stream and generate imagery and geophysical data products. In addition, we have released software supporting the Himawari-8 mission, and interacted with users in the Asia-Pacific region. Both GOES-R and Himawari-8 are part of a new generation of weather satellite missions offering significantly enhanced capabilities, and thus pose a significant new challenge from a processing perspective due to the much higher data rate. In this talk, we provide an overview of the CSPP Geo project and discuss lessons learned while developing direct broadcast software for the GOES-16 and Himawari-8 missions, and through interactions with users.

#### **1p.09 CSPP VIIRS flood detection**

*Presenter: Nick Bearson, SSEC/UW-Madison*

*Authors: Nick Bearson, Sanmei Li, Liam Gumley, Mitch Goldberg*

Floods are the most frequent natural disasters around the globe. They have caused more loss of life and property in the USA than any other kind of natural disaster. Detecting, monitoring, and mitigating flooding is a challenge that requires a large network of river gauges, human observations, and other data points.

Fortunately, VIIRS is uniquely suited to fill in the gaps. The JPSS Proving Ground & Risk Reduction Program's Proving Ground Initiative for River Ice and Flooding has produced algorithms to detect river ice and flooding. The software runs routinely at SSEC and GINA, which have access to direct broadcast SNPP/VIIRS data, to generate near real-time flood maps for River Forecast Centers in the United States. Their positive response has led NOAA to investigate putting the software into their regular operations.

In the meantime, CSPP has created the CSPP VIIRS Flood Detection package so that other direct broadcast users can produce the same products and create similar partnerships with their local flood managers. In this presentation we introduce the software, answer questions about the implementation, and invite user feedback.

#### **1p.10 Withdrawn**

#### **1p.11 Planned updates to the STAR BUFR and GRIB tailoring system for satellite operational products**

*Presenter: Walter Wolf, NOAA/NESDIS/STAR*

*Authors: Walter Wolf, Qiang Zhao, and Thomas King*

A tailoring software system that converts satellite operational products into Binary Universal Form for the Representation of meteorological data (BUFR) and GRidded Binary Edition 2 (GRIB2) formatted files has been implemented at NOAA/NESDIS/STAR. This Reformatting Toolkit converts the products from the NPOESS Preparatory Project (NPP)/Joint Polar Satellite System (JPSS), the Global Change Observation Mission 1st - Water (GCOM-W1) Advanced Microwave Scanning Radiometer 2 (AMSR2), the Japanese next generation Himawari-8/9 Advanced Himawari Imager (AHI), and the Geostationary Operational Environmental Satellite data into BUFR and GRIB2 files. The toolkit is running in the NPP Data Exploitation (NDE) system within NOAA/NESDIS/OSPO. OSPO is distributing these tailored products to the NOAA Environmental Modeling Center (EMC) and the European Organisation for the Exploitation of Meteorological Satellites (EUMETSAT) in near real-time. With the upcoming launch of J1, the toolkit has already been updated for the creation of the JPSS-1 Cross-track Infrared Sounder (CrIS) radiances and Advanced Technology Microwave Sounder (ATMS) antenna temperatures. Additional planned updates to the toolkit include the VIIRS Aerosol Detection BUFR, Ozone Mapping Profiler Suite (OMPS) Limb Profile BUFR, GOES-16 clear and all sky radiances in BUFR, and the VIIRS Land Surface Temperature in GRIB2 format. The planned BUFR and GRIB file contents for each instrument are provided and discussed.

#### **1p.12 Contributions of DBNet South America-Argentina component for NWP community**

*Presenter: Gloria Cristina Pujol, Argentina National Met. Service*

*Authors: Gloria Cristina Pujol*

DBNet is a network of Direct Readout stations sharing their data in near real time following a set of procedures and best practices to ensure interoperability, global availability and timeliness of DBNet products. The key requirements are the use of current AAPP, the delivery of L1c in BUFR, sending over the GTS (and possibly other means) within 30 minute latency. Identifiers are the Bulletin headings and WMO filename convention.

DBNet South America-Argentina Component are comprise of:

-Córdoba Ground Station is located in the center of Argentina, is operated by Argentina Space Agency (CONAE). As main node for this Component, it is operational since May 2008, processing and distributing ATOVS data and hyperspectral sounders: NOAA/ATOVS, METOP-B/ATOVS, METOP/IASI, S- NPP/CrIS and S- NPP/ATMS.

-Marambio station in Antarctica peninsula, operated by Argentina National Met. Service

-Santiago station (Chile) operated by Chile National Met. Direction.

Both stations contribute with ATOVS data.

-Isla de Pascua station (Easter Island) located in the Southwest Pacific, is operational since August 2015 by agreement between CLS-Argos and Chile, having the ability to extract the ATOVS data, but not their delivery, due to restrictions on communication link with the continent.

Direct Readout stations performance of that Component, as well as processing and dissemination schemes of ATOVS data and hyperspectral sounder products, are shown in the presentation.

#### **1p.13 Current status and future plan of direct-readout LEO weather satellite operation in NMSC/KMA for supporting NWP**

*Presenter: Jin Woo, NMSC/KMA*

*Authors: Jin Woo, DaHye Bae, Hyunjong Oh, Yongsang Kim*

Nowadays LEO (Low Earth Orbit) weather satellite data is used as a key product in various fields. National Meteorological Satellite Center (NMSC)/Korea Meteorological Administration (KMA) is also utilizing these data for supporting weather forecast analysis and NWP model input. NMSC is receiving LEO weather satellite data directly such as MetOp-A/B, NOAA-15/18/19, Suomi-NPP, Terra/Aqua etc. ATOVS and IASI data is utilized for NWP data assimilation and we are preparing to use ATMS and CrIS for the local NWP model in KMA NWP team. NMSC is processing these data in real time by using AAPP(ATOVs and AVHRR Pre-processing Package), CSPP(Community Satellite Processing Package) and IAPP(International ATOVS Processing Package) and providing for users. NMSC is started DB-Net service for direct-readout IASI data since March 2017 for the first time Asia-Pacific region and preparing CrIS and ATMS data. In this paper, we will present KMA's LEO weather satellite operation status and future plan.

#### **1p.14 Aqua and Terra Direct Broadcast processing at CIMSS/SSEC**

*Presenter: Jessica Braun, SSEC/UW-Madison*

*Authors: Jessica Braun, Liam Gumley, Kathy Strabala, Bruce Flynn*

The Direct Broadcast (DB) group at CIMSS/SSEC has been processing MODIS, AIRS, and AMSU data from Aqua and Terra direct broadcast data for over 10 years. The processing system uses an overpass prediction method to merge collocated Level 0 PDS files ingested from multiple DB sites across the United States. The resulting PDS files have more extensive coverage and higher quality of data, as the majority of dropouts and bad packets are removed. The merged passes are processed into Level 1 and Level 2 products and distributed to operational sites including the National Weather Service (NWS) and NOAA CoastWatch. With the success of the MODIS, AIRS, and AMSU merged pass processing method, development is in progress to extend this merged method to other DB data including Suomi NPP.

### **1p.15 NOAA DB Network: Providing advanced sounder data in near real-time for NWP**

*Presenter: Liam Gumley, SSEC/UW-Madison*

*Authors: Liam Gumley, Mitch Goldberg, Bruce Flynn, Dave Santek, Jessica Braun*

SSEC at UW-Madison owns and operates a network of 5 polar satellite reception sites in Guam, Hawaii, Wisconsin, Florida, and Puerto Rico. Funding for this network was provided by NOAA JPSS in order to provide low latency advanced sounder data from CrIS, ATMS, and IASI for NWP assimilation. Sounder data from the SSEC/NOAA sites, along with data obtained from network partner sites in Alaska, Oregon, California, New York, and Virginia, are ingested at SSEC, processed to Level 1B, and converted to DBNet BUFR format with CSPP, AAPP, and OPS-LRS. The BUFR data are posted for delivery to end users typically within 15 minutes of observation on the satellite. NOAA/NWS/NCEP routinely ingests the BUFR files for NWP assimilation. Starting in mid 2017, EUMETSAT began ingesting the BUFR files and rebroadcasting them on EUMETCAST as a pilot service under an agreement between NOAA and EUMETSAT. Finally, NOAA is preparing to distribute the files via GTS. This presentation will provide an overview of the network technical design, data latency, and data delivery. The presentation will also show a number of other real-time applications of imager and sounder products at the SSEC/NOAA antenna sites for weather forecasting and decision support.

## **Session 2a: Radiative transfer**

### **2.01 TIR and SWIR Level1 and Level2 products validation: A deeper insight to updates of the 4A line-by-line radiative transfer model**

*Presenter: Raymond Armante, LMD*

*Authors: R. Armante, V. Capelle, N.A. Scott, F. Capalbo, L. Crépeau, T. Delahaye, A. Chédin*

4A is a fast and accurate line-by-line (LBL) radiative transfer model developed at LMD (Scott and Chédin, 1981). 4A was among the pioneer radiative transfer models to bypass LBL processing time by calculating once and for all a set of compressed Look-Up-Tables (LUT) of monochromatic optical depths, for each absorbing gas and each layer, separately. The LUT are generated by the nominal LBL STRANSAC model (Scott, 1974) fed with any spectroscopic database (GEISA, HITRAN, ...). 4A generates transmittances, radiances, Jacobians (Chérut et al., 1995) for any instrumental, spectral, geometrical configuration. 4A has a long history of validation in the TIR within the frame of the international radiative transfer community. Its efficiency and accuracy have made 4A an essential way to level2 products retrieval: either based on physical approaches – the “3I” method (from Chédin et al., 1985, onwards) - or on Look-up-Tables approaches (from Pierangelo et al., 2004 to e.g. Capelle et al., 2014) or on neural networks approaches (from Escobar, 1993 to e.g. Crevoisier et al., 2013). –[See also: <http://ara.abct.lmd.polytechnique.fr/index.php?page=publications>].

Originally developed for the thermal infrared region, the 4A model has been extended to the short-wave infrared region in the framework of new missions proposed by CNES and is currently extended to the Ultra Violet and Visible domains. 4A/OP is the official model chosen by CNES for calibration/validation activities of IASI, IASI-NG, Merlin (<https://merlin.cnes.fr>) and MicroCarb (<https://microcarb.cnes.fr>) missions.

All the above mentioned retrieval applications or mission preparations require continuous and robust assessment of the 4A model accuracy, followed by feedbacks to the STRANSAC and/or 4A radiative transfer modeling and/or feedback to the GEISA spectroscopic database content, iteratively.

For many years now (since the TOVS NOAA/NASA Pathfinder Programme in the mid 1990s'), this assessment goes through the statistical study of the “residuals”, ie the differences between simulated and observed brightness temperatures. This study is now performed at global scale, in a semi operational way. For the 4A assessment in the TIR, observations are from IASIA or IASIB, since their launch. Atmospheric and surface inputs to the 4A model are either from the ARA/LMD ARSA database or from ECMWF operational data (analyses, reanalyses). Also, a careful characterization of the observed pixels (clear/cloudy, sea/land/day/night, air-mass type, altitude, time-space collocations specs) is performed. The remarkable radiometric stability of IASI and its continuous spectral coverage from 645 to 2760cm<sup>-1</sup> provides an effective identification of various sources of errors: inappropriate radiative transfer modeling, spectroscopic parameters, description of the atmospheric or surface states. A recent description of this long-established validation scheme “SPARTE” (Spectroscopic Parameters And Radiative Transfer Evaluation) is given Armante et al., 2016. Based on a similar approach, the 4A assessment in the SWIR is performed through the use of ground-based FTS observations at the Parkfalls TCCON site (Wunch et al, 2011).

At the time of the Conference, several recent improvements will be described and discussed such as the impact of improved spectroscopy (GEISA 2011 vs GEISA 2015, HITRAN, etc...), of better characterization of line mixing (CO<sub>2</sub>, O<sub>2</sub>), of the improvement of the modelling of the continuum absorption of H<sub>2</sub>O and O<sub>2</sub> or the H<sub>2</sub>O/HDO concentration profile separation.

Regularly improved, updated and extended by LMD, CNES and NOVELTIS, the “operational” version 4A/OP is distributed to registered users via the associated website (<http://4aop.noveltis.com/>).

## **2.02 The 4A/OP model: From NIR to TIR, new developments for time computing gain and validation results within the frame of international space missions**

*Presenter: Raymond Armante (for Emilien Bernard), LMD*

*Authors: Bernard E , Tournier B , Lezeaux O , Dufour E , Armante R , Capelle V , Scott N , Chédin A , Delahaye T, Deschamps A , Jouglet D , Lafrique P , Jaumouillé E*

The Automatized Atmospheric Absorption Atlas (4A) is the fast and accurate line-by-line radiative transfer model developed, improved and validated at LMD (Laboratoire de Météorologie Dynamique) for the computation of transmittances, radiances and Jacobians, (<http://ara.abct.lmd.polytechnique.fr/index.php?page=4a>). Regularly improved, updated and extended by LMD, NOVELTIS and CNES, the “operational” version 4A/OP is distributed to registered users via the associated website (<http://4aop.noveltis.com/>).

4A/OP is currently used for radiative transfer simulations on a wide spectral range [e.g. 20 μm – 0.75 μm]. Extension to UV/Vis is in progress. This model is used by several research and operational groups involved in forward and inverse radiative transfer problems. In particular, 4A/OP is the reference software used by CNES for several national or international in-flight (IASI/MetOp) or planned missions (IASI-NG, MicroCarb and MERLIN).

Within the frame of research and operational approaches, validation studies, corrective improvements and corresponding implementations are performed to constantly upgrade the 4A/OP model efficiency in terms of accuracy, computing time and flexibility to users' needs.

Validation studies are performed - on a semi-operational basis in the infrared with IASI (MetOpA and MetOpB, since launch) - and in the near infrared using TCCON stations/observations. They also include the assessment of the impact of the updated version (2015) of the spectroscopic database GEISA (<http://ara.abct.lmd.polytechnique.fr/index.php?page=geisa-2>).

In order to further reduce the computation time, following improvements have been made:

- The scattering mode in 4A which uses LIDORT/VLIDORT RT software benefits from an acceleration method to significantly reduce the computation time while preserving the accuracy. The Low Stream Interpolation (LSI) method (O’Dell, 2010) implemented in 4A/OP leads to reduce the computing time by 60 with an accuracy < 1%.
- Based on OpenMP API, 4A/OP benefits also from a parallelized mode which makes it possible to compute a large number of atmospheric profiles. In addition, the atlas of the molecular absorption coefficients are now loaded into the memory which reduces the access time to files.

Other new capabilities have been implemented aiming at adding more flexibility to the 4A/OP-User interface and to offer a wider choice of inputs/outputs possibilities:

- infinite resolution versus ISRF convolved Jacobians,
- choice of the emissivity database
- choice of wavenumber or wavelength units, ...

These topics will be discussed at the time of the Conference.

## **2.03 Radiative transfer along a slanted path**

*Presenter: Niels Bormann, ECMWF*

*Authors: Niels Bormann*

This contribution reports on the influence of taking the slanted satellite viewing geometry better into account in the simulation and assimilation of sounding radiances. The traditional approach is to use a vertical profile extracted at the geo-location information provided with the data, and to only take the increased path length into account. The present work instead investigates using a slanted profile, extracted from model fields along the instrument's line of sight.

Taking the viewing geometry better into account leads to significant improvements in the simulation of brightness temperatures from model fields compared to the traditional approach. This is particularly noticeable for larger zenith angles, for channels that peak in the upper troposphere or higher, and for high and mid-latitudes. The finding also suggests that the model fields capture useful information on horizontal gradients, at least on the relevant spatial scales. The improved simulations of the sounder radiances lead to significant reductions in the size of the analysis increments at mid and high latitudes and particularly in the stratosphere during the assimilation. The system shows a statistically improved self-consistency out to the day-3 forecast range.

## **Session 2b: Radiative transfer**

### **2p.01 Improvements to fast radiative transfer modelling of hyperspectral infrared sounders**

*Presenter: Stephan Havemann, Met Office*

*Authors: Stephan Havemann, Fiona Smith and William Bell*

The Havemann-Taylor Fast Radiative Transfer Code (HT-FRTC) allows superfast and very accurate simulations for hyperspectral sensors like IASI, IASI-NG and MTG-IRS. It can even run at ultrahigh spectral resolution down to  $10^{-4}$  cm<sup>-1</sup>, but it models broadband sensors like MODIS and SEVIRI as well. The underlying concept that makes this possible are principal components at ultrahigh spectral resolution. These principal components which are generated during the code training phase are completely sensor-independent, which means that any number of instruments can be simulated simultaneously in a single run as long as the atmosphere and surface parameters are unchanged.

The HT-FRTC uses the monochromatic gaseous optical properties for the HITRAN gases as provided by LBLRTM. However, the code has its own modules for the monochromatic clear-sky and scattering radiative transfer to model contamination by clouds and/or aerosols. It can be used as a monochromatic code in ultra-high resolution or, alternatively, in its fast code version based on principal components, where only a small number of monochromatic radiative transfer calculations are required to calculate the principal component scores. The fast code version can generate an accurate ultra-highly resolved spectrum and simulate any sensor.

Detailed, global comparisons of LBLRTM, HT-FRTC and RTTOV have been done for IASI. To obtain meaningful results great care has been taken to run the codes under exactly identical conditions. The codes are compared with each other for a global set of 25000 different atmospheric and surface conditions as provided by the datasets supplied by the NWPSAF. In addition to the radiances, the Jacobians are compared, which are essential for retrievals. The importance of a number of other factors that may have a bearing on the results of the simulations has been investigated. Among these is the number and selection of trace gases included in the simulations. The spectral resolution of the monochromatic calculations on the simulations has also been studied. Finally, even when using the linear-in-tau approximation, the finite vertical resolution in the radiative transfer calculation limits the accuracy of the radiance calculations.

Work has started to evaluate the performance of HT-FRTC within the Met Office Observations Processing System (OPS) and Variational Data Assimilation System (VAR).

### **2p.02 Effects of atmospheric turbulence in radiative transfer modelling**

*Presenter: Xavier Calbet, AEMET*

*Authors: Xavier Calbet, Niobe Peinado-Galan, Rob Kursinski, Peio Oria, Dale Ward, Angel Otarola, Pilar Ripodas*

In their present state, Radiative Transfer Models (RTM), offer a high degree of accuracy when compared to actual measurements. Nevertheless, a few discrepancies in the microwave in 183 GHz and in the infrared part of the radiance spectrum have been found. A possible explanation for these discrepancies could be the lack of modelling of the atmospheric turbulence in the RTMs. To test this, the atmospheric turbulence determined

from GRUAN radiosondes is integrated into the RTMs to calculate radiances given a measured atmospheric state. These calculated radiances are then compared to real measurements giving an insight as to whether the hypothesis is true. Turbulence does seem to have an important effect on the modelling of the radiances.

### **2p.03 Estimation of CO<sub>2</sub> column retrieval errors from ignoring 1.6 $\mu$ m polarization calculation in forward modeling for space-borne polarization-sensitive instruments**

*Presenter: Wenguang Bai, NSMC*

*Authors: Wenguang Bai, Peng Zhang, Wenjian Zhang, Jun Li, Gang Ma, Chengli Qi*

A polarized atmosphere radiative transfer model relied on doubling and adding method was developed to simulate the near-infrared 1.6 $\mu$ m measurements by space-borne instruments. This model solved the radiative transfer equation for a plane-parallel, vertically-inhomogeneous scattering atmosphere with a Lambert reflecting surface. Both gases absorption and scattering by aerosol and molecules were considered. Only the solar source radiation was taken into account because the thermal sources were negligible in this near-infrared band. The model calculation results were verified through comparisons with other well-known models. Based on this model, sensitivity studies have been performed to estimate the errors from neglecting polarization in analyzing spectroscopic measurements. The US standard atmosphere profile loading with aerosols was used as a test case for this study. Solar zenith angle, view zenith angle, surface reflectance and aerosol optical depth were varied one at a time to evaluate the individual errors. The results showed that: except for some situations with very large observation angles, the radiation error made by a scalar approximation became larger with the solar zenith angle, aerosol optical depth increasing and the surface reflecting decreasing; the errors in the line cores were higher than in the window area because they were less affected by the surface depolarization effect. To understand the effect of ignoring the polarization on CO<sub>2</sub> column retrieval, a linear errors estimation research was performed on simulated measurements. It was seen that the retrieval errors from neglecting polarization calculation were largest when the solar zenith angle was high, the aerosol optical depth was large and surface reflectance low. This CO<sub>2</sub> column retrieval error could be as high as 10ppmv, which is much larger than the required precision 1-2ppmv. In order to reduce the errors, the forward model needed to take into account the fully polarized radiation calculations. On other hand, it is too time-consuming to do the full vector retrieval. It is thus imperative to find ways to speed up the complete stokes vector calculation.

### **2p.04 Simulation of UV radiance using UNL-VRTM**

*Presenter: Fuzhong Weng, NOAA*

*Authors: Fuzhong Weng and Shougou Ding*

TOA reflected radiances in UV wavelength are simulated using three radiative transfer models: TOMRAD, UNL-VRTM and SCIATRAN. TOMRAD stands for TOMS Radiative Transfer model. It is a vector radiative transfer model and is specifically used for UV region radiance simulation for pure Rayleigh scattering atmosphere with gases absorption. UNL-VRTM is a Unified Linearized Vector Radiative Transfer Model and was specifically designed for the simulation of atmospheric remote sensing observations and for the inversion of aerosol, gas, cloud, and/or surface properties from these observations. It comprises VLIDOSRT for radiative transfer, a linearized Mie and a linearized T-Matrix code for aerosol single scattering, a Rayleigh scattering module, and line-by-line (LBL) gas absorption calculation with HITRAN data base. SCIATRAN is a comprehensive software package for the modeling of radiative transfer processes in the terrestrial atmosphere and ocean in the spectral range from the ultraviolet to the thermal infrared (0.18–40 $\mu$ m) including multiple scattering processes, polarization, thermal emission and ocean-atmosphere coupling.

For simulating the radiances at the OMPS viewing angle, Microwave Limb Sounder (MLS) Ozone profiles are collocated with OMPS radiances and then used as inputs to the forward model. The bias between OMPS observations and simulations is analyzed from each model. It is shown that the UNL-VRTM is the most suitable model for OMPS radiance simulation. Overall, the bias can be attributed to several factors: 1) the scheme of computing the gaseous absorption coefficients gases and Rayleigh scattering in different RTM, 2) surface reflectance, 3) aerosol scattering approximation, 4) the band center wavelength shifts in OMPS, and 5) the errors of input profile to radiative transfer model. The sensitivity studies show that scalar radiative transfer approximation, considering only ozone, and/or the assumption of constant surface reflectance within UV region may cause significant errors to the TOA reflectance. Overall, the differences is less than 5% for OMPS cross-tracking position at all channels. Since SCIATRAN model includes the rotational raman scattering, the difference between measurements and simulations can also be reduced to some extent, particularly for the wavelength larger than 330 nm.

## **2p.05 From GEISA-2015 to GEISA-2018**

*Presenter: Raymond Armante, LMD*

*Authors: N. Jacquinet, R. Armante, A. Perrin, V. Capelle, T. Delahaye, N.A. Scott, A. Chédin*

Spectroscopy is at the root of modern planetology, enabling us to determine the physical properties of planets remotely. As a result, standardized spectroscopic databases, were initiated in the early 1970's, such as, GEISA (Gestion et Etude des Informations Spectroscopiques Atmosphériques: Management and Study of Atmospheric Spectroscopic Information), at LMD, in France.

The 2015 release of GEISA [Jacquinet et al, 2016 and references herein], will be presented including significant updates and additions implemented in the three independent sub-databases of GEISA-2015:- the "line parameters database" contains 52 molecular species (118 isotopologues) (spectral range from 10-6 to 35,877.031 cm<sup>-1</sup>). A new molecule (SO<sub>3</sub>) has been added. HDO, isotopologue of H<sub>2</sub>O, is now identified as an independent molecular species. -the "cross section sub-database" has been updated and enriched by the addition of 43 new molecular species in its infrared part. A new section is added, in the near-infrared spectral region, involving 7 molecular species: CH<sub>3</sub>CN, CH<sub>3</sub>I, CH<sub>3</sub>O<sub>2</sub>, H<sub>2</sub>CO, HO<sub>2</sub>, HONO, NH<sub>3</sub>; -the "microphysical and optical properties of atmospheric aerosols sub-database" has been significantly enriched. It contains more than 40 species originating from NCAR and 20 from the ARIA archive of Oxford University.

Since the time of its creation GEISA has entered a new phase with the advent of high precision spectroscopy, coupling important developments in spectroscopic databases and radiative transfer modelling, to meet the needs of the international space agencies for the exploitation of these remote sensing data. Consequently, GEISA is constantly evolving, taking into account the best available spectroscopic data which are validated using the original and powerful approach of the SPARTE chain [Armante et al, 2016] developed at LMD.

The need to improve and consolidate the spectroscopic parameters is becoming a priority in order to exploit the increased spectral resolution and radiometric accuracy of new atmospheric instruments. In this context, spectroscopic parameters of targeted molecular species will be updated in GEISA-2018, associated with space missions such as: -IASI-NG (H<sub>2</sub>O, HDO, CO<sub>2</sub>, O<sub>3</sub>, N<sub>2</sub>O, CO, CH<sub>4</sub>, HNO<sub>3</sub>, OCS, CFC11, CFC12, CCl<sub>4</sub>, aerosols, ...), OCO-2 (CO<sub>2</sub>, O<sub>2</sub>, H<sub>2</sub>O), MicroCarb (O<sub>2</sub>, CO<sub>2</sub>, H<sub>2</sub>O), MERLIN (CH<sub>4</sub>, H<sub>2</sub>O), TROPOMI (CH<sub>4</sub>, CO, NO<sub>2</sub>, SO<sub>2</sub>, aerosols, ...)

GEISA and associated management software facilities are implemented and freely accessible on the AERIS/ESPRI atmospheric chemistry data center website. It is used on-line by more than 300 laboratories working in various domains like atmospheric physics, planetology, astronomy, astrophysics. GEISA line parameter database is the reference for current or planned TIR/SWIR space missions and it is associated with the work in progress in the frame of the ISSWG scientific group for IASI and its future IASI-NG.

References:

<http://ara.abct.lmd.polytechnique.fr/index.php?page=publications>

## **2p.06 Oxygen line-mixing: Consolidating a spectroscopy for AMSU-A**

*Presenter: Oleksandr Bobryshev, Universität Hamburg*

*Authors: Oleksandr Bobryshev, Manfred Brath and Stefan Buehler*

Advent of new sensors, which allow measurements of radiance intensity in millimeter and submillimeter spectrum (e.g. ISMAR, ICI, ATMS, AMSU-A), set a requirement for appropriate O<sub>2</sub> spectroscopy models. Such models should include and correctly represent the effects of the line-mixing and Zeeman effect. The Atmospheric Radiative Transfer Simulator (ARTS), a general software package for long wavelength radiative transfer simulations, now supports most of the up-to-date O<sub>2</sub> models that fulfils listed above criteria. The problem with these models is that they were tested only for the narrow range of spectrum. We aim to justify the recommendation of O<sub>2</sub> model to use in the radiative transfer models for the millimeter to submillimeter range. Using ARTS, we first examine the differences between the models for the set of pre-defined atmospheric states. We show the differences in absorption, brightness temperatures and Jacobians for different atmospheres. We also examined the quality of agreement between the satellite measurements and simulations using the different models on the radiosonde stations located in polar, mid- and equatorial latitudes. One of the novelties of this study is utilization of Global Climate Observing System (GCOS) Reference Upper-Air Network (GRUAN) processed radiosonde measurements for comparison.

## **2p.07 Quantification of line-by-line parameter errors in the 183.31 GHz water vapour line**

*Presenter: Emma Turner, Met Office*

*Authors: Emma Turner, William Bell, John Eyre*

The rotational transition of water vapour at 183.31 GHz is one of the most heavily utilised spectroscopic lines measured by current (and future) satellite instruments. The passbands of channels centred at this frequency typically span a wide frequency range (e.g. 24 GHz for SAPHIR channel 6) in order to profile tropospheric humidity. However, equivalent radiative transfer simulations of these satellite observations show errors that increase further away from the line centre. The Met Office microwave line-by-line model AMSUTRAN uses the Liebe Millimeter-Wave Propagation Model (MPM) to calculate water vapour absorption which utilises six scalar coefficients that are associated with: line strength, air-broadened half-width, self-broadened half width and the temperature dependence of each of these. Recent work has placed updated uncertainties on these parameters drawn from a combination of observational and model-based estimates. This study investigates the impact of these uncertainties, and the correlations between them, on top-of-atmosphere brightness temperature simulations.

## **2p.08 Sensitivity of microwave downwelling brightness temperatures to spectroscopic parameter uncertainty**

*Presenter: Domenico Cimini, CNR-IMAA*

*Authors: Cimini D., P. Rosenkranz, M. Tratyakov, and F. Romano*

Atmospheric brightness temperatures are simulated through radiative transfer calculations, which rely on spectroscopic parameters for modelling the atmospheric absorption. The uncertainty affecting the spectroscopic parameters contribute to the uncertainty of the simulated brightness temperatures. This presentation describes the approach to investigate the impact of spectroscopic parameter uncertainties on simulated downwelling microwave brightness temperatures in the 20-60 GHz range. Sensitivities have been computed perturbing each parameter individually and considering six different atmospheric climatologies, for the purpose of identifying the parameters with significant impact. Preliminary results are presented, as well as the approach to map the resulting brightness temperatures' uncertainty on the retrieved atmospheric profile space using the full covariance matrices of uncertainties. The analysis can also be extended to higher frequencies and upwelling brightness temperatures in future work.

## **2p.09 Uncertainties in the dielectric constant model for seawater used in FASTEM and implications for the calibration/validation of new microwave sounding and imaging instruments**

*Presenter: Heather Lawrence, ECMWF*

*Authors: Heather Lawrence, Niels Bormann, Alan Geer, Stephen English*

For the surface-sensitive channels of microwave temperature and humidity sounders and imagers, the surface emission can contribute a large portion of the signal. For this reason, the new data from imagers and sounders are typically evaluated over ocean where surface emissivity estimates are expected to be most accurate. Ocean emissivity can be calculated as a function of skin temperature and wind speed and direction using a fast model, such as the commonly-used FAST microwave Emissivity Model (FASTEM). The ocean emissivity is calculated for flat-surface conditions using the standard Fresnel equations, with modifications as a function of wind speed to account for rough surface and foam effects. The Fresnel equations depend on the dielectric constant of seawater, which is modelled in FASTEM for a constant salinity of 35‰, and as a function of temperature, using the equations developed by Liu et al (2011).

When carrying out calibration/validation (cal/val) of new microwave data over ocean, it is important to consider the uncertainties in the ocean emissivity calculation, particularly when identifying potential sources of bias. As a first step, in this poster we present an evaluation of the uncertainties in the dielectric constant model for seawater used in FASTEM, and consider the implications for the calibration/validation of new satellite instruments. We focus on the frequency range of 5 – 100 GHz, which includes measurements for microwave imagers such as GMI and AMSR-2, and temperature sounders such as AMSU-A and ATMS.

Firstly we present a review of the dielectric constant measurements of seawater available in the literature. The measurements available generally have a stated experimental uncertainty of 1 - 3%. However, as we show, at some frequencies there are differences between the published measurement values. Secondly, we compare measurements of the dielectric constant to the model used in FASTEM. Results of this comparison show that at lower temperatures particularly the dielectric constant predicted by FASTEM is systematically lower than

some of the measurements. It is difficult to know whether this difference is due to systematic errors in the measurements or errors in FASTEM. Because of this, we propose new uncertainty values, dependent on the skin temperature, for the dielectric constant terms used in FASTEM. We then transform these uncertainties in brightness temperatures, and review the implications for the calibration/validation of new satellite sounding and imaging instruments.

## **2p.10 A reference model for ocean surface emissivity from the microwave to the infrared**

*Presenter: Stephen English, ECMEF*

*Authors: S. J. English, A. Geer, H. Lawrence, L-F. Meunier, C. Prigent, B. Johnson, S. Newman, W. Bell*

Recent developments in fast microwave emissivity modelling such as Fastem or TESSEM2 rely on having a high quality reference ocean emissivity model. Taking the Fastem example the first three versions were based on Geometric Optics model, which is rather simple in design and not applicable to lower frequencies. Therefore from version 4 onwards it was based on a two scale model, run at the Joint Centre for Satellite Data Assimilation. This model is no longer available, and was never a supported deliverable of the JCSDA. Therefore in order to develop future versions of fast models a new reference model is urgently needed, to support missions from low microwave frequencies (SMOS, SMAP) as well as the future EUMETSAT's Ice Cloud Imager.

In this presentation we will discuss the essential components of such a reference model, identifying what exists and what does not. Firstly a dielectric model is needed, with uncertainty estimates. Many such models exist, and the spread between them tends to be larger than the quoted uncertainty, though this may in part reflect errors in some models, rather than real uncertainty. Secondly a representation of waves, both small scale driven by the instantaneous wind, and larger scale ocean swell. For a long time microwave models used the Cox and Munk model, but recently a range of options have become available. However knowledge of the uncertainty is limited. A two scale or similar model to handle surface reflectance and scattering is needed. The third critical area is to represent ocean whitecapping, and foam streaks from breaking waves. In the past this is usually parameterised as a function of instantaneous windspeed, but it is more physically a function of the dissipative wave energy, which can be estimated from a wave model. Consequently there is a very large spread in the models that parameterise foam fraction as a function of windspeed. Investigations of using wave model dissipative wave energy have been carried out. It is also interesting to consider a model that can work from the low microwaves, through the sub-mm region and into the infrared in a seamless way. Such a reference model can also be a focal point for new science, such as improved foam emissivity, impact of rain on ocean surface roughness and foam cover asymmetries.

In this poster we encourage the ITWG remote sensing community to consider how best to address this gap in the radiative transfer model capability and its evaluation, and to support efforts underway to develop the components of such a reference model.

In this poster we encourage the ITWG remote sensing community to consider how best to address this gap in the radiative transfer model capability, and to support efforts underway to develop the components of such a reference model.

## **2p.11 Accounting for variations of the trial field along the line of sight of the satellite in radiance data assimilation**

*Presenter: Louis Garand, Environment and Climate Change Canada*

*Authors: Louis Garand, Josep Aparicio, Maziar Bani Shahabadi, and Stéphane Laroche*

For radiance assimilation, the trial field is currently interpolated as a vertical column to the location on the surface of Earth viewed by the satellite. Recently, consideration of the variation of the trial field along the optical path has been implemented at ECMWF. This requires significant modifications to the cost function and its derivative. Here, our approach differs in that differences between calculated radiances using as input nadir and slant path trial fields are estimated offline, and then added to the observation in the input data file in similar fashion to a bias correction. As a result, no modifications to the cost function are required and the added processing costs are small. It is assumed that resulting analysis increments are close to those that would be obtained from minimizing the cost function on the slant path atmosphere explicitly. Recognizing that the correction is negligible for low level sensitive channels, it can be applied to all or selected channels only. The procedure is evaluated first with AMSU-A and ATMS temperature channels. Impact results in assimilation cycles will be presented at the conference.

## Session 3a: Calibration, validation and uncertainty

### 3.01 Global Space-based Inter-Calibration System (GSICS) infrared reference sensor traceability and uncertainty

*Presenter: Tim Hewison, EUMETSAT*

*Authors: Tim Hewison, Dave Tobin, Tom Pagano*

The Global Space-based Inter-Calibration System (GSICS) aims to ensure consistent accuracy among satellite observations worldwide for climate monitoring, weather forecasting, and environmental applications. To achieve this, algorithms have been developed to correct the calibration of various instruments to be consistent with community-defined reference instruments based on a series of inter-comparisons. Hyperspectral sounders are considered as potential reference instruments for the inter-calibration of thermal infrared channels on contemporary missions, allowing accurate representation of their spectral response functions. It is essential that these reference sensors are demonstrated to have stable and well-documented radiometric calibration, traceable to community references.

GSICS has initiated a report to support the choice of Metop/IASI, Aqua/AIRS and Suomi-NPP/CrIS as reference instruments and to provide traceability between them by consolidating pre-launch test results, error budgets and a range of in-orbit comparisons. The inter-comparison methods include Polar Simultaneous Nadir Overpasses (SNOs), Quasi SNOs, double differences against geostationary satellite and aircraft instruments as well as Numerical Weather Prediction (NWP) models, and statistics over extended geographical areas. Results from different methods under the same range of conditions are compared to assess the instruments' relative calibration, long-term stability and ultimately, the overall uncertainty as inter-calibration references for GSICS. Together with the error budgets, which are ground-up estimates of calibration uncertainty and associated calibration traceability chain, these results allow us to form a consensus on the uncertainties in their absolute calibration, and increase the confidence in the inter-calibration products derived from them.

The impact of the recent changes to Metop-B/IASI on-board processing will be analysed by comparison with other hyperspectral sounders, and the implications for the generation of Fundamental Climate Data Records discussed.

### 3.02 A new Fundamental Climate Data Record (FCDR) for nearly 40 years of measurements from the High resolution Infrared Radiation Sounder (HIRS) based on a metrologically traceable uncertainty analysis

*Presenter: Gerrit Holl, University of Reading*

*Authors: G. Holl, J. Mittaz, and C. J. Merchant*

We present a new Fundamental Climate Data Record (FCDR) for the High resolution Infrared Radiation Sounder (HIRS), with pixel-level metrologically traceable uncertainties and error covariance estimates. HIRS has flown on 16 polar-orbiting satellites between 1978 and present. It is a 20-channel radiometer with one visible channel and 19 infrared channels, the latter covering a range from 3.7 to 15  $\mu\text{m}$ . The instrument will soon turn 40 years old, but as it was designed to make measurements for weather forecasting, it cannot be immediately applied in climate science. Rather, usage for climate measurements requires (1) a thorough metrological uncertainty analysis for each instrument, and (2) harmonisation to bring all instruments to a common reference. In this paper, we present a detailed metrological analysis and the resulting FCDR. The analysis techniques and FCDR are developed as part of the Horizon 2020 project, Fidelity and Uncertainty in Climate-data records from Earth Observation (FIDUCEO).

We have identified 17 distinct physical effects that cause an error in calibrated HIRS radiances. Starting with the measurement equation, which relates the calibrated radiances to measurands and calibration parameters, we explore the magnitude and correlation structures of some of those effects. The correlation structure affects how errors propagate upon the calculation of averages and other statistics, so this information is critically important for climate applications. Error correlations are present at all timescales, from between neighbouring soundings to the lifetime of a sensor. We will also show significant correlations between channels, with correlation coefficients either positive or negative and in some cases exceeding 0.5.

The result is a new publicly available FCDR designed for appropriately produced Climate Data Records (CDRs) spanning nearly 40 years.

### **3.03 Characterisation of numerical weather prediction model biases for improved satellite cal/val**

*Presenter: Fabien Carminati, Met Office*

*Authors: Fabien Carminati, Bruce Ingleby, Bill Bell and Stefano Migliorini*

The characterisation of uncertainties in Numerical Weather Prediction (NWP) models is a major challenge that is addressed as part of the Horizon 2020 GAIA-CLIM project. In that regard, observations from the radiosonde GCOS reference upper-air network (GRUAN) are being used at the Met Office and ECMWF to assess uncertainties associated with model data.

A stand-alone module, decoupled from the NWP systems at the Met Office and ECMWF, is being developed based on a core radiative transfer modelling capability built around two existing open-source software packages (EUMETSAT's NWP SAF RTTOV fast radiative transfer model, and the NWP SAF Radiance Simulator). This software, referred to as the GRUAN Processor, enables the comparison of collocated geophysical fields and simulated brightness temperature between radiosonde and model fields.

A preliminary analysis based on a sample of radiosondes from Lindenberg, Germany, 2013, suggests mean model biases less than 0.1K (0.2K) at frequencies sensitive to tropospheric temperature and less than 0.15K (0.5K) at frequencies sensitive to stratospheric temperature in the Met Office (ECMWF) global model. Biases at frequencies sensitive to tropospheric humidity are less than 0.3K in both models. The analysis will be extended to all GRUAN data available between 2011 and 2017 (15 sites).

The GRUAN processor, at term, will allow robust NWP-based calibration, recalibration, and validation of satellite instruments with channel-by-channel uncertainty estimates. Furthermore, the processor is also expected to serve as a long-term monitoring tool for NWP global models.

### **3.04 Cal/Val studies for microwave and infrared sounding data from METEOR-M series satellites**

*Presenter: Alexander Uspensky, SRC Planeta*

*Authors: A. Uspensky, A. Rublev*

The objectives of Cal/Val system, developed by SRC PLANETA with cooperation, is to ensure accurate and consistent data and products processing from the sensors onboard METEOR-M series satellites, and to prepare for the launch of the next METEOR-M satellites. Moreover the purpose of Cal/Val studies is to deliver space-based observations and products with improved accuracy for climate monitoring, weather forecasting, and environmental applications. The accuracy of space-based observations and output products depend crucially on the reliability of instrument absolute (onboard or post-launch) calibration. The main tasks of Cal/Val system comprise of post-launch instrument calibration/validation and routine validation of output products. Some examples are given illustrating capabilities and efficiency of developed Cal/Val system with respect to calibration/validation of Level 1 data from key sounding instruments onboard METEOR-M №2 satellite (Infrared Fourier Spectrometer – 2 IKFS-2; Imaging/Sounding Microwave Radiometer MTVZA-GY): SEVIRI versus IKFS-2 cross-calibration product; post-launch calibration of MTVZA-GY. Along with this some examples are presented related to validation of temperature & humidity profiles retrieved from IKFS-2 and MTVZA-GY data.

### **3.05 An assessment of Meteor-M N2 MTVZA imager/sounder data at the Met Office and ECMWF for GAIA-CLIM**

*Presenter: Stuart Newman, Met Office*

*Authors: Stuart Newman, Heather Lawrence and Bill Bell*

The MTVZA-GY instrument is a conically scanning imaging/sounding microwave radiometer with channel frequencies spanning the range from 10.6 GHz to 183 GHz. It has flown on the Meteor-M N2 satellite since 2014. A data characterisation and validation study is presented, comparing observed brightness temperatures with model equivalents derived from the Met Office and ECMWF NWP systems. The observed minus background brightness temperature departures are analysed to diagnose the spatial variability, geophysical state dependence and instrument state dependence of biases. The utility of NWP systems for characterising new satellite missions, as examined during the EU GAIA-CLIM project, is discussed. Finally, the prospects for operational assimilation of MTVZA selected channels at NWP centres are explored.

## **Session 3b: Calibration, validation and uncertainty**

### **3p.01 The GAIA-CLIM project**

*Presenter: Stuart Newman, Met Office*

*Authors: Stuart Newman, Bill Bell, Fabien Carminati, Stefano Migliorini, Heather Lawrence, Bruce Ingleby, Jacqueline Goddard*

The EU-funded GAIA-CLIM project aims to assess and develop our capacity for characterising satellite instrument performance. Robust Earth observation (EO) characterisation relies on traceable uncertainty estimation for the reference as well as an understanding of the additional uncertainties that result from spatial and temporal mismatches in location and scale. In this specific element of the project we focus in particular on assessing and developing the use of NWP as a reference, recognising that high quality (though sparse) reference data such as sondes can be used to sample and estimate model errors and provide a form of metrological traceability for the model fields. We address the challenge of estimating absolute uncertainties in such fields by monitoring reference data with respect to NWP, in terms of temperature and humidity as well as simulated top-of-atmosphere brightness temperatures. Several examples of the utility of NWP systems for identifying bias characteristics of new satellite instruments are shown.

### **3p.02 Robust quantification of uncertainty on short-range model forecasts in radiance space based on reference sonde data**

*Presenter: Stefano Migliorini, Met Office*

*Authors: Stefano Migliorini, Fabien Carminati and William Bell*

Robust statistical estimations of numerical weather prediction (NWP) model uncertainties are of crucial importance for maximizing the impact of the data that is operationally assimilated, which is mainly composed of satellite radiance measurements. One of the most direct ways to assess these errors is to compare short-range model forecasts with high-quality radiosonde data such as those from the GCOS Reference Upper-Air Network (GRUAN). The Met Office, as part of its activities within the Gap Analysis for Integrated Atmospheric ECV CLimate Monitoring (GAIA-CLIM) EU-funded project, have been developing the GRUAN Processor designed to compare simulated satellite measurements from model data against simulated radiances calculated from GRUAN sonde data through the use of the RTTOV fast radiative transfer model. The Processor also aims to quantify all known source of uncertainties associated with both simulated satellite and sonde measurements so as to assess the statistical significance of the departures.

This poster discusses the theoretical methodology, based on maximum-likelihood principles, to estimate the errors arising from differences in the vertical grids defined by the NWP model and the sonde levels. It is found that statistical uncertainties also depend on the variability of the atmospheric state on the (higher-resolution) sonde grid. Preliminary estimates of vertical interpolation errors based on an ensemble of sonde measurements will be presented. Finally, a rigorous methodology for assessing the confidence on the departures based on chi-square tests will be discussed.

### **3p.03 Assessment and assimilation of microwave imager observations in NWP global models**

*Presenter: Brett Candy, Met Office*

*Authors: Brett Candy, Fabien Carminati, William Bell, Heather Lawrence and Jacqueline Goddard*

The Global Precipitation Measurement (GPM) Microwave Imager (GMI) is a state-of-the-art conical-scanning radiometer. A dual calibration system confers to the instrument the highest standards of radiometric accuracy and stability achieved to date. GMI has nine imaging channels and four sounding channels in the 10-89GHz and 166-183GHz spectral ranges, respectively.

A comprehensive NWP-based validation is being carried out as part of the GAIA-CLIM project, and involves double comparison with the Met Office and the ECMWF models, covering two summer months in 2016 and two winter months in 2016/2017. A shorter 2-week period is also considered (06-20 May 2017) in order to evaluate GMI latest calibration change (GPM V05 GMI L1C). Preliminary results suggest global first guess departures over ocean ranging from -0.2 to 1K when compared to the Met Office model and free of obvious instrument-related bias.

Assimilation experiments of two months duration are being conducted at the Met Office for a) a sub-set of GMI imaging channels and b) the two 183GHz channels, in a low resolution N320 uncoupled full global system. The impact of GMI imaging channels is, to date, neutral on Global NWP Indexes but significantly improves the

fit of observations to the model for surface and temperature sensitive channels of independent instruments by up to 2%, while slightly degrading the fit in humidity sensitive channels by 0.2-0.6%. Benefits from GMI two 183GHz channels are seen both in the forecasts of the 500 hPa height field (up to +0.5%) and in the fit to the model in humidity sensitive channels, which improves by up to 0.6%.

The FY3 series of satellite missions contain the Microwave Radiation Imager (MWRI) instrument. This allows dual frequency observations in the range 10-89 GHz. Studies as part of GAIA-CLIM at both the Met Office and ECMWF have shown that the instrument has different bias characteristics in the ascending and descending parts of the orbit. We will report on the tests of an orbital predictor scheme to correct the biases and look forward to the prospect of assimilation from this new data source. Such a predictor scheme was originally developed for the SSMIS instrument.

### **3p.04 Assimilation of FY-3C MWHS-2 at ECMWF and evaluation of the microwave imager FY-3C MWRI at ECMWF and the Met Office**

*Presenter: Heather Lawrence, ECMWF*

*Authors: Heather Lawrence, Niels Bormann, Qifeng Lu, Alan Geer, Fabien Carminati, Stuart Newman, William Bell, Nigel Atkinson and Stephen English*

The Chinese Feng-Yun 3C (FY-3C) satellite was launched in 2013 and carries on-board a number of instruments that are of interest for Numerical Weather Prediction (NWP) and Climate Reanalysis. This includes two microwave instruments: the MicroWave Humidity Sounder -2 (MWHS-2) and the MicroWave Radiation Imager (MWRI). MWHS-2 has traditional humidity sounding channels at 183 GHz as well as 8 new channels at 118 GHz which are sensitive to temperature, cloud and precipitation. MWRI is a microwave imager, with channels in the frequency range of 10 – 90 GHz, which are sensitive to cloud and precipitation as well as total column water vapour.

In this poster we present firstly results of assimilation trials testing the use of MWHS-2 in all-sky conditions at ECMWF. This includes an assessment of the impact of the new 118 GHz channels. As a result of these trials the instrument has been operationally assimilated at ECMWF since April 2016. Secondly, we present an evaluation of the long-term quality of the instrument by analysing observation minus background statistics over 1 year at ECMWF and the Met Office. Finally we present a first evaluation of the MWRI microwave imager at ECMWF and the Met Office by analysing observation minus background statistics at ECMWF and the Met Office.

### **3p.05 Natural and vicarious calibration targets for satellite based microwave sensors**

*Presenter: Marc Prange, Meteorological Institute Hamburg*

*Authors: Marc Prange, Martin Burgdorf, Stefan Buehler*

Intercalibration methods for microwave (MW) humidity sounders such as Advanced Microwave Sounding Unit-B (AMSU-B) and Microwave Humidity Sounder (MHS) use in general either Simultaneous Nadir Overpasses or zonal averages. The first method has high accuracy but can only be applied for high latitude data. The second method suffers from significant uncertainties associated with diurnal variations. A more refined approach of using natural calibration targets is investigated here. Natural calibration targets are defined as geographical areas where diurnal variations are minimal, allowing for a high accuracy intercomparison of the MW instruments. To investigate large-scale diurnal variations, a dataset of Upper Tropospheric Humidity from the MW sounder SAPHIR onboard of the Megha Tropiques satellite is used. Multiple natural calibration targets are found over tropical oceans and it is shown that their extent and position depend on season and averaging time. An estimate of uncertainty in the calculated diurnal variations is determined by applying multiple different averaging times. The seasonal and averaging time dependencies motivate an additional approach, which circumvents their explicit investigation. Instead of defining geographical calibration targets the global dataset is used here. The difference between ascending and descending nodes of multiple percentiles of monthly MHS brightness temperatures are calculated. These differences show that the percentiles are insignificantly impacted by diurnal variations and might allow for a multipoint intercalibration of the MW instruments.

### **3p.06 Post launch calibration and validation of JPSS-1 Sensor Data Records (SDRs) and Environment Data Records (EDRs) algorithms**

*Presenter: Lihang Zhou, NOAA*

*Authors: Lihang Zhou, Murty Divakarla, Xingpin Liu, Fuzhong Weng, Ivan Csiszar, Walter Wolf, Arron Layns, and Mitch Goldberg*

The Joint Polar Satellite System (JPSS)-1 is on schedule to be launched in late 2017 and will host an array of instruments including the Visible Infrared Imaging Radiometer Suite (VIIRS), the Cross-track Infrared Sounder (CrIS), the Advanced Technology Microwave Sounder (ATMS), and the Ozone Mapping and Profiler Suite (OMPS). These instruments are similar to the instruments currently operating on the Suomi-National Polar-orbiting Partnership (S-NPP) satellite, a predecessor designed to bridge for the JPSS constellation. Algorithms to process JPSS-1 instrument data into sensor and environmental data products have been developed based on the experiences gained through the S-NPP algorithms, with consideration of mitigating JPSS-1 instrument waivers based on pre-launch instrument testing data. Optimizations are also being performed on JPSS-1 upgrades, which account for science improvements to produce additional or improved data products. The updated algorithms including lookup tables/coefficient files have been developed and tested by science teams using the JPSS-1 instrument testing data and S-NPP proxy data. These JPSS-1 updated algorithms have been delivered to the ground system. To ensure the algorithms can run on JPSS-1 measurements as expected, the science teams have provided independent verification support to a series of JPSS-1 testing activities conducted by the JPSS ground system. As part of the JPSS-1 launch readiness, the calibration and validation (Cal Val) plans for JPSS-1 SDRs/EDRs have been developed, and further updated to ensure the consistency with the flight teams' post-launch testing (PLT) activities. The diagnostic cal/val and monitoring tools have also been tested with the JPSS-1 testing data.

This paper presents an overview of the major JPSS-1 science algorithm updates, with focus on the sounding level 1 and level 2 data products, such as ATMS full radiance calibration, CrIS full resolution with improved calibration; as well as the corresponding sounding EDR retrieval products; The VIIRS and OMPS SDR/EDR updates will also be highlighted. JPSS-1 pre-launch characterization, post-launch cal/val plans and long term monitoring preparations will be addressed.

### **3p.07 Current status of the CrIS calibration activities at UW-SSEC**

*Presenter: Joe Taylor, SSEC/UW-Madison*

*Authors: Joe Taylor, Dave Tobin, Hank Revercomb, Bob Knuteson, Lori Borg, Dan Deslover, Michelle Feltz, Jon Gero, Graeme Martin*

The status of the CrIS calibration activities currently being conducted at the UW-SSEC will be presented. Topics include self-apodization correction methods, FIR convolution correction, polarization correction, and airborne calibration validation.

### **3p.08 Arctic field campaign inter-comparisons in support of SNPP CrIS validation**

*Presenter: Allen Larar, NASA Langley Research Center*

*Authors: Allen M. Larar, Daniel K. Zhou, Xu Liu, Jialin Tian, and William L. Smith Sr.*

The Suomi NPP (SNPP) Arctic airborne field campaign (SNPP-2) was conducted out of Keflavik, Iceland between 7-31 March 2015. The primary campaign objectives were to focus on SNPP validation and JPSS risk mitigation for cold scene observations and satellite cross-validation in the Arctic region, with a specific interest to investigate radiance differences between CrIS, AIRS and IASI observations for very cold scenes. Since the SNPP launch in late 2011, NASA and NOAA have jointly conducted two airborne field campaigns in support of SNPP instrument and data product calibration / validation: 1) mid-latitude flights based out of Palmdale, CA during May 2013 (SNPP-1), and 2) flights over Greenland during March 2015 while based out of Keflavik (SNPP-2). In addition to under-flying the SNPP satellite, aircraft flight profiles were defined to also obtain coincident observations with the NASA A-train (i.e. AQUA) and EUMETSAT MetOP-A / MetOP-B satellites (i.e. overlapping with the AIRS, IASI, and CrIS infrared advanced sounder instruments), along with radiosonde and ground truth sites. The NASA LaRC National Airborne Sounder Testbed-Interferometer (NAST-I) was one of the key payload sensors aboard the ER-2 aircraft during these campaigns. This presentation will focus on cold scene radiance results from the SNPP-2 campaign with an emphasis on inter-comparisons between NAST-I observations and those from the satellite sounders.

### **3p.09 Advances in Suomi NPP ATMS data reprocessing**

*Presenter: Ninghai Sun, NOAA/NESDIS/STAR*

*Authors: Ninghai Sun, Fuzhong Weng, Wanchun Chen*

The Suomi National Polar-orbiting Partnership (NPP) satellite was launched on October 28, 2011 and carries the Advanced Technology Microwave Sounder (ATMS) on board. ATMS is a cross-track scanning instrument observing in 22 channels at frequencies ranging from 23 to 183 GHz, permitting the measurements of the atmospheric temperature and moisture under most weather conditions. The recent major updates on operational processing of ATMS TDR and SDR products include radiance based calibration processing and non-linearity correction update. Both updates have been made into operational JPSS Interface Data Processing Segment (IDPS) since March 8, 2017. To maintain the consistence of NPP ATMS life time datasets (TDR and SDR), STAR ATMS SDR team reprocesses the ATMS data before March 8, 2017. During the reprocessing, data gaps and operational errors have also been fixed besides the updated calibration algorithm and non-linearity correction coefficients. In this study, a preliminary result on long-term trending of reprocessed ATMS TDR data is given. The change of bias between observation and RTM simulation, based on ECMWF forecast and GPS-RO data, is also demonstrated. It has been proved that ATMS data quality is improved. To provide better quality ATMS data, ATMS SDR team is also developing the operational reflector emissivity correction algorithm. The physical model developed to characterize the flat reflector emission has been used to retrieve the emissivity from ATMS pitch-over maneuver data. It is shown that ATMS reflector emissivity from K, V, W and G bands is within a range of 0.002 to 0.007. Such correction can effectively reduce the distinct scan angle dependent bias in the NWP O-B field, especially at the window channels. STAR ATMS SDR team will plan to perform another reprocessing when such correction is implemented in operational IDPS system.

### **3p.10 Vaisala Radiosonde RS92 to RS41 transition: Implications for satellite data cal/val**

*Presenter: Bomin Sun, IMSG @NOAA/NESDIS/STAR*

*Authors: Bomin Sun and Anthony Reale*

Balloon-borne radiosonde observations have played an important role in numerical weather prediction (NWP) forecasting and upper air climate change detection. These observations have also historically been used as a commonly accepted reference dataset in satellite radiance measurements and derived sounding products calibration/validation (cal/val). Vaisala RS92, the major sonde type in the current global conventional upper air network and the reference sonde in the Global Climate Observing System (GCOS) Reference Upper Air Network (GRUAN), is being gradually replaced by Vaisala RS41. The RS41 includes new technological solutions aimed at providing improvements in measurement accuracy of atmospheric profiles of temperature, humidity and other variables.

In this work, multiple datasets are utilized to characterize the improvements and differences of the RS41 radiosonde compared to the RS92. Special datasets of Vaisala RS41 and RS92 dual launches from campaigns undertaken at a number of global sites with varying atmospheric environments are employed to provide direct comparisons of these two radiosonde instruments. Complimentary comparisons are also presented using the Global Navigation Satellite System (GNSS) radio occultation (RO) and NWP forecast and analysis as the targets, collocated respectively with global conventional radiosondes, to provide the global assessment of these two sondes. All of the above collocation datasets are routinely compiled using the NOAA Products Validation System (NPROVS) operated at the NOAA Center for Satellite Applications and Research (STAR). The impact of using RS92 versus RS41 in the NOAA unique combined atmospheric processing system (NUCAPS)S-NPP CrIS/ATMS and MetOP IASI data cal/val is also discussed in the overall context of the RS92 to RS41 transition.

## **Session 4a: New and current observations**

### **4.01 The status of FY-3C and FY-4A in NWP and the preparation of FY-3D for NWP**

*Presenter: Qifeng Lu, NSMC/CMA*

*Authors: Qifeng Lu, Chunqiang Wu, Chengli Qi, Yang Guo, Shengli Wu, Mi Liao, Heather Lawrence, Fabien Carminati, Nigel Atkinson and Niels Bornman, William Bell, Stephen English*

After the third polar-orbit meteorological satellite of China FY-3, FY-3C, was launched in September 2013, the CMA, ECMWF and UKMO cooperate closely to evaluate and improve the data quality, and assess the potential contributions to the NWP operational forecast. To actively support the operational assimilation into NWP, CMA/NSMC especially develops the FY-3 satellite data early warning, monitoring and biases correction system. This talk, would like to generally review the achievements of evaluating FY-3C data from CMA, ECMWF and UKMO, also will cover some details of the FY-3 instrument characterization from the jointly cooperative work

of the three parties. FY-4A, the second generation of China geostationary metrological satellite, with high spectral interferometer instrument on board, was launched in Dec 2016, some initial evaluation to FY-4A data quality and the potential contributions to the NWP operational forecast will be reported.

The FY-3D will be launched in September of 2017, we work together to prepare its operation, some early cooperative work on preparing FY-3D in NWP will be introduced as well.

#### **4.02 Study on the simulation and bias characteristics of FY-4A GIIRS observation**

*Presenter: Wei Han (for Peiming Dong), NWPC/CMA*

*Authors: Peiming Dong, Wei Han, Ruoying Yin*

Satellite hyperspectral infrared observation have been increasingly and widely used in Numerical Weather Prediction (NWP) and have proved to be invaluable to the improvement of forecast accuracy. The previous hyperspectral infrared sensor, including AIRS, IASI and CrIs, are all flown on the polar-orbiting operational environmental satellites (POES). Geo. Interferometric Infrared Sounder (GIIRS) on board Chinese FY-4A is the first hyperspectral infrared sounding sensor flown on the geostationary meteorological satellite. It is expected to demonstrate the impact of this remote sensing data on NWP. The simulation of FY-4A GIIRS observation is carried out by using rapid radiative transfer model RTTOV with the extension to support this new sensor. The bias characteristics is analyzed and further compared with the matched other hyperspectral infrared data. It is hoped that this work could prompt the use of FY-4A GIIRS observation in NWP.

#### **4.03 Impact of the assimilation of water vapor imager radiances from INSAT 3D and 3DR satellites in the NCMRWF Unified Model**

*Presenter: Indira Rani S., NCMRWF*

*Authors: Indira Rani S, Sumit Kumar, Priti Sharma, John P George, E. N. Rajagopal*

Both INSAT-3D and 3DR satellites have a six channel imager of same configuration. The scan time difference between the two satellites is 15 min. INSAT-3D scans at every half hour starting from 00 UTC, while the INSAT-3DR scans start from 00.15 UTC and then in every 30 min. The combined resolution of the imager radiances from these two satellites is 15 min. The high temporal resolution data produce good impact in the Hybrid-4DVAR assimilation system. This paper investigates the impact of WV imager radiance assimilation from these two satellites in the NCMRWF Unified Model. Initial results show that the characteristics of humidity analysis increment produced due to the assimilation of INSAT WV imager radiances are similar to the one produced due to the assimilation of MVIRI WV radiances. WV imager radiances assimilation from both INSAT and MVIRI show drying effect in the humidity analysis increment.

#### **4.04 Application of microwave satellite data to KMA Local Data Assimilation and Prediction System (LDAPS)**

*Presenter: Hyeyoung Kim, KMA*

*Authors: Hyeyoung Kim, Eunhee Lee, Sangwon Joo and Young Hee Lee*

Satellite radiance data assimilation has made a significant contribution to the progress of numerical weather forecasting. Specifically, microwave satellite data is more sensitive to clouds than other data, so it can provide information about the temperature and moisture profiles even in the presence of clouds. The humidity sounding observation at a relatively high horizontal resolution (approximately 17 km at Nadir) has contributed to the improvement of precipitation forecasts through improvement of the dynamical information (Geer et. al, 2014).

The purpose of this study is to evaluate the impact of the microwave humidity sounder (MHS or AMSU-B) on the KMA local area model for the preparation of the operational use of them.

The AMSU-B has been on board the NOAA-18, 19, MetOp-A, and B. Each of these four polar-orbiting satellites visits Korea almost twice a day. It is a cross-track scanning microwave radiometer and has five channels located at 89.0 (channel 1), 157.0 (channel 2), and 183.31 GHz (channel 3, 4, 5). The AMSU-B data used in this study is full-resolution data processed by the Korea Meteorological Satellite Center.

The KMA LDAPS is an extended local model based on the Unified Model (UM) 10.1 Ver. (1.5 km horizontal grid spacing and 70 vertical levels). The data (AWS, Sonde, Scat, Airplane, Radar) within  $\pm 90$  minutes is used with the 3-D VAR data assimilation system.

Two experiments were conducted to investigate the impact of AMSU-B radiance assimilation to the local model. The first experiment included AMSU-B radiance with existing criteria (EXP1) in addition to conventional observations (CTL) and the second one used more strict criteria (EXP2) than the EXP1 in the period of July 2016. In EXP2, the bias correction was implemented statically using the scan positions and atmospheric thicknesses. New scattering index of land is introduced to eliminate the strong scattering by rain and cloud over land. Additionally, the threshold of  $|O-B|$  is tighter.

As a result of assimilating the AMSU-B satellite data to the local model, the most remarkable point is significant improvements in dynamical forecast scores. Especially at 850 hPa, the RH analysis field of EXP2 over the West Sea is uniformly more humid than that of the CTL, which is considered to affect the precipitation prediction.

Unlike the global model, the data used in the local model is ground-based data. Due to lack of observation data around Korea, it is difficult to understand the precise synoptic condition. In this research, we showed that when improving moisture information in the atmosphere by using microwave humidity channels, the local model prediction ability is further improved.

## **Session 4b: New and current observations**

### **4p.01 Plans for the utilization of JPSS and GOES-R satellite systems**

*Presenter: Andrew Collard, IMSG @NOAA/NCEP/EMC*

*Authors: Andrew Collard, John Derber, Emily Liu, James Jung, Li Bi, Haixia Liu, Kristen Bathmann, Yanqiu Zhu*

In 2017 a number of important new data sources became available for assimilation into NWP models. Of these, two are major new operational US satellite platforms: GOES-16 and JPSS.

GOES-16 (known as GOES-R pre-launch) was launched in November 2016 and is at the time of writing undergoing post-launch testing. The primary instrument on GOES-16 is the Advanced Baseline Imager (ABI) which will view the Earth with 16 different spectral bands (compared to five on current GOES) and it will provide three times more spectral information, four times the spatial resolution, and more than five times faster temporal coverage than the current system. The initial major impact from GOES-16 is expected through the use of the Atmospheric Motion Vectors (AMVs) and it is expected that these will be used operationally sometime after the satellite attains its final position toward the end of 2017. Direct assimilation of the radiances will follow once a spatially averaged clear-sky stream becomes available.

The payload on JPSS includes CrIS, ATMS which both flew on Suomi-NPP. The main change will be that CrIS data will now be available at full spectral resolution. Tests are on-going at NCEP to ensure that we can make full use of this increase in the available data. Encouraging progress has been made both on the specification and use of full spectral error covariance matrices and also on the use of cloudy radiances from infrared satellites.

### **4p.02 Operational use of Suomi NPP ATMS radiance data in JMA's global NWP system**

*Presenter: Yoichi Hirahara, JMA*

*Authors: Yoichi Hirahara, Takumu Egawa, Masahiro Kazumori*

In March 2017, assimilation of microwave radiance data from the Advanced Technology Microwave Sounder (ATMS) of the Suomi National Polar-orbiting Partnership (NPP) spacecraft was started operationally in the Japan Meteorological Agency (JMA) global Numerical Weather Prediction (NWP) system. For the ATMS data assimilation into the global NWP system, the same approach used for AMSU-A/MHS quality control and variational bias correction in the system are applied. The RTTOV-10 is used as a radiative transfer model for the radiance assimilation.

Preliminary experiments involving the assimilation of ATMS tropospheric channels (6-9, 18-22) and stratospheric channels (10-15) showed a negative impact. The assimilation of ATMS stratospheric channels increased normalized standard deviations (STDV) of the first-guess (FG) departure from AMSU-A stratospheric channels (9-14). Because the cause of this negative impact is not clear at present, only ATMS tropospheric channels are selected for the operational assimilation in the global NWP system. Although slightly increased STDV was observed for AMSU-A tropospheric channels 6 and 7 from the assimilation of ATMS tropospheric channels, generally FG fits to the MHS, AMSR2, GMI/GPM, GNSS-RO and radiosonde temperature observation

indicated improved water vapor and temperature fields. The zonal means of the difference in the root mean squared forecast error of the geopotential height showed clear positive impacts in the middle and upper troposphere, especially in the Southern Hemisphere. Improved tropical cyclone track predictions in the experiment periods were also confirmed.

Currently, we are investigating the cause of the negative impact from assimilating ATMS stratospheric channels. From comparison of FG departure of ATMS and AMSU-A for the stratospheric channels, we found different characteristics between corresponding ATMS and AMSU-A channels' FG departure in high latitudes after a variational bias correction in the JMA global system. The latest research results on this issue will be presented in the conference.

#### **4p.03 Assimilating clear-sky radiance of SSMIS humidity sounding channels in the JMA global NWP system with newly developed cloud detection algorithm**

*Presenter: Yasutaka Murakami or Masahiro Kazumori, Japan Meteorological Agency*

*Authors: Yasutaka Murakami and Masahiro Kazumori*

Japan Meteorological Agency (JMA) utilizes clear-sky microwave humidity sounding radiance data from the MHS onboard NOAA-18, NOAA-19, MetOp-A and MetOp-B satellite, SAPHIR onboard Megha-Tropiques satellite, and GMI onboard GPM-core satellite in its global data assimilation system. In March 2017, operational use of clear-sky humidity sounding radiance from Special Sensor Microwave Imager/Sounder (SSMIS) onboard DMSP F-17 and F-18 satellite was started.

It is crucial to detect and discriminate the cloud and precipitation affected data for the clear-sky assimilation of microwave humidity sounding channels, since these channels are affected by cloud liquid water emission and freezing particle scattering in cloudy situations. However, traditional cloud detection approach using microwave window channels is not available for SSMIS onboard DMSP F-17 because its 150 GHz channel is not working at present.

Therefore, a new physically-based cloud detection algorithm was developed for the clear-sky assimilation of SSMIS humidity sounding channels. Since SSMIS has 24 channels covering wide range of microwave frequency, the algorithm using multiple microwave observation channels allows us to detect cloud-affected data effectively by classifying such information into three categories based on hydrometeor types (i.e., cloud liquid particles, snow crystals and ice crystals). The details of the cloud detection algorithm and results of the data assimilation experiment will be presented in the conference.

#### **4p.04 Development of the SSMIS processing system and their impacts on the 3DVAR in KIAPS**

*Presenter: Jeon-Ho Kang, KIAPS*

*Authors: Jeon-Ho Kang, Ji-Hyun Ha*

Korea Institute of Atmospheric Prediction Systems (KIAPS) has been developing a global model and its data assimilation system. In this presentation, SSMIS (Special Sensor Microwave Imager/Sounder) orbit-angle bias correction and thinning methods in KIAPS are described. For SSMIS data assimilation, the development of the SSMIS processing system and the impact of the assimilation of the SSMIS are presented. For thinning, three methods, such as equirectangular, equidistance and cubed-sphere element methods are introduced and the improvement using these thinning methods will be mentioned.

#### **4p.05 Microwave radiance assimilation at NRL: Advanced techniques, developments, and future sensors**

*Presenter: Bryan Karpowicz, US NRL*

*Authors: Bryan M. Karpowicz, Benjamin C. Ruston, and Steven D. Swadley*

Assimilation of passive microwave radiometers has proven useful in Numerical Weather Prediction (NWP), providing temperature sounding information, water vapor information, along with precipitable water information. The focus of this work is how best to incorporate this information into the Naval Research Laboratory Atmospheric Variational Data Assimilation System (NAVDAS), along with recent improvements which enable better use of this information. Some key developments have been: experiments replacing Total Precipitable Water (TPW) retrieved products with direct assimilation of channels sensitive to TPW, addition of correlated observation error for microwave and Infrared sensors, and addition of GMI, SAPHIR, and AMSR2 to NAVDAS. Key among these developments is the ability to assimilate with correlated observation error in NAVDAS, however, the only microwave sensor which is assimilated using correlated observation error is ATMS, currently. In the near future sensors with many water vapor sensitive channels such as SAPHIR and MHS will

be added, and the advantages will be discussed. In addition to recent developments, we will discuss future advanced methods such as precipitation affected radiances, and future sensors. With respect to precipitation affected radiances key components to consider are how best to detect precipitation affected radiances, and how to specify the error associated with those observations. Currently, we are exploring options which do not require a retrieval of liquid, or ice water content, and focus on channel relationships. Finally, as part of the calibration and validation of the Compact Ocean Wind Vector Radiometer (COWVR), we will discuss plans for assimilation of products, and direct assimilation of microwave channels (18.7GHz, 23.8GHz, and 34.5 GHz).

#### **4p.06 Salvaging of the final SSMIS flight unit for a future flight-of-opportunity**

*Presenter: Bryan Karpowicz (for Steve Swadley), U.S. Naval Research Laboratory*

*Authors: Steven D. Swadley, Donald J. Boucher, Eun-Sung Park, Gene A. Poe, David M. Tratt*

The final Special Sensor Microwave Imager/Sounder (SSMIS) that was originally manifested aboard the DMSP F-20 platform became available when that final mission was deactivated. The Naval Research Laboratory Marine Meteorology Division and The Aerospace Corporation have secured the de-manifested SSMIS for potential flight on a future mission-of-opportunity. A number of mission options are under consideration, including installation aboard the International Space Station. The intent is for any such deployment to provide a measure of continuity between SSMIS units currently operating aboard DMSP F-16, F-17, and F-18 and whatever equivalent sensor may be selected for the next-generation DoD Weather Satellite Follow-on program. We will describe the current status of SSMIS preparations for flight.

#### **4p.07 Recent improvements to the assimilation of microwave sounders in the Met Office NWP system**

*Presenter: Brett Candy, Met Office*

*Authors: Brett Candy, William Bell & Amy Doherty*

It is well known that microwave (MW) temperature and humidity sounders such as AMSU and, more recently, ATMS are a key component of the observing system for Numerical Weather Prediction. Recent experiments testing the withdrawal of such instruments in the Met Office system confirm this. This is also reflected in the Forecast Sensitivity to Observation metrics. Consequently the ongoing improvement to the use of such data is an important research and development activity.

In this work we investigate the forecast impact on reducing the amount of thinning applied to the MW sounding data before assimilation. Data thinning is performed in order to reduce the effect of horizontally correlated observation errors and we demonstrate a useful benefit if thinning is applied individually to the five AMSU instruments currently assimilated in Met Office operations. For many years the tropical regions contain an increased amount of horizontal thinning and we show that a unified approach across the globe also yields benefits.

Since late 2015 the AMSUA instrument on board NOAA18 has not been used in operations, following a shift in instrument bias and noise. We show that with careful channel selection and using the newly implemented variational approach to bias correction the instrument can be successfully reintroduced into operations.

Finally careful assessment of the residual biases between observations and the model first guess have highlighted regions in which the use of near surface microwave channels should be reviewed. The impact of these changes are also discussed.

#### **4p.08 Investigation into the impact of SAPHIR on humidity analyses at the Met Office**

*Presenter: Stuart Newman (for Amy Doherty), Met Office*

*Authors: Amy Doherty, Indira Rani, Stu Newman and William Bell*

The Met Office began operational assimilation of SAPHIR data in March 2016. SAPHIR is a microwave humidity sounder with 6 channels centred around the 183 GHz water vapour band. It offers an improvement over similar instruments (e.g. MHS, ATMS) in terms of vertical, horizontal and temporal resolution. A number of investigations were carried out to quantify the impact on humidity analyses. Firstly, 1DVar retrieved humidity profiles from SAPHIR, MHS, ATMS and AMSR-2 were compared. SAPHIR provided a more accurate retrieval than the other humidity sounders and combined use of SAPHIR and AMSR-2 reduced variability in tropical humidity retrieval compared to AMSR-2 alone. Secondly, the effect of a single SAPHIR observation on the humidity increments within the Met Office 4D-Var analysis was investigated and compared to that of ATMS and AMSR-2 alone and also of all three instruments together. It was found that SAPHIR modified the AMSR-2 driven humidity increments to give more realistic vertical humidity structure. Finally, SAPHIR and AMSR-2 were

added to a baseline configuration of the Met Office system and assimilation experiments were run for 6 months. The results of these experiments confirmed the complementarity between SAPHIR and AMSR-2 with improvements over a number of metrics, most notably RMSE of high level wind forecasts which improved by 0.2-0.4% when both instruments were assimilated, a remarkable improvement over either instrument alone; and temperature and relative humidity forecasts at 500 hPa which were improved by 1-2%.

## **Session 5a: Assimilation - clouds**

### **5.01 All-sky assimilation of IASI upper-troposphere water vapour channels**

*Presenter: Alan Geer, ECMWF*

*Authors: Alan Geer, Marco Matricardi, Stefano Migliorini*

Following the significant benefit to forecast scores that came from the all-sky assimilation of microwave humidity sounding channels, ECMWF is trying the same approach with hyperspectral infrared data. The starting point is seven water vapour sounding channels from IASI, and the aim is to assimilate them in clear and cloudy situations, giving around a 150% increase in available observations, particularly in the storm tracks. However, this is a challenging proposition, partly given the sensitivity of these channels to cirrus cloud. First, it is necessary to deal with inter-channel observation error correlations, as is now done when these channels are used in clear-sky. Hence, an observation error model has been devised that inflates both error variances and error correlations in the presence of cloud. Second, the assimilation system generates poor increments, particularly from observations near the start of the window. ECMWF may finally need to consider adding cloud ice and water control variables, so that increments can be made in the cirrus initial conditions rather than aliasing into temperature or moisture. There are also problems of increments going into the stratosphere. Finally, the quality of the radiative transfer model needs to be improved. The Cfrac Max Simple Streams approach (CMSS) generates systematic errors even for the intended target of these upper troposphere water channels. A more sophisticated treatment of cloud overlap needs to be developed for RTTOV, but without incurring the cost of a multiple-independent stream model.

### **5.02 Improved assimilation of cloud-affected hyperspectral infrared radiances**

*Presenter: Edward Pavelin, Met Office*

*Authors: Ed Pavelin*

To gain the maximum benefit from the assimilation of infrared radiances it is necessary to make use of as many observations as possible. Since the majority of fields of view are affected by cloud, it has been necessary to develop methods to dynamically select sub-sets of channels that are unaffected (or only weakly affected) by cloud in each field of view. At the Met Office, this is currently achieved by retrieving cloud top pressure and effective cloud fraction in 1D-Var and selecting channels that are mostly insensitive to the atmosphere below the retrieved cloud top. In order to increase the amount of IR observations assimilated, an alternative scheme has been investigated whereby a full set of channels is assimilated with variable observation errors depending on the retrieved cloud parameters. The observation error model is based on diagnosed observed-minus-background brightness temperature statistics, which are decomposed into a bias and standard deviation component. A look-up-table is used to predict the bias and forward model error due to cloud, and these values are used to apply a bias correction and observation error inflation respectively. It is hoped that this scheme will provide an incremental improvement to the current use of cloud-affected radiances, and serve as a step towards a full assimilation of cloudy IR observations in the future.

### **5.03 Towards the improvement of the assimilation of cloudy IASI observations in numerical weather prediction**

*Presenter: Imane Farouk, CNRM/Meteo-France & CNRS*

*Authors: Imane Farouk, Nadia Fourrié, Vincent Guidard*

Satellite data currently represent the vast majority of observations assimilated into NWP models. However, their exploitation remains suboptimal, only 10% of the total volume is used operationally in assimilation. Furthermore, about 80% of the infrared data are affected by clouds and it is essential to develop the assimilation of satellite observations in cloudy areas. Météo-France NWP models are using since February 2009 AIRS (Atmospheric Infra-Red Sounder) cloudy radiances and since 2012 IASI (Infrared Atmospheric Sounding Interferometer) cloudy radiances with a simple cloud modelisation. The exploitation of the infrared hyperspectral sounder IASI has already improved weather predictions, thanks to its precision and information content never equalled. Nevertheless, its use in storm areas remains very complex because of the strong nonlinearity of cloud processes in the infrared spectrum.

The method for assimilation of cloudy radiances in the present research work is based on the use of a sophisticated radiative transfer model RTTOV-CLOUD, which takes into account the diffusion, in order to better simulate the brightness temperatures from background in the data assimilation of the ARPEGE global model.

This model will allow the simulation of cloudy infrared radiations from atmospheric profiles and cloud microphysical parameters (liquid Water content (lwc), ice water content (iwc), cloud fraction). This approach has been used by Martinet et al 2013 in AROME.

Firstly, we were interested in the selection of homogeneous scenes using the information of AVHRR clusters to place in the most favorable cases. We used different homogeneity criteria such as the criteria proposed by P. Martinet (2013) and R. Eresma (2014) to select observations.

Then we used this information to identify the situations well simulated with RTTOV CLOUD and thus potentially usable in the data assimilation.

Applying our criteria to day and night data over the sea, we found that the application of the homogeneity criteria has a positive impact on our bias results and standard deviation between our observations and simulations.

By applying the homogeneity criteria of Martinet et al (2013), we have a reduction of bias and standard deviation up to 4% with 63% observations, day over sea, while the criteria based on Eresmaa(2014) even lower our standard deviation down to 2% despite keeping only 20% of observations

To further improve our results, we will use a new cloud parameterization with the PCMT (Prognostic Condensate Microphysics and Transport) convection scheme which is available in the current pre-operational suite of the global model in Météo-France, and we will study the impact of this new parametrization on the simulation of brightness temperature.

Then a new approach for using cloudy IASI observations will be proposed for the assimilation. We test different methods of assimilation, and the first results will be shown.

#### **5.04 Development of an all-sky assimilation of microwave imager and sounder radiances for the Japan Meteorological Agency global numerical weather prediction system**

*Presenter: Masahiro Kazumori, Japan Meteorological Agency*

*Authors: Masahiro Kazumori and Takashi Kadowaki*

In numerical weather prediction (NWP), the assimilation of cloud- and precipitation- affected radiance is necessary to obtain accurate initial fields in cloudy areas. The cloud and precipitation phenomena exhibit nonlinear behaviors in their formation and dissipation. To consider such nonlinearity in an incremental four-dimensional variational (4D-Var) data assimilation, the outer-loop iteration is an effective method. In this method, the updates of the trajectory and the recomputations of the departures from the observations are performed in the minimizations of the 4D-Var data assimilation. Currently, cloud- and precipitation- affected radiance observations from polar-orbit satellites are not assimilated in the Japan Meteorological Agency (JMA) operational global NWP system. Moreover, in the 4D-Var data assimilation, the outer-loop iteration is not used to reduce the computational costs in the operational system. In this study, we first examined the impacts of introducing the outer-loop iteration into the JMA global NWP system. During the minimization of the 4D-Var data assimilation, a single update of the trajectory and the recomputation of the departure from the observations are performed. The experimental results show that the introduction of the outer-loop iteration resulted in significant improvements in the tropospheric analysis and forecast accuracy, particularly in the temperature, humidity, and wind fields even when only the same observation dataset was used as the operational system. Then, on top of the introduced outer-loop system, microwave imager [Advanced Microwave Scanning Radiometer 2 (AMSR2), Global Precipitation Measurement (GPM) Microwave Imager (GMI) and Special Sensor Microwave Imager/Sounder (SSMIS)] and humidity sounder [Microwave Humidity Sounder (MHS) and GMI] radiance data were assimilated under the all-sky condition instead of the clear-sky assimilation. The all-sky assimilation resulted in further improvements in the lower tropospheric temperature, water vapor, and wind fields in the analysis and forecast. Furthermore, increases in the used data counts of

the water vapor-sensitive observations (e.g., clear-sky radiance data from geostationary satellites) in the system were confirmed. The major part of the improvements in the lower troposphere resulted from the all-sky assimilation of the microwave imagers, and the microwave humidity sounder contributed to the improvements in the middle and upper tropospheric humidity and wind fields. The details of the experiments are presented in the conference.

#### **5.05 Assimilation of AMSU-A in the presence of cloud and precipitation**

*Presenter: Peter Weston, ECMWF*

*Authors: Peter Weston, Alan Geer, Niels Bormann*

AMSU-A has been a pillar of the global observing system for almost 20 years and remains one of the most important instruments for reducing forecast errors in NWP. However, the majority of NWP centres only assimilate AMSU-A observations in clear sky conditions, i.e. without taking into account the effect of cloud and precipitation on the measurements. This is accomplished by applying strict cloud screening tests as part of the quality control procedures prior to assimilation and running a clear sky radiative transfer model to calculate the model equivalent in observation space.

In recent years it has been shown that assimilating humidity-sensitive microwave radiances in the presence of cloud and precipitation can lead to significant increases in forecast skill. This is done by relaxing the cloud screening quality control, running an all sky radiative transfer model taking into account the scattering effects of cloud and precipitation to calculate the model equivalent in observation space and using an adaptive observation error model. In this presentation the impact of extending the existing clear sky assimilation of temperature-sounding AMSU-A radiances to cloudy areas is investigated.

At ECMWF there are several fundamental, technical differences between the system used to assimilate clear sky radiances and the system used to assimilate all sky radiances. Examples of these differences include the treatment of the surface skin temperature and the data thinning algorithm amongst others. The impact of these differences is assessed and some of the inconsistencies between the two systems are eliminated.

Once the impact of these technical differences is understood the impact of assimilating the extra cloud affected AMSU-A observations is assessed. As well as extending the assimilation of channels 5 to 8 that are already assimilated in clear sky conditions, the assimilation of channel 4 which has strong sensitivity to cloud liquid water is tested. The impact of the extra data is assessed by running OSEs and single observation tests.

#### **5.06 All-sky assimilation of microwave sounders at the Met Office**

*Presenter: Stefano Migliorini, Met Office*

*Authors: Stefano Migliorini, Brett Candy, William Bell, Andrew Lorenc and Ruth Taylor*

According to indicators such as the Forecast error Sensitivity to Observations Impact (FSOI), microwave sounders (particularly AMSU-A) and imagers currently provide more than double the impact of aircraft data, the most important conventional data source within the Met Office data assimilation system. This fact provides a strong motivation to increase the yield of microwave instruments currently used for operational data assimilation. An obvious route to this aim is to avoid discarding data affected by cloud, as currently done at ECMWF and NCEP.

In this talk we will review the current methodology to assimilate moisture-sensitive observations at the Met Office and discuss the recent research aiming to use cloud-affected microwave radiances in operations. First we will discuss the new moisture incrementing operator which partitions increments in total moisture into vapour, liquid and ice phases. The operator is based on empirical relations between these quantities derived from the Office Global and Regional Ensemble Prediction System (MOGREPS). Then we will present the results of a monitoring experiment where AMSU-A channel 4 and 5 radiances were simulated using RTTOVSCATT with input atmospheric profiles on model levels. In particular, statistical results on innovation dependence on observed and simulated liquid water path will be shown. Candidate error models to assimilate AMSU-A channel 4 and 5 radiances in non-raining conditions will also be presented. Finally, an overview of our future work will be discussed.

## **Session 5b: Clouds: assimilation and radiative transfer**

### **5p.01 Matching scales of observed and simulated cloud and precipitation processes seen in the microwave spectrum**

*Presenter: Katrin Lonitz, ECMWF*

*Authors: Katrin Lonitz and Alan J. Geer*

With increasing model resolution and, hence, increasing the effective resolution of modelled cloud and rain systems, one has to think which scales are represented by the model in comparison to observations and if those scales match. This is both true for observations of microwave imagers and microwave sounders assimilated under all-sky (clear, cloudy and rainy) conditions. This study focuses on ongoing work of the all-sky assimilation of microwave imagers, however, the basic idea is also true for microwave sounders sensitive to clouds and precipitation. Motivated by the intention to assimilate low frequency channels in the microwave spectrum, e.g. 10GHz, which are very sensitive to land and heavy rain from convective systems the question has been raised if in the current setup of the assimilation of microwave imagers (MWI) at the ECMWF observed scales are matched to simulated scales. For MWI the footprint increases with a decrease in frequency, i.e. the observed scales are broader for 10GHz compared to 89GHz. Up to now all observations of microwave imagers are averaged over an area of roughly  $r=60\text{km}$  (=superobs), so all observations represent the same scales which is in particular important for cloud and precipitation processes. However, the model resolution of the ECMWF model has increased from 25km to 9km over the past decade, meaning that today's effective resolution of simulated cloud and precipitation processes are higher compared to the superobs observations. This study explores different strategies to match adaptively scales between microwave observations and their corresponding simulations and to make this consistent for all microwave frequencies. Furthermore, plans are discussed to consider better the subgrid variability in cloud and rain.

### **5p.02 Further developments in the all-sky microwave radiance assimilation and expansion to ATMS in the GSI at NCEP**

*Presenter: Yanqiu Zhu, IMSG @NCEP/EMC*

*Authors: Yanqiu Zhu, George Gayno, Paul van Delst, Emily Liu, Jim Purser, Xiujuan Su, Ruiyu Sun, Jongil Han, John Derber, Jun Wang, Fanglin Yang, Xu Li*

Since the implementation of all-sky radiance assimilation of AMSU-A in the Global Forecast System (GFS) at NCEP, significant progress has been made in the all-sky GSI. To facilitate the expansion of the all-sky approach to additional microwave and infrared sensors, the GSI codes for the all-sky capability are generalized with a centralized module and data structure as well as all-sky sensor selection in the sensor information table. Moreover, the all-sky approach is being expanded to radiances of Advanced Technology Microwave Sounder (ATMS). Two other efforts are also under development for the general enhancement for all-sky radiance assimilation. One is the application of the new variational quality control scheme (VQC, Purser 2011) to radiance data, and the other is the treatment of subgrid-scale convective clouds in the GSI. Meanwhile, in the CRTM, fractional cloud coverage (Geer and Bauer 2009) was incorporated.

### **5p.03 All-sky infrared radiances assimilation of selected humidity sensitive IASI channels at NCEP/EMC**

*Presenter: Andrew Collard (for Li Bi) IMSG @NOAA/NCEP/EMC*

*Authors: Li Bi, Andrew Collard, Emily Liu, James Jung, John Derber*

All sky infrared radiances assimilation work of selected humidity sensitive Infrared Atmospheric Sounding Interferometer (IASI) channels using National Centers for Environmental Prediction's (NCEP) Global Forecast System (GFS) will be the main focus of this work. Cloudy radiance simulations are conducted by the latest Community Radiative Transfer Model (CRTM) using the cloud cover option. This version of the CRTM also generates jacobians of liquid-water and ice-water content. In this study, results from parallel experiment for one season will be presented. The experiments are defined as the operational baseline and the operational baseline adding ten selected water vapor sensitive channels from IASI on MetOp-a/b. Cloud cover options will also be discussed. Cloud-dependent quality controls and observation error are evaluated in this study based on the cloud effect. The goal for this preliminary work is to extend NCEP' microwave all sky radiances into the infrared spectrum.

#### **5p.04 Evaluation and assimilation of all-sky infrared radiances of Himawari-8**

*Presenter: Kozo Okamoto, JMA/MRI*

*Authors: Kozo Okamoto, Yohei Sawada, Masaru Kunii, Tempei Hashino, Takeshi Iriguchi and Masayuki Nakagawa*

This study aims at effectively assimilating all-sky infrared radiances (ASRs) from geostationary satellites in global and regional data assimilation systems. The ASR assimilation is expected to give us beneficial impacts on improving analysis and forecasts compared with the current assimilation system in which limited infrared radiances are assimilated in mostly clear-sky regions.

We have been developing and testing ASR assimilation in a regional assimilation system using a cloud resolving model and LETKF data assimilation system. With a cloud-dependent QC procedure and observation error model, ASRs at humidity bands of Himawari-8 shows Gaussian distribution characteristics. Although we found that assimilating ASRs makes generally reasonable change in cloud and humidity, more careful examination is necessary because excessive increments can occur in some un-observed regions. Revising data usage and data assimilation setting is underway.

Furthermore, we have recently started a new project to assimilate ASR in the global operational data assimilation system. As the first step, we compared simulations and observations for ASR of Himawari-8. To better understand the discrepancy between model and simulations, we are planning to use different radiative transfer models (RTTOV and Joint-Simulator). The preliminary results of assimilation in regional system and comparison in global system will be presented in the conference.

#### **5p.05 Scattering from non-spherical frozen particles in all-sky microwave radiative transfer**

*Presenter: Alan Geer, ECMWF*

*Authors: Alan Geer*

The assimilation of all-sky microwave radiances from imagers and sounders requires an accurate simulation of scattering from non-spherical frozen particles like cloud ice, snow, aggregates and hail. At ECMWF this is done using the RTTOV-SCATT radiative transfer package, and for the past few years this has successfully employed the sector snowflake model (from the Liu 2008 database) to represent all frozen particles except cloud ice: a 'one shape fits all' approach. However, there has been a recent explosion of work on particle scattering databases and there are now many potentially more realistic options available, for example densely rimed aggregates to represent graupel. There are also questions whether the model represents enough scattering from cloud ice. Finally the prospect of the EUMETSAT ice cloud imager (ICI, to be launched in 2022) means that particle orientation may need to be modelled for the first time. This presentation will report on activities to improve the scattering representation in RTTOV-SCATT, along with its testing in the ECMWF all-sky assimilation of microwave sounders and imagers.

#### **5p.06 Evaluation and comparison of simulated microwave cloudy radiances using RTTOV-SCAT and ARTS**

*Presenter: Victoria Galligani, CIMA-CONICET*

*Authors: V. Galligani, C. Prigent, P. Salio*

Radiative transfer models are used in numerical weather prediction (NWP) as observation operators during assimilation. In order to assimilate passive microwave radiances in an all-sky scenario, an improvement in our understanding of the scattering properties of frozen hydrometeors is essential. At high microwave frequencies, frozen hydrometeors significantly scatter radiation, and the relationship between radiation and hydrometeor populations becomes very complex. The main difficulty in cloudy microwave remote sensing is correctly characterizing this scattering signal due to the complex and variable nature of the size, composition and shape of frozen hydrometeors. These problems make it hard to assimilate microwave observations in NWP models, particularly in situations where scattering effects are most important, like deep-convective areas, over land surfaces or in moisture sounding channels. In the present study, the performance of the very fast radiative transfer for television operational vertical sounder (RTTOV) and its scattering package, RTTOV-SCATT, is compared with the research radiative transfer model, the Atmospheric Radiative Transfer Simulator (ARTS). In RTTOV-SCATT, the bulk optical properties for hydrometeor species are taken from pre-calculated look-up tables, while in ARTS, the bulk optical properties can be defined by the user. In the present study, different real atmospheric scenarios are used to compare the first-guess (FG) departure for both clear and cloudy sky conditions of the GPM microwave imager GMI, with consistency in the input bulk optical cloud properties. In the context of the upcoming launch of the Ice Cloud Imager (ICI) onboard Metop-SG satellites, the present study also compares the performance of RTTOV and ARTS in the sub-millimeter frequencies.

### **5p.07 Hyperspectral IR cloudy radiance and jacobian simulations: Comparison between RTTOV and LIDORT**

*Presenter: Jerome Vidot, CMS/Meteo-France*

*Authors: Jerome Vidot, Laurent C-Labonnote and P. Brunel*

Infrared cloudy radiances assimilation is considered as one of the major goal in improving NWP. For such goal, a preliminary step is to include cloud scattering in fast RTM model. The RTTOV model uses the Chou scaling approximation for scattering in order to simulate infrared cloudy observations. This approximation is supposed to be able to simulate IR scattering with few tenth kelvin of error. We compared RTTOV TOA infrared simulated spectra (IASI-like) with simulations made with the full scattering model LIDORT. In order to compare only the cloud scattering model, we used the atmospheric transmittance as well as the optical properties of clouds as calculated by RTTOV and inputted them in VLIDORT. The comparison of selected simulated jacobians is also provided. A database of many NWP realistic cloud profiles including ice clouds and water clouds have been used. The effect of different geometries as well as different surface emissivities have been also tested.

### **Session 6a: Climate**

#### **6.01 The role of upper tropospheric cloud systems in climate: Building observational metrics for Process Evaluation Studies**

*Presenter: Claudia Stubenrauch, LMD*

*Authors: C. J. Stubenrauch and GEWEX UTCC PROES group*

Upper tropospheric clouds, representing about 40% of the Earth's total cloud cover, play a crucial role in the climate system by modulating the Earth's energy budget and heat transport. They often form mesoscale systems. Cirrus emerge as outflow of convective and frontal systems or form in cold air supersaturated with water. Their evolution with climate change and their feedback can only be reliably estimated if these cloud systems are adequately represented in climate models.

Recently GEWEX initiated working groups on Process Evaluation Studies (PROES) to provide observational based metrics for a better understanding of physical processes. One goal of the PROES working group on 'Upper Tropospheric Clouds and Convection' (UTCC) is to gain a better understanding of the role of convection on cloud feedbacks (Stubenrauch and Stephens 2017).

Studies on tropical mesoscale convective systems so far concentrated mainly on the thick cirrus anvils, because radar and visible-infrared imagery either miss or misidentify thin cirrus. However, the thinner cirrus are thought to be a part of the anvils that has a significant radiative impact which might regulate convection itself.

Hence we are creating a synergetic data base of UT cloud systems anchored on IR Sounder observations, because these are sensitive to cirrus down to an optical depth of 0.2, day and night. By merging adjacent measurements with similar cloud height, the horizontal extent of these cloud systems has been determined, and convective cores, cirrus anvils and thin cirrus within these systems could be identified using cloud emissivity (Protopapadaki et al. 2017). The A-Train synergy provides information on the vertical structure and precipitation of these systems, essential to determine their heating rates, and helps to derive proxies for convective strength.

We will present relationships of anvil properties with respect to convective strength and to their surrounding atmosphere. This observational metrics is being used to evaluate different convection / detrainment / microphysical parameterizations in climate models as well as studies of these processes using modelling at finer scale.

Protopapadaki, E.-S., C. J. Stubenrauch, and A. Feofilov, Upper Tropospheric cloud Systems derived from IR Sounders: Properties of Cirrus Anvils in the Tropics, *Atmosph. Chem. Phys.*, 17, 3845-3859, doi:10.5194/acp-17-3845-2017 (2017)

Stubenrauch, C. J., and G. L. Stephens, Process Evaluation Study on Upper Tropospheric Clouds and Convection (UTCC PROES), *GEWEX News*, vol. 27, no 2, pp 4-6 (2017), available at: [http://www.gewex.org/gewex-content/files\\_mf/1500657263May2017.pdf](http://www.gewex.org/gewex-content/files_mf/1500657263May2017.pdf)

## **6.02 35 years of cloud observations based on HIRS measurements**

*Presenter: Martin Stengel, DWD*

*Authors: Martin Stengel, Steffen Kothe, Timo Hanschmann, Viju John*

High clouds are of crucial importance for understanding the Earth's climate. High clouds, which are often semi-transparent, can actually have a warming effect on the Earth's energy budget, while low and mid-level clouds usually cool the Earth. Thus, there is a need for detecting high clouds and analysing their spatial and temporal variability, also in the context of a changing climate.

Within the EUMETSAT Satellite Application Facility on Climate Monitoring (CM SAF) a new dataset for cloud amount and cloud top height of high clouds has been generated, making use of a set of CO<sub>2</sub> absorption channels of the High-resolution Infra Red Sounder (HIRS) onboard NOAA and MetOp satellites. This dataset allows in-depth analyses of high cloud occurrence and, due to the temporal coverage (1979 to 2013), allows these analyses, including the detection of potential trends, at climate time scales.

In this presentation we will summarize the most important aspects of the HIRS based cloud property dataset used. We will further use this dataset to analyse the spatial and temporal occurrence of high clouds, investigating their change in more than three decades, and relate these results to changes in sea surface temperature and atmospheric water vapour over the ice free ocean.

## **6.03 Evaluation of the CM SAF Upper Tropospheric Humidity (UTH) climate data record from AMSU-B/MHS sounders**

*Presenter: Christoforos Tsamalis, Met Office Hadley Centre*

*Authors: Christoforos Tsamalis, Viju John, Rob King, Elizabeth Good, Roger Saunders and Rainer Hollmann*

Upper tropospheric humidity (UTH) contributes significantly to the atmospheric greenhouse effect by having a strong influence on the outgoing longwave radiation. UTH can be measured either by radiosondes or satellite instruments. However, the radiosonde humidity measurements can suffer from significant biases in the upper troposphere. Satellite observations of UTH are made at both the spectral region of 6.7  $\mu\text{m}$  in the thermal infrared (TIR) and the microwave (MW) channel of 183.31 $\pm$ 1 GHz. Although, the former provide longer time-series, they are impacted significantly by the presence of clouds introducing a dry bias in climate data records (CDR) given that cloudy regions are associated with high humidity. The MW observations are less influenced by the presence of clouds in comparison to TIR measurements. In the framework of the EUMETSAT Climate Monitoring Satellite Application Facility (CM SAF), a CDR has been produced based on observations from the MW sounders AMSU-B and MHS aboard NOAA and MetOp satellite platforms. The CDR extends from October 1999 to December 2015 using all of the good quality observations from the available MW humidity sounders on NOAA 15 to 19 and MetOp A and B. The brightness temperature observations have been bias corrected with reference to MHS on NOAA-18, before converting them to UTH. The CDR is consisted of daily NetCDF files, where the ascending and descending orbits are treated separately, and the UTH is given at a spatial resolution of 1 degree globally. The CDR is validated against ERA-Interim reanalysis UTH for the same period. An overview of the UTH CDR is presented together with results from its evaluation.

## **6.04 Evaluation of inter-sensor biases between SNPP/ATMS and POES/AMSU-A**

*Presenter: Cheng-Zhi Zou, NOAA/NESDIS/STAR*

*Authors: Cheng-Zhi Zou and Xianjun Hao*

The Advanced Technology Microwave Sounder (ATMS) onboard the Suomi National Polar-orbiting Partnership (SNPP) satellite mission is a new generation of microwave sounder designed to measure the atmospheric temperature and moisture profiles for numerical weather prediction. The ATMS will also be equipped on the NOAA next generation of operational weather satellite series—the Joint Polar Satellite System (JPSS), potentially providing atmospheric temperature and moisture observations for the next two decades. The potentially long-time observations from the SNPP and JPSS ATMS have important climate implication-- it can be merged with its predecessor, the Advanced Microwave Sounding Unit (AMSU-A), to form a long-term climate data record for climate change monitoring and investigation.

Before the merging, it is critically important to understand the inter-sensor biases between the two different instruments. The SNPP was launched on October 2011, having nearly 6 years of overlap at 2017 with the current operational POES satellites. This overlap provides an opportunity to evaluate the inter-sensor biases between ATMS and AMSU-A for future climate applications. We use the recalibrated/inter-calibrated AMSU-A

observations for this evaluation. The recalibrated AMSU-A data was based on an Integrated Microwave Inter-calibration Approach (IMICA), which removed or minimized time-varying inter-satellites biases, resulting in inter-satellite biases to be on the order of 0.1K. As a result, the recalibrated AMSU-A data can serve as a reference. The ATMS data may be compared with AMSU-A on any satellites within the recalibrated satellite constellation and the comparison results will be basically same. By comparing ATMS with AMSU-A data on multiple POES satellites, potential issues in the ATMS, if ever existed, may be revealed.

In this presentation, we present and analyze the ATMS-AMSU-A comparison results. We will mainly analyze the ATMS oxygen channels -- channels 5 to 15. Difference time series in global means as well as in simultaneous nadir overpasses (SNOs) are both analyzed to achieve the best judgment on the bias nature between the two instruments. Global inter-sensor bias patterns will also be analyzed to understand the diurnal influence on the biases. Conclusion and recommendation of future directions will be made based on the results.

#### **6.05 The use of satellite radiances in the C3S ERA5 reanalysis**

*Presenter: William Bell, ECWMF*

*Authors: William Bell, Paul Berrisford, Gionata Biavati, Per Dahlgren, Dick Dee, Hans Hersbach, Andras Horanyi, Joaquin Munoz-Sabater, Carole Peubey, Raluca Radu, Iryna Rozum, Dinand Schepers, Adrian Simmons, Cornel Soci and Jean-Noel Thepaut.*

At the European Centre for Medium-Range Weather Forecasts (ECMWF), reanalysis is a key contribution to the Copernicus Climate Service (C3S) that is implemented at ECMWF on behalf of the European Commission. This presentation provides an overview of the latest ECMWF atmospheric reanalysis ERA5, which is currently in production and will replace the widely used ERA-Interim reanalysis.

ERA5 is the fifth generation of ECMWF atmospheric reanalyses of the global climate, which was pioneered with the FGGE reanalyses produced in the 1980s, followed by ERA-15, ERA-40 and most recently ERA-Interim. Particular attention will be given to the innovative aspects of the use of satellite radiances, which comprises, e.g., the ingestion of a number of reprocessed data sets, data never used before, and required adaptations in the radiative transfer model. The presentation will also provide an outlook on the potential usage of an extended satellite record for the next ECMWF C3S reanalysis, ERA6.

Compared to ERA-Interim, ERA5 incorporates important innovations to maintain the production of state-of-the-art climate reanalysis. ERA5 is based on a recent ECMWF model cycle which uses the 4D-Var assimilation method for the atmosphere, and includes coupling with ocean waves and a land model. Radiative forcing follows the evolution of greenhouse gases, volcanic eruptions, ozone and aerosols as recommended by CMIP5 and consistent boundary conditions are used for sea-surface temperature and sea ice. A number of reprocessed data records are ingested as well as several data sets that have never been re-used before. ERA5 is able to assimilate the latest instruments. Bias correction schemes have been extended and improved.

ERA5 is produced at considerably higher resolution: hourly analysis fields are available at a horizontal resolution of 31 km on 139 levels in the vertical. Data products include information about uncertainties which is provided by a lower-resolution 10-member 4D-Var ensemble. A number of new parameters, such as 100-metre wind speed and direction, are available as part of the output. A database containing all ingested observations, together with detailed information about how they are used, are available to users. In addition, a dedicated ERA5 land component will deliver a land-surface reanalysis product at an enhanced resolution.

### **Session 6b: Climate**

#### **6p.01 An assessment of the consistency between satellite measurements of upper tropospheric water vapor**

*Presenter: Eui-Seok Chung, University of Miami*

*Authors: Eui-Seok Chung, Brian Soden, Xianglei Huang, Lei Shi, and Viju John*

Consistency of the satellite-based observations of upper tropospheric water vapor (UTWV) is assessed by comparing brightness temperature measurements from the High-Resolution Infrared Radiation Sounder (HIRS) channel 12, the Advanced Microwave Sounding Unit-B/Microwave Humidity Sounder 183.31±1 GHz channel, and spectral radiances from the Atmospheric Infrared Sounder. While all three products exhibit consistent spatial and temporal patterns of interannual variability, noticeable discrepancies in the amplitude of regional

trends are observed due to differences in cloud screening. The trends over tropical or near-global spatial scales are however found to be consistent among the three products, suggesting their credibility for documenting long-term changes in UTWV. We also examine the variability of reanalysis-produced and model-simulated UTWV using the HIRS record as a benchmark. While the HIRS record indicates a moistening trend over the climatologically dry regions of the subtropics, both reanalysis data sets and the multi-model mean are found to have difficulty in capturing the observed moistening.

#### **6p.02 The GEWEX water vapor assessment (G-VAP) – results from inter-comparisons and stability analysis**

*Presenter: Nathalie Selbach, DWD*

*Authors: Marc Schroeder, Maarit Lockhoff, Lei Shi, Nathalie Selbach*

A large variety of water vapour data records is available to date. Without proper background information and understanding of the limitations of available data records, these data may be incorrectly utilised or misinterpreted. The overall goal of assessments of CDRs is to conduct objective and independent evaluations and inter-comparisons in order to point out strengths, differences and limitations and, if possible, to provide reasons for them. The need for such assessments is part of the GCOS guidelines for the generation of data products. The GEWEX Data and Assessments Panel (GDAP) has initiated the GEWEX water vapor assessment (G-VAP) which has the major purpose to quantify the current state of the art in water vapour products being constructed for climate applications. All atmospheric ECVs associated with water vapour are considered: upper tropospheric humidity, specific humidity (and temperature) profiles as well as total column water vapour. In order to support GDAP and the general climate analysis community G-VAP intends to answer, among others, the following questions:

- a) How large are the differences in observed temporal changes in long-term satellite data records of water vapour on global and regional scales?
- b) Are the differences in observed temporal changes within uncertainty limits?
- c) What is the degree of homogeneity (break points) of each long-term satellite data record?

A general overview of G-VAP will be given. The focus of the presentation will be on observed inconsistencies among the long-term satellite data records as observed by inter-comparisons, comparisons to in-situ observations and the stability analysis. The considered data records are based, among others, on HIRS, AMSU-B, SSM/I, and SSMIS observations as well as reanalyses. On basis of consistently applied tools major differences in state-of-art CDRs have been identified, documented and to a large extent explained. The results and the answers for TCWV are summarized as follows: On global ice-free ocean scale the trend estimates among long-term data records were generally found to be significantly different. Maxima in standard deviation among the data records are found over, e.g., tropical rain forests. These and other noticeable regions coincide with maxima in mean absolute differences among trend estimates. These distinct features can be explained with break points which manifest on regional scale and which typically do not appear in stability analysis relative to ground-based observations. Results from profile inter-comparisons will also be shown and exhibit, among others, that the observed break points are not only a function of region but also of parameter.

#### **6p.03 Climate Data Records and user service of the EUMETSAT Satellite Application Facility on Climate Monitoring**

*Presenter: Nathalie Selbach, DWD*

*Authors: Nathalie Selbach, Petra Fuchs, Karsten Fennig, Uwe Pfeifroth, Britta Thies, Diana Stein, Stefan Finkensieper, Jinghong Tan*

The EUMETSAT Satellite Application Facility on Climate Monitoring (CM SAF) generates, archives and distributes widely recognized high-quality satellite-derived products and services relevant for climate monitoring. Several data sets have been released by CM SAF during the last project phase, the Continuous Development and Operations Phase 2 (CDOP-2). In CDOP-3 (running from 2017 to 2022) new editions of climate data records (CDR) will be published. Thus, users have access to many parameters of the water and energy cycle based on operational satellite instruments. The time series of the climate data records range from 8 to more than 30 years with a global coverage for data based on polar orbiting satellites, while those based on geostationary satellite data have a regional coverage (the METEOSAT disc at 0° for currently available TCDRs),

CM SAF is offering CDRs generated from ATOVS, AVHRR, SMMR, SSM/I and SSMIS on different polar orbiting satellites as well as from the MVIRI, SEVIRI and GERB instruments onboard the METEOSAT series and similar

instruments on further georing satellites. Furthermore, CM SAF will focus on precipitation as an additional new parameter in the current project phase.

These CDRs are made available via a web user interface which also allows applying post-processing procedures, such as the extraction of sub-areas or re-projection.

The planned climate data records to be released until the end of CDOP-3 will cover several cloud parameters, surface albedo, radiation fluxes at top of the atmosphere and at the surface, land surface temperature, precipitation as well as different water vapour parameters and fluxes. As for the already released data sets, different areas of the globe will be covered in varying temporal resolution depending on the satellite type.

This contribution will present the new releases of CM SAF climate data records planned for CDOP-3 and will give a general overview of the current and planned re-processing activities at the CM SAF. The concept of providing TCDRs as long term data sets in connection with providing related ICDRs in near real time suitable for climate monitoring applications will be shown. In addition, the offered user services of CM SAF will be presented.

#### **6p.04 Preparing HIRS radiances as input to reanalysis within the Copernicus Climate Change Service**

*Presenter: Timo Hanschmann, EUMETSAT*

*Authors: Timo Hanschmann, Gerrit Holl, Viju John, Rob Roebeling, Jörg Schulz*

Availability of operational satellite observations on a multi decadal time scale leads to an increased use of them in reanalysis and climate studies, e.g., for the investigation of climate trends and feedbacks. However, to detect and evaluate climate trends and feedbacks, the time series need to have a high temporal stability, accuracy (small bias), and precision (high signal to noise ratio). One objective of the Copernicus Climate Change Service (C3S) is to provide improved global and regional reanalysis data that meet these requirements. In order to achieve this goal, their input observations, must be accurate, stable, and available over long time periods. Serving this need, the Fidelity and uncertainty in climate data records from Earth Observations (FIDUCEO) project reassesses satellite observations of different instruments, in terms of determine the uncertainty, recalibrating the measurements and thus increasing the accuracy.

Within the presented study, we demonstrate an undertaking of preparing such an input satellite dataset for reanalysis in a research-to-operations mode. A Fundamental Climate Data Record (FCDR) of the High Resolution Infrared Radiation Sounder (HIRS) is prepared, based on the latest science including metrological principles. This means not only revising the calibration and harmonising the series, but also determining all the associated uncertainties.

This presentation shows the results of the data quality analysis of the very first HIRS instruments. These were operated on board of NIMBUS-6, TIROS-N, NOAA-6, and NOAA-8 and have been rarely investigated until now. The time series of HIRS is analysed in terms of their stability and variability. Their results are also qualitatively compared to new versions of the HIRS instrument, such as those on board of NOAA 19 and MetOP-A.

#### **6p.05 Towards homogeneous reference datasets from MetOp A and MetOp B validated observations**

*Presenter: Cyril Crevoisier, CNRS-LMD*

*Authors: N.A. Scott, L. Crépeau, F. Capalbo, R. Armante, V. Capelle, C. Crevoisier, A. Chédin*

For the validation of satellite level1 and level2 products, for our in house applications as well within the frame of the GSICS programme through our cooperation with CNES, we have developed and applied, for a long time, physically coherent quality control tests to detect errors in satellite and atmospheric/surface observations: among many others are errors related to format problems, spurious trends, degradation of the instruments, cross-track asymmetry problems, physically unrealistic values, temporal and vertical inconsistencies in temperature or dew point temperature profiles, ... .

Based on one or several instruments on board the same or different platforms, these quality controls as well as the required spatial, spectral, temporal and viewing geometry matchings have been already described in former presentations as part of our "stand alone" and "relative" validation approaches. These quality control and validation activities keep going on for IR and microwave instruments on board satellites operated e.g. by CNES and/or Eumetsat, NASA. Among them, not only IASI, AMSU, HIRS, MHS on board MetOp A and B but also IIR/Calipso, Modis/Aqua, Sevir/MSG.

All these validation activities (satellite observations, ARSA (Analyzed RadioSoundings Archive) data base) have led us to build a robust, global sample of MetOp - clear and not clear- observations collocated in space and time with ARSA. This dataset, so far dedicated to the MetOp – and possibly to post MetOp - era now represents years of information with product updates at approximately 3 month delay from real-time.

The interest for such datasets (e.g. Reale and Thorne, 2004) has been clearly expressed by GSICS/WMO a long time ago. Due to the especially high stability of the IASI radiances and, equally important, to the careful validation of every atmospheric profile accepted in ARSA (more 5,500,000 profiles from 1979 onwards , our dataset may offer a high degree of homogeneity and, as such, be used for assessing the variability of other datasets (satellite observations, raw radiosonde reports, level 2 products).

The goal of this talk is to present how the high stability of IASI radiances (demonstrated from our ongoing work on the validation of IASI/MetOp A and B level1 data) may help the validation of other instruments not only onboard MetOp but also as IIR/Calipso and Modis/Aqua. Characteristics and description of the collocated MetOp/ARSA dataset will be given as well as will be discussed the capability of such a dataset to help homogenizing other simple or composite datasets.

<http://ara.abct.lmd.polytechnique.fr>

#### **6p.06 Intercomparisons and validation of IASI L1 reprocessed data of MetOp-A**

*Presenter: Mayte Vasquez, EUMETSAT*

*Authors: Mayte Vasquez, Dorothee Coppens, Marie Doutriaux-Boucher*

EUMETSAT intends to reprocess IASI L1 products covering data starting from July, 2007 in order to account for Day-2 algorithms, format evolution and also the latest configuration improvements. The reprocessed products will specially allow evaluating the content and the improvement of the data and particularly in terms of spectral calibration. Thanks to the Metop-B Cal/Val where the configuration parameters have been revised and are confined to cutoff parameters for algorithms and instrument characteristics. Some of these parameters have been beneficial to IASI on Metop-A from 2013 on. The reprocessing also aims at aligning as much as possible the IASI data measured before 2013 with those parameters.

Comparing the operational quality monitoring statistics and figures of the data is the basis for ensuring the quality of the reprocessed products. Furthermore, related validation activities are presented here through the analysis of intercomparisons to provide an assessment on the stability of the dataset and to discard any reprocessing issues. The validation plan is moreover based on comparisons to revise the general consistency of the reprocessed products, radiances analysis, cloud information, quality flags and on-ground parameters check. These results are of great interest to the users' community and operational L2 products reprocessing since they may lead to a better and homogeneous spectra quality, and a more consistent climate record.

#### **6p.07 Detection of trends and variability of certain atmospheric features by analysing long time series of satellite monitoring statistics**

*Presenter: Mohamed Dahoui, ECMWF*

*Authors: Mohamed Dahoui and Tony McNally*

The substantial longevity and stability of certain satellite instruments offers a unique opportunity to characterize and study the variability and evolution of certain atmospheric features using long time statistics of observations minus short range forecasts. With nearly 15 years of continuous observations, instruments like AIRS and AMSUA provide a detailed look on long term and seasonal variability of CO2 concentrations, wildfire driven concentration of certain atmospheric species (e.g. hydrogen cyanide), Sudden stratospheric warming events, orographic gravity waves, etc. Statistics based on fixed analysis systems is very useful to isolate the trends driven by the climate. The impact of instrumental drift and the effects of the evolving observing system need to be taken into account before reaching conclusions. This can be achieved by exploiting redundancies in the observing system (e.g. many AMSUA and GPSRO instruments) and also by comparing statistics from various analysis systems (operational analysis, ERA-Interim and ERA-5).

### **6p.08 An overview of the global warming "hiatus" and new studies**

*Presenter: Xiao-Hai Yan, University of Delaware/Xiamen University*

*Authors: Xiao-Hai Yan, Tim Boyer, Kevin Trenberth, Thomas R. Karl, Shang-Ping Xie, Veronica Nieves, Ka-Kit Tung, Dean Roemmich*

Global Mean Surface Temperatures (GMST) reflected a smaller rate of warming during 1998-2013, compared to the warming in the latter half of the 20th Century. This recent 16-year period has been termed the "global warming hiatus." There are a number of uncertainties and knowledge gaps regarding the hiatus. This talk/paper reviews these issues, and also posits insights from a collective set of diverse information that help us understand what we do and do not know. One salient insight is that the GMST phenomenon is a surface characteristic that does not represent a slowdown in warming of the planet, but rather is an energy redistribution within the oceans. The amount of heat absorbed by ocean is so great that the heat redistributed from atmosphere during the so-called hiatus period is lost in noise. Better understanding of ocean distribution and redistribution of heat will help better monitor Earth's energy budget. A review of recent scientific publications on the "hiatus" shows the difficulty and complexities in pinpointing the oceanic sink of the missing atmospheric heat which defines the "hiatus". Advances in "hiatus" research and outlooks (recommendations) are given in this presentation/paper.

## **Session 7: NWP centre reports**

### **7p.01 Recent upgrades of satellite radiance data assimilation at JMA**

*Presenter: Norio Kamekawa, JMA*

*Authors: Norio Kamekawa, Masahiro Kazumori, Yoichi Hirahara, Yasutaka Murakami, Yasutaka Ikuta*

This poster overviews recent upgrades of satellite radiance data assimilation into the numerical weather prediction (NWP) system at Japan Meteorological Agency (JMA) since the last ITSC-20 in October 2015. Assimilation of microwave radiance data from the Advanced Technology Microwave Sounder (ATMS), Hyper Spectral Infrared Sounder radiance data from the Cross-track Infrared Sounder (CrIS) of the Suomi National Polar-orbiting Partnership (NPP) spacecraft, and humidity sounding channels calibrated with the Unified Preprocessor Package from Special Sensor Microwave Imager/Sounder (SSMIS) onboard DMSP F-17 and F-18 satellite were started. In the ATMS radiance assimilation, tropospheric channels (6 – 9, 18 – 22) have been actively assimilated, while the stratospheric channels (10 – 15) are not used because of an increase of the first-guess departure from AMSU-A in the high latitude areas. In the CrIS radiance assimilation, 27 long-wave temperature sounding channels from 399-channel data set provided from the National Environmental Satellite, Data, and Information Service (NESDIS) were used. For the SSMIS 183 GHz radiance, oceanic SSMIS UPP data are assimilated with a newly developed cloud detection algorithm which utilizes multiple microwave observation channels. The Global Precipitation Measurement Microwave Imager data and Himawari-8 Clear Sky radiance data have been operationally assimilated into JMA's global and meso-scale NWP systems since March 2016. Furthermore, satellite radiance data under clear sky condition have been operationally assimilated into JMA's local NWP system since January 2017.

### **7p.02 Overview of ECMWF NWP changes since ITSC-20**

*Presenter: Mohamed Dahoui, ECMWF*

*Authors: Mohamed Dahoui and Stephen English*

Since ITSC-20, ECMWF implemented three substantial upgrades (41R2, 43R1 and 43R3) of its integrated Forecasting System. The three model cycles had a significant positive impact on forecast skill in the medium range and monthly forecasts. The model cycle 41R2, introduced in March 2016, was marked by a major upgrade of the horizontal resolution that affected all model and data assimilation components. The horizontal resolution of the ocean model (NEMO) increased by a factor of four in cycle 43R1 (November 2016). In addition to the horizontal resolution changes, the three model upgrades included significant observation, data assimilation and model changes. The main observation changes are: Activation of more humidity sounding channels (F-18 SSMIS, MWHS-2, GMI and SAPHIR), extension of all-sky assimilation to snowy land surfaces, harmonisation of microwave sounders usage over land and sea-ice, slant-path radiative transfer for clear-sky sounding observations, situation dependent observation errors for AMSUA, Updated observation error covariance matrices (including inter-channel error correlations) for IASI and CrIS, new channel selection for CrIS, improved aerosol detection for infrared sounders, improved quality control for GPSRO, radiosondes and dropsondes, improved screening of infrared observations for abnormal high concentrations of wildfire Hydrogen cyanide, assimilation of aircraft humidity, activation of Meteosat-8 over the Indian Ocean and assimilation of snowfall from NexRad Radar network. Data assimilation changes included: EDA cycling of its

own background errors, improved scale-dependant hybrid background errors using the EDA, improved humidity background error variance, increased resolution of EDA-derived background errors, revised wavelet filtering of background error, reintroduction of model error forcing in the stratosphere. The model changes included: improved freezing rain physics, improved radiation-surface interaction, increased super-cooled liquid water at colder temperatures, new aerosol climatology, efficient radiation scheme, changes to parcel perturbation for deep convection to be proportional to surface fluxes, improvements of linear physics used in the data assimilation. In the ensemble system, an interactive sea-ice model was introduced to dynamically evolve the sea-ice cover in the medium range and monthly time scales.

Apart from model changes a number of operational data incidents occurred and required corrective actions. A timeline of the main data events will be presented.

### **7p.03 Developments in satellite data assimilation at DWD since ITSC-XX**

*Presenter: Christina Köpken-Watts, DWD*

*Authors: Ch. Köpken-Watts, R. Faulwetter, O. Stiller and colleagues*

Major upgrades at DWD over the last two years have been the move to ensemble based data assimilation systems with the introduction of the global ICON-EnVAR system early 2016 and the high-resolution COSMO-KENDA system spring 2017 resulting in a marked improvement of forecast scores. For radiance assimilation, the current focus for the global ICON-EnVAR is on enhancing the humidity analysis through implementation of current humidity sounders and MW imagers. Work on hyperspectral data focuses on CrIS data as well as tests using the PC compressed IASI data disseminated by EUMETSAT. To enhance data coverage over land, emissivity retrieval approaches are studied both for the MW and IR, the latter also in preparation of future hyperspectral geostationary data.

For the high-resolution COSMO-KENDA system, the aim is to make use of cloud information from geostationary satellites. The assimilation of WV channels under clear and cloudy conditions is studied as well as the complementary use of visible channel cloud information.

The overview poster will summarize the changes of the operational assimilation system and the satellite data use at DWD and highlight ongoing developments.

### **7p.04 Overview of infrared radiance assimilation in Météo-France models**

*Presenter: Nadia Fourrie, Météo-France*

*Authors: N. FOURRIE, V. GUIDARD, N. BOUKACHABA, O. COOPMANN, P. MOLL, J.F. MAHFOUF*

A large part of assimilated observations in the global model of Météo-France comes from infrared radiances. Since Radiances from AIRS, IASI on board Metop A and Metop B, and CrIS are assimilated in the global model. The infrared radiances are also used in the mesoscale model AROME. This poster intends to give an overview of the infrared radiance usage in the French models and the status of the current developments. The IASI channel data set has been increased with 5 ozone sensitive channels. The assimilation density has been increased and one IASI observation is now assimilated in a 100km<sup>2</sup> box (instead of 125km). In addition, the surface emissivity atlas from the University of Wisconsin is now used for the simulation of the IASI brightness temperature and the land surface temperature is retrieved with the 1194 IASI channel for clear sky conditions, in both global and mesoscale models. Water vapour and tropospheric channels from Cris have been also added in the assimilation. The clear sky radiances from 5 geostationary satellites are also used in the analysis. Moreover, SEVIRI window channel are now assimilated over sea and channels from HIMAWARI satellites are now assimilated in the global model. The information content of the various observation type is regularly computed and will be presented.

### **7p.05 Ongoing developments on the use of microwave sounders and imagers at Météo-France**

*Presenter: Florian Suzat, Météo-France*

*Authors: F. Suzat, P. Chambon, and J.F. Mahfouf*

A large number of satellite radiances from microwave sounders and imagers is assimilated in the operational global and regional Numerical Weather Prediction (NWP) models at Météo-France (ARPEGE and AROME). Currently, microwave instruments represent 15 % of the total number of observations assimilated in the global NWP model ARPEGE, and contribute to 25 % of the analysis information content. Recent developments undertaken over the last two years regarding an improved usage of microwave radiances will be described.

A set of additional radiances from new sensors will be assimilated or monitored in next operational suite, MWHS2 on board FY3-C (3 channels around 183 GHz), GMI on board GPM Core (2 channels around 183 GHz), AMSR-2 on board GCOM-W1 (7 channels between 19 and 80 GHz) and MTVZA-GY on METEOR-M N2 (monitored). The impact studies conducted for these instruments will be summarized. A number of other changes affecting the simulation of microwave radiances will also be presented: (i) a set of monthly emissivity atlases (complementary to the dynamic emissivity retrieval over continental and sea-ice surfaces) has been set up and tested. The added value of using these atlases taking into account the seasonal variability of surface emissivity against static (annual mean) atlases will be shown. (ii) The RTTOV microwave coefficients have been updated using revised coefficients with band corrections. Finally, the increase in the horizontal resolution of the ARPEGE model planned in the near future has motivated a study on assimilating microwave radiances at higher spatial density (100 km instead of 125 km). Preliminary results of such an horizontal increase will be discussed.

#### **7p.06 NWP centre update: Met Office**

*Presenter: Brett Candy, Met Office*

*Authors: William Bell, Anna Booton, James Cameron, Brett Candy, Fabien Carminati, Amy Doherty, Graeme Kelly, Katie Lean, Stefano Migliorini, Stuart Newman, Ed Pavelin, Indira Rani, David Rundle, Andrew Smith, Fiona Smith and Peter Weston*

Since ITSC-20 in October 2015, the Met Office have made four major upgrades to their global and regional NWP models, all of which have involved improvements in the assimilation of satellite radiance data: Parallel Suite 37 (PS37, March 2016); PS38 (November 2016); PS39 (July 2017) and PS40 (November 2017).

PS37 included the implementation of variational bias correction (VarBC) in the global model, together with the introduction of radiance observations from several new satellites (MT-Saphir, AMSR-2, F-17 SSMIS and FY-3C MWHS-2). Additionally, the assimilation of AIRS and CrIS data took account of inter-channel error correlations, bringing the treatment in line with that adopted for IASI radiances since January 2013. PS37 delivered significant improvements in forecast quality, amounting to RMS error reductions of 5-10% at Day 3 for SH geopotential heights and PMSL, for example. The benefits are attributed to 70% VarBC, 30% new satellites.

PS38 saw the introduction of dynamic spectral emissivity estimates over land surfaces for AIRS and CrIS in the global and regional models. Dynamic estimation of emissivity in the microwave allowed the assimilation of AMSUA-4 and -5 over land in the global model. FY-3B MWHS-1 data was introduced. Finally, observation errors for humidity sensitive microwave channels were re-tuned, generally resulting in significantly more weight being given to the observations, and delivered improved analyses and forecasts of humidity.

PS39, principally an upgrade to the resolution of the global model (to 10km), included an upgrade to RTTOV-11 (from RTTOV-9).

PS40 included the introduction of GMI observations in the global model, as well as several improvements in the use of microwave sounding radiances from AMSU-A and ATMS. Key channels of NOAA-18 AMSU-A were re-introduced following earlier blacklisting due to drifting biases; more data were assimilated through changes in the thinning of the data; and low peaking channels of ATMS and AMSU-A were blacklisted over sea-ice and high land.

During the last two years several improvements have been made to the assimilation of satellite radiances in the UKV 1.5km regional model: the introduction of ATMS, CrIS and AIRS at PS37; and the dynamic estimation of land surface emissivity for AIRS and CrIS at PS38.

Looking forward, key developments anticipated for the next year include: all-sky treatment of microwave radiances at PS41 (Spring 2018); assimilation of JPSS-1 ATMS and CrIS observations; the assimilation of AMSU, MHS and IASI radiances from MetOp-C; preparations for the assimilation of FY-3C MWRI data, and FY-3D HIRAS, MWTS-2, MWHS-2 and MWRI data; improved assimilation of humidity sensitive radiances over land; and an assessment of Meteor M-N2 MTVZA data.

### **7p.07 Satellite assimilation at the Bureau of Meteorology (Status Report)**

*Presenter: Fiona Smith, Bureau of Meteorology (and Met Office)*

*Authors: Fiona Smith, Chris Tingwell, Jin Lee, John Le Marshall, Peter Steinle and Sergei Soldatenko*

This poster will present the current status of satellite data assimilation in the Bureau of Meteorology's NWP systems, including results of recent impact studies and planned DA upgrades.

### **7p.08 NCMRWF NWP status**

*Presenter: Indira Rani S., NCMRWF*

*Authors: E. N. Rajagopal, John P. George, Munmun DasGupta, Indira Rani S*

Current status and future plans of NCMRWF's operational NWP is discussed in this paper. Different types of current and future satellite observations used in the NWP system and the data assimilation techniques are discussed in detail.

### **7p.09 Progress and plans for the use of radiance data in the NCEP global and regional data assimilation systems**

*Presenter: Andrew Collard, NCEP (for John Derber)*

*Authors: John Derber, Yanqiu Zhu, Emily Liu, Russ Treadon, Rahul Mahajan, Daryl Kleist, Catherine Thomas, Paul van Delst, Xu Li and Andrew Collard*

Since the last International TOVS Study Conference in October 2016, there have been two major operational upgrades to the data assimilation system at NCEP (in May 2016 and July 2017). Most of the significant scientific changes were in the 2016 upgrade, with the 2017 upgrade mostly consisting of a change to the forecast model infrastructure.

The most significant advance in this period were:

- 1) Assimilation of cloudy radiances for AMSU-A.
- 2) The 3DEnsVar data assimilation system was extended to 4DEnsVar (thereby allowing the time evolution of the the background error statistics within the assimilation window).
- 3) The sea-surface temperature is directly analysed in the data assimilation system using a near sea-surface temperature (NSST) model to account for measurements' various penetration depths.
- 4) The microwave sea-surface emissivity model in CRTM was upgraded to FASTEM-6 (which has the effect of effectively removing a 2K bias seen in AMSU-A channels 1, 2 and 15) and the algorithms that process the azimuthal dependence of the emissivity were revised.

There will be a mini-implementation in the spring of 2018 to introduce JPSS CrIS and ATMS into the assimilation system.

## **Special Topics**

### **World Radiocommunication Conference 2019 (WRC-19) items of interest to ITSC**

*Presenter: Richard Kelley, Alion Science for NESDIS*

*Authors: Rich Kelley and Fred Mistichelli*

Representatives from 193 administrations/countries meet every four years to determine the use of the radiofrequency spectrum for the next four years. They will gather in October – November of 2019 to examine future spectrum allocations through careful consideration of needs and impacts on current allocations and uses. This meeting is crucial to the future of our satellites, allocated in three of the radiocommunication services – Earth exploration satellite, Meteorological satellite and Space research. The operating charter for this world radiocommunication conference addresses the future of a major new data downlink and microwave data sensed within or next to some channels used by ITSC members for passive remote sensing. The presentation will cover the WRC-19 approach to microwave bands of interest to ITSC.

### **DBNet implementation status and planning**

*Presenter: Mikael Rattenborg, WMO*

*Authors: Mikael Rattenborg, Stephan Bojinski and Pascal Brunel*

DBNet is a very successful collaborative undertaking of the World Meteorological Organization and its members. The DBNet system provides fast acquisition, processing and delivery of satellite products from direct readout data, primarily for NWP applications with stringent timeliness requirements. Since about 10 years, sounding data from the ATOVS suite has been acquired by receiving stations around the globe, which has

improved the availability and impact of satellite sounding data on short-term regional and global Numerical Weather Prediction. DBNet is now extending to cover advanced satellite sounder data such as METOP/IASI and SNPP/CrIS.

The paper will present the DBNet status and implementation plans, with particular emphasis on the numerous areas where feedback is required from the ITSC community.

## **Session 8a: Hyperspectral IR**

### **8.01 MTG-IRS L1 processing overview and performances**

*Presenter: Dorothee Coppens, EUMETSAT*

*Authors: Dorothee Coppens, Bertrand Theodore*

The Meteosat Third Generation (MTG) series of future European geostationary meteorological satellites consists of two types of satellites, the imaging satellites (MTG-I) and the sounding satellites (MTG-S). The Infrared Sounder (IRS) is one of the two instruments hosted on board the MTG-S satellites. The scope of the IRS mission is to provide the user community with information on time evolution of humidity and temperature distribution, as function of latitude, longitude and altitude. Regarding time and space sampling, the entire Earth disk will be covered, with particular focus on Europe, which will be revisited every 30 minutes.

This paper presents a synthetic overview of the mission and the instrument, and will go through the level 1 processing chain which takes instrument raw data to obtain spectrally and radiometrically calibrated and geolocalised radiances, called level 1b products. A discussion will be presented around the radiances uniformisation in space, spectral range and time and its impact for the user community. Also, the last investigations regarding the L1 processing performances will be addressed.

### **8.02 Correction to remove the residual responsivity dependence of spectral Instrument-Line-Shapes for Fourier Transform Spectrometers**

*Presenter: Hank Revercomb, SSEC/UW-Madison*

*Authors: H. E. Revercomb, D. C. Tobin, J. K. Taylor, R. O. Knuteson, and P. J. Gero*

For a Fourier Transform Spectrometer (FTS), the Instrument Line Shape (ILS) can in principle be independent of detailed instrument characteristics, only depending on the maximum Optical Path Difference (OPD) observed. This property can simplify radiance assimilation into numerical weather prediction models and avoid a potential source of bias for long term climate records. However, most realistic instruments introduce at least some small responsivity dependence into the ILS, because of the non-flatness of the instrument responsivity and its finite spectral bandpass.

For the current US operational advanced sounder, CrIS, the analysis paradigm to date has been to create calibrated spectra compatible with calculated spectra that, like the observations, are band-limited by the CrIS responsivity at high spectral resolution and then normalized by dividing by the responsivity at the observed resolution. This approach inherently yields an ILS weakly dependent on responsivity, with both observed and calculated spectra containing some ringing (every other point ups and downs) that we refer to as "true" ringing.

We have recently learned about European investigations of a correction for the effects of an expected pixel-to-pixel responsivity variation on the ILS of each pixel for their geostationary imaging high spectral resolution sounder, MTG/IRS. The approach uses (1) a spectrally local de-apodization correction, somewhat analogous to that used for the IASI self-apodization correction, and (2) a weak apodization to narrow the ILS, thereby mainly eliminating un-invertible contributions from out-of-band where the responsivity goes to zero.

In thinking about trying to develop this type of correction for CrIS, we have developed an alternative approach for step (1) that uses a Taylor series of the responsivity to allow the correction to be expressed as a series of Fourier transforms, analogous to the approach used in a new self-apodization correction developed for CrIS. This approach may prove to have some efficiency and accuracy advantages. The need for a weak apodization is also being investigated.

This correction approach will be defined and the status of its development discussed. Both this new approach and that being pursued in Europe will remove the effects of non-flat, in-band responsivity on the spectral ILS,

potentially a significant advantage for simplifying applications and avoiding a potential source of bias in climate trends.

### **8.03 Reprocessing of Suomi NPP CrIS SDR and impacts on radiometric and spectral long-term accuracy and stability**

*Presenter: Yong Chen, University of Maryland*

*Authors: Yong Chen, Yong Han, Likun Wang, and Fuzhong Weng*

Since early 2012, the Cross-track Infrared Sounder (CrIS) on board the Suomi National Polar-Orbiting Partnership (S-NPP) Satellite has continually provided the hyperspectral infrared observations for profiling atmospheric temperature, moisture and greenhouse gases. The CrIS radiance data are also directly assimilated into global NWP models to improve the medium-range forecasts. The CrIS overall performance in spectral, radiometric, geometric calibrations and noise performance in previous studies demonstrated that the CrIS Sensor Data Record (SDR) data meet calibration requirements, thus making it an exceptional asset for weather applications. However, the operational SDR generated by the Interface Data Processing Segment (IDPS) have undergone several sciences and code changes, thus resulting in inconsistent long-term performance and not suitable for climate applications.

In this study, the CrIS SDR data are further improved for climate applications with its fine-tuning of calibration coefficients in NOAA reprocessing project. One specific code for CrIS SDR reprocessing was developed. This code was updated with calibration algorithm, non-linearity, and geolocation to improve the scientific results. The calibration coefficients are refined with the latest updates based on the work from CrIS science team, and are inserted in the Engineering Packet (EP) in the Raw Data Record (RDR) data stream. The resampling wavelength was updated based on the metrology laser wavelength and resulting in zero sampling error in the spectral calibration. All the SDRs are generated with the same calibration coefficients, resulting in improved consistency during the CrIS life-time mission. The reprocessed SDRs are essential for deriving the long-term climate trending such as tropical sea surface temperature trends and CO<sub>2</sub> trends.

Overall radiometric biases (O-S) are small and stable over time, FOV-2-FOV differences are less than ~10 mK, and much better than that from the operational SDR. It is shown that CrIS metrology laser wavelength varies within 3 ppm as measured by the Neon calibration subsystem. The reprocessed SDR have spectral errors less than 0.5 ppm, is much better than the operational SDR with about 4 ppm. The S-NPP CrIS mission-long reprocessing is necessary not only to improve SDR products but also to benefit GSICS inter-calibration capabilities and climate applications, in terms of better radiometric and spectral calibration accuracy, and consistent calibration stability based on the same software and calibration coefficients.

### **8.04 A global-local hybrid approach to retain new signals in hyperspectral PC products**

*Presenter: Tim Hultberg, EUMETSAT*

*Authors: T. Hultberg, T. August*

Principal Component (PC) compression is the method of choice to achieve band-width reduction for dissemination of hyper spectral (HS) satellite measurements and will become increasingly important with the advent of new HS missions (such as IASI-NG and MTG-IRS) with even higher data-rates than the current instruments IASI, CrIS or AIRS.

We review the current use of PC compression for dissemination, exemplified by IASI, and examine whether improvements can be made. In particular, we investigate the relative merits of using a local dynamic or a global static training set of spectra for the computation of the eigenvectors. We show that local eigenvectors retain a higher amount of noise and a lower amount of atmospheric signal than global eigenvectors, except in rare situations resulting in spectra with new features which have not been observed in the global static training set. To cope with such situations we investigate a hybrid approach which first applies the global eigenvectors and then applies local compression to the residuals in order to identify and disseminate any directions in the local signal which are orthogonal to the subspace spanned by the global eigenvectors. This can rarely happen for instance in case new atmospheric composition events following special volcanic eruption of wild fires.

### **8.05 Determination of the experimental error of high spectral resolution infrared observations from spectral residuals: application to IASI**

*Presenter: Carmine Serio, Università della Basilicata*

*Authors: Carmine Serio, Guido Masiello, Elsa Jacqueline, Olivier Vandermarcq, Dorothee Coppens*

The problem of characterizing and estimating the instrument or radiometric noise of satellite high spectral resolution infrared spectrometers from Earth views is addressed in this paper. Two approaches have been devised and will be compared, which are based on the common concept of spectral residuals (Observations-Calculations). The first approach relies on the Principal Component Analysis with a suitable criterion to select the optimal number of PC scores. The criterion is optimal in that it is based upon the estimation theory of Maximum Likelihood Principle. This first approach is independent of any forward model and/or radiative transfer calculations. The second approach yields the spectral residuals after spectral radiance inversion for atmospheric and surface parameters, therefore it relies on a forward model. The problem of mathematical inversion is solved within the general framework of Optimal Estimation theory. Whatever the method, it will be shown that the use of the spectral residuals to assess the instrument noise leads to effective estimators, which are largely independent of possible departures of the given observational covariance matrix from the true variances-covariances. Application to the Infrared Atmospheric Sounder Interferometer (IASI) has been considered. A series of case studies have been set up, which make use of IASI data in external calibration mode. This mode improves horizontal spatial resolution of the instrument along the nadir view and are particularly suited for the analysis at hand. It is shown that Earth-scene derived observation-errors agree with blackbody in flight calibration. As a major result, the analysis confirms the high stability and radiometric performance of IASI. Finally, the spectral residuals approach also proved to be effective to characterize noise features due to mechanical micro-vibrations of the beam splitter of the IASI instrument.

## **Session 8b: Composition**

### **8p.01 Retrieval of the 3 main anthropogenic greenhouse gases from IASI: Status and lessons learned for validation**

*Presenter: Olivier Membrive, CNRS-LMD*

*Authors: O. Membrive, C. Crevoisier, N. Meilhac, L. Crépeau, R. Armante, H. Chen, F. Danis, M. Ramonet, Céline Lett*

Thanks to its continuous spectral coverage of the whole thermal infrared domain, the IASI instrument offers the possibility to retrieve mid-tropospheric columns of the 3 major greenhouse gases influenced by human activities. These are: carbon dioxide (CO<sub>2</sub>), methane (CH<sub>4</sub>) and nitrous oxide (N<sub>2</sub>O). The very small seasonal variability of these gases compared to their background values, combined to the strong dependence of IR radiances to atmospheric temperature and the simultaneous sensitivity of the channels to several gases, makes their retrieval challenging.

The non-linear inference scheme developed at LMD delivers mid-tropospheric columns of the 3 gases for both day and night condition, over land and over sea. It strongly relies on careful validation of level1c spectra, characterization of systematic radiative biases and severe cloud and aerosol screening. Almost 9 years of observations from Metop-A and 2 years from Metop-B have now been processed. CH<sub>4</sub> and CO<sub>2</sub> atmospheric columns are delivered in "near real time" (D-1) basis to the Copernicus-Atmosphere Service. Owing to its 20 year-program, IASI also participates to the establishment of long time series in the ESA-Climate Change Initiative-GHG. The retrievals are thus used for a variety of purpose: assimilation to produce CH<sub>4</sub>/CO<sub>2</sub> profile forecasts; estimation of surface fluxes using "top-down" atmospheric inversions; characterization of specific emissions such as biomass burnings.

In this talk we will present the latest development of the retrievals of these 3 gases. In particular, we will focus on the lessons learned while going from Metop-A to Metop-B, that will serve as baseline for moving to Metop-C (to be launched in October 2018). We will highlight the importance of a proper radiative characterization of the instruments on both platforms. Finally, we will show the difficulty of properly validating mid-tropospheric column, due to the small but non-negligible impact of an incorrect knowledge of the stratospheric part of the gas vertical distribution. We will show how full measurement of vertical profile, such as those provided by balloon-borne AirCores air sampler, and data assimilation such as performed within the Copernicus Atmospheric System can offer direct and indirect validation of the gas products.

### **8p.02 Physically-based simultaneous retrieval for CO, CO<sub>2</sub>, CH<sub>4</sub>, HNO<sub>3</sub>, NH<sub>3</sub>, OCS and N<sub>2</sub>O from IASI observations and inter-comparison with in situ observations and AIRS, GOSAT, OCO-2 satellite products**

*Presenter: Guido Masiello, Università degli Studi della Basilicata*

*Authors: Guido Masiello, Carmine Serio, Giuliano Liuzzi, Sara Venafra*

Recent advances in the random projection approach applied to the inversion of the full IASI (Infrared Atmospheric Sounder Interferometer) spectrum are exemplified with applications to the retrieval of greenhouse gases and pollutants. The case of simultaneous retrieval of CO, CO<sub>2</sub>, CH<sub>4</sub>, HNO<sub>3</sub>, NH<sub>3</sub>, OCS and N<sub>2</sub>O is exemplified in this study. Random projections provide a) an unified and coherent treatment of systematic and random errors; b) a compression tool, which can reduce the dimensionality of the data space; c) a noise model which is truly Gaussian therefore, making it possible to apply rigorously Optimal Estimation and derive the correct retrieval error; d) a simplified treatment of the inverse algebra to get the final solution. Many of the methodological aspects above have been shown in a recent paper by the authors (Serio et al. 2016, doi:10.1364/AO.55.006576) in dealing with the retrieval of surface parameters and atmospheric temperature, water vapour and ozone profiles. The present analysis is aiming at showing that we can fully exploit the compression capability of random projections to develop an inverse algorithm capable of dealing with the whole IASI spectrum, therefore with minimal loss of information content. The results is a final retrieval with improved precision and horizontal spatial and temporal resolution. Retrieved parameters and species include, surface temperature and emissivity (spectrum), Temperature, H<sub>2</sub>O, O<sub>3</sub>, HDO, CO<sub>2</sub>, N<sub>2</sub>O, CO, CH<sub>4</sub>, SO<sub>2</sub>, HNO<sub>3</sub>, NH<sub>3</sub>, OCS, CF<sub>4</sub> atmospheric profiles. The effective vertical resolution of these profiles is depending on the degrees of freedom.

A retrieval case study has been set up, which includes thousands of observed spectra for a two-year period (January 2014 to December 2015) over sea surface in the Pacific Ocean close to the Mauna Loa (Hawaii) validation station. Results obtained until now (see e.g. Liuzzi et al 2016, doi:10.1016/j.jqsrt.2016.05.022 and Camy-Peyret et al. doi:10.1016/j.jqsrt.2017.07.006) show that retrieval of gas species is obtained with unprecedented precision and spatial resolution, which open the way to study and understand regional patterns of greenhouse gases due to anthropogenic activities and/or natural events. In the present study, IASI retrieval for CO<sub>2</sub>, CH<sub>4</sub> and N<sub>2</sub>O are compared to operational products from different satellites and instruments. These include AIRS (Atmospheric Infrared Radiometer Sounder), GOSAT (Greenhouse Gases Observing Satellite), OCO-2 (Orbiting Carbon Observatory-2). The comparison shows that our retrieval methodology is by far superior to those of existing methods insisting on instruments, which covers the thermal infrared such as IASI, AIRS and GOSAT. Comparison with OCO-2 shows comparable results, but our methodology uses much less a-priori information than OCO-2.

### **8p.03 Harnessing the power of sounders for atmospheric composition and chemical assimilation**

*Presenter: Vivienne Payne, JPL/Caltech*

*Authors: Vivienne Payne, Dejian Fu, Susan Kulawik, Kevin Bowman, John Worden, Jessica Neu, Karen Cady-Pereira, Helen Worden and Matthew Alvarado*

Thermal infrared sounders offer a wealth of information on tropospheric composition. Here we review the Level 2 trace gas products currently available from the US TES, AIRS and CrIS instruments. We discuss the potential for continuity of minor trace gas data records from these instruments as well as the scope for development of new infrared and multi-spectral trace gas products, in the context of recommendations from recent NASA and NOAA community workshops.

### **8p.04 A decade of Infrared dust aerosol characteristics (AOD and mean layer altitude) retrieved daily from IASI**

*Presenter: Virginie Capelle, LMD/CNRS-Ecole Polytechnique*

*Authors: V. Capelle, A. Chédin, M. Pondrom, C. Crevoisier, R. Armante, L. Crepeau, and N. A. Scott*

Aerosols represent one of the dominant uncertainties in radiative forcing, partly because of their very high spatiotemporal variability, a still insufficient knowledge of their microphysical and optical properties, or of their vertical distribution. A better understanding and forecasting of their impact on climate therefore requires precise observations of dust emission and transport. Observations from space offer a good opportunity to follow, day by day and at high spatial resolution, dust evolution at global scale and over long time series. Infrared observations allow retrieving dust aerosol optical depth (AOD) as well as the mean dust layer altitude, daytime and nighttime, over oceans and over continents, in particular over desert. Therefore, they appear complementary to observations in the visible. In this study, a decade of the Infrared Atmospheric Sounder Interferometer (IASI) on board European Satellite Metop-A observations, from July 2007 to June 2017, has

been processed pixel by pixel, using a “Look-Up-Table” (LUT) physical approach. Important improvements have been brought to our former approach in order to extend it to: 1) daytime retrieval, 2) mid-latitude retrieval, 3) retrieval at the IASI pixel resolution, 4) near real time retrieval (day-1). Moreover, over continents, surface characteristics (pressure, temperature, as well as emissivity spectrum) are now better accounted for. Here, a particular attention is given to the validation of the IASI-retrieved AOD through comparisons with the Spectral Deconvolution Algorithm (SDA) 500nm coarse mode AOD observed at 70 ground-based Aerosol RObotic NETwork (AERONET) sites. Even if such a comparison requires converting AOD from infrared to visible, inherently leading to significant uncertainties, the two AOD datasets compare well, with an overall correlation of 0.8. For a large majority of sites, correlation ranges from 0.7 to 0.9. Sites with highest correlation are well distributed within the “dust belt” (Sahara, Arabian Peninsula, Mediterranean basin, India and also the Caribbean). Correlations obtained for East-Asia are in general smaller, which might be due to a more complex dust structure (i.e., impact of pollution) and partly due to an increase of the AERONET coarse-mode AOD uncertainty. Comparisons between IASI aerosol mean layer altitude and the Cloud-Aerosol Lidar with Orthogonal Polarization (CALIOP) dust mean altitude have also been carried out. Using all available observations over the dust belt for the year 2010, a bias of  $-0.26 \pm 1.02$  km (IASI minus CALIOP altitude) is obtained. This may be considered as satisfactory given the difficulties of such a comparison: systematic observation time shift of about 4h30, difference in the altitude definition, difference in the spatial resolution as well as in the number of observations provided by each instrument. More generally, this study demonstrates the ability for IASI to retrieve aerosol dust properties, opening a new perspective for a combined IR/Visible approach.

#### **8p.05 Technique and results of retrieving the total ozone content using satellite IR measurements from «Meteor-M» No 2**

*Presenter: Alexander Polyakov, Saint-Petersburg State University*

*Authors: A.V. Polyakov A.S. Garkusha, Yu.M. Timofeev, Ya.A. Virolainen, A.V. Kukharsky, D.A. Kozlov*

Technique for retrieving the total ozone content (TOC) from spectra of outgoing thermal radiation measured by IRFS-2 from a board of the meteorological «Meteor-M» No. 2 satellite under cloudiness are developed. Comparison of TOCs retrieved using the developed technique with independent data is performed. It is shown that differences between TOCs retrieved from IRFS-2 spectral measurements and satellite (OMI device) and ground-based (Dobson, Brewer, M-124) data, as a rule, are 3–5 %. The greatest differences (up to 10 %) are observed over Antarctica in the presence of an ozone hole in the southern polar latitudes. Using the developed technique and IRFS-2 measurements, ozone mini-holes over Russia detected earlier by other methods in the first quarter 2016 were registered. In separate days during this period almost 2-fold TOC reduction was observed. The study was supported by Russian Science Foundation (project 14-17-00096).

#### **8p.06 Uncertainty of temperature sounding caused by the variation of CO<sub>2</sub> concentration**

*Presenter: Jonghyuk Lee, Yonsei University*

*Authors: Jonghyuk Lee and Dong-Bin Shin*

A fundamental assumption in temperature soundings from satellites is that the concentration of an absorption gas is known and well-mixed vertically. CO<sub>2</sub> absorption bands around 15 μm are typically selected for infrared measurement-based soundings because CO<sub>2</sub> is known to mix well in the atmospheric column. However, atmospheric CO<sub>2</sub> concentrations have increased steadily and regional distributions of CO<sub>2</sub> emissions are not quite homogeneous. If the inaccurate CO<sub>2</sub> concentrations, which cannot reflect the current CO<sub>2</sub> concentration information, are used for the temperature sounding, they may cause the uncertainty of temperature sounding due to a violation of the basic assumption on the vertically homogeneous distribution. This study estimates the uncertainty of the temperature sounding within the framework of the optimal estimation depending on the variation of CO<sub>2</sub> concentration from nine CO<sub>2</sub> scenarios, including realistic and extremely low and high CO<sub>2</sub> concentration. The European Centre for Medium-Range Weather Forecasts (ECMWF) 91-level profile datasets are used to simulate the synthetic measurements for the Atmospheric Infrared Sounder (AIRS) and background fields including atmospheric temperature, humidity profiles and surface variables (2m temperature/humidity and skin temperature etc.). These datasets are also used for the validation of the retrieved sounding results.

This presentation will include (1) an overview of the optimal estimation and methodology to simulate synthetic measurements for the AIRS and background fields, (2) a detailed assessment of the uncertainty of the temperature sounding depending on the variation of CO<sub>2</sub> concentration with an emphasis on the channel

availability, and (3) an additional investigation of the uncertainty in terms of the intervention of moist conditions.

#### **8p.07 Using MTSAR-2 visible images to retrieve aerosol optical depth**

*Presenter: Chien-Ben Chou, Central Weather Bureau*

*Authors: Chien-Ben Chou; Huei-Ping Huang*

A geostationary satellite can provide observations at a higher frequency and with greater area coverage than an orbital satellite. This study uses data from geostationary satellite MTSAT-2 to retrieve the aerosol optical depth over eastern Asia. The 6S radiative-transfer model is used to generate a look-up table to facilitate the estimate of surface reflectance and retrieval of the optical depth of aerosols. A comparison of the optical depth retrieved from MTSAT-2 observations with the visibility measured at ground stations reveals a good match in their spatial patterns. The aerosol optical depth from MTSAT-2 is hence useful for monitoring the daily evolution and distribution of aerosols.

#### **8p.08 Estimation of the aerosols direct radiative forcing in the Amazon region using MODIS**

*Presenter: Dirceu Herdies, INPE*

*Authors: Dirceu Luis Herdies and Brunna Romero Penna*

Every year the Amazon region is heavily affected by heavy loads of atmospheric aerosols from biomass burning, mainly during the dry season (August to September), leading to potential disturbances in the regional climate. In this study 16 years of the direct radiative forcing of aerosols over the Amazon region are analyzed through a trained neural network with the MERRA-2 reanalysis data and the aerosols optical depth values of the MODIS sensor. The neural network model presented an average quadratic error of 1.70 and 0.46 for ARF estimation at surface and top of the atmosphere, respectively. During the dry season, the monthly average for ARF was  $-25.34 \text{ W/m}^2$  ranging from  $-10.79 \text{ W/m}^2$  to  $-38.55 \text{ W/m}^2$  on the surface and  $-8.52 \text{ W/m}^2$  ranging from  $-4.40 \text{ W/m}^2$  to  $-12 \text{ W/m}^2$  at the top of the atmosphere. The ARF values at the top of the atmosphere are small when compared to surface ARF due to the absorption of solar radiation by aerosols. These values are important for predicting weather and climate, providing a better understanding of how the Amazonian ecosystem works. The results of this work were compared with results of other studies, using different methodologies, and presented an excellent concordance.

#### **8p.09 Towards a strengthening of the coupling of Numerical Weather Prediction and Chemistry Transport Models to improve the retrieval of thermodynamic fields from infra-red passive sounders: The ozone case**

*Presenter: Olivier Coopmann, CNRM-Meteo-France & CNRS*

*Authors: Olivier Coopmann, Vincent Guidard, Nadia Fourrié*

Hyper-spectral infra-red sounding instruments, such as the Infra-Red Atmospheric Sounding Interferometer (IASI), provide 70 % (IASI-A and IASI-B alone account for 50 %) of the data used in the Numerical Weather Prediction (NWP) global model ARPEGE (Action de Recherche Petite Échelle Grande Échelle) of Météo-France. With 8461 channels, this sounder allows to obtain indirect information on temperature and humidity profiles and also on the cloud cover, aerosols, atmospheric compounds such as O<sub>3</sub>, CO<sub>2</sub>, CH<sub>4</sub>, CO, N<sub>2</sub>O... and surface properties. At Météo-France, 128 IASI channels are assimilated in operation (99 in the LW CO<sub>2</sub> band, 4 window channels, 5 ozone channels and 20 in WV band). We identify over 500 ozone-sensitive channels in the IASI spectrum. CO<sub>2</sub> and H<sub>2</sub>O channels are presently used to retrieve temperature and humidity profiles, but O<sub>3</sub> channels would enable us to provide additional information on the vertical profile. Ozone is an important chemical compound of the troposphere as regards to pollution, and obviously, of the ozone layer in the stratosphere.

In the current version of the assimilation in the global model ARPEGE, the gases concentrations used for the radiance simulations are considered constant in space and in time. A study conducted in 2015 showed that using realistic ozone information from the Chemistry Transport Model (CTM) MOCAGE (MOdèle de Chimie Atmosphérique à Grande Échelle) of Météo-France as input of the Radiative Transfer Model (RTM) improved temperature and humidity retrievals from infra-red satellites. The objective of the present study is to select channels among the 500 ozone-sensitive channels in order to improve thermodynamic retrievals from IASI data using realistic ozone information including ozone in the control variable.

## **8p.10 Greenhouse gases in-situ profiles from the APOGEE campaign in support to satellite infrared sounder assimilation**

*Presenter: Olivier Coopmann, CNRM-Meteo-France & CNRS*

*Authors: Olivier Coopmann, Nadia Fourrié, Vincent Guidard, Lilian Joly, Diane Tzanos*

Wide parts of the infrared spectrum measured by hyperspectral instruments like IASI or CrIS are sensitive to greenhouse gases such as ozone, CO<sub>2</sub> and CH<sub>4</sub>. A good prior knowledge of the atmospheric profiles for these compounds is needed to compute hyperspectral simulations with a radiative transfer model.

At present, some atmospheric ozone profiles are measured thanks to ozone-soundings, but very few CO<sub>2</sub> and CH<sub>4</sub> atmospheric profiles are available. The AMULSE (Atmospheric Measurements by Ultra Light SpEctrometer) instrument developed at GSMA measures CO<sub>2</sub> and CH<sub>4</sub> with diode laser spectrometer. The APOGEE campaign (Atmospheric Profiles Of Greenhouse gasEs) is a project in collaboration between GSMA (Groupe de Spectrométrie Moléculaire Atmosphérique), CNRM – Météo-France & CNRS (Centre National de Recherche en Météorologie) and LSCE (Laboratoire des Sciences du Climat et de l'Environnement) to fly the AMULSE instrument up to 30 km under weather balloons. In addition, ozone-sondes are released at the same time. These atmospheric profiles are acquired in collocation with satellites over-passes, especially IASI and CrIS.

This data allows us to carry out simulations of infrared radiance using CO<sub>2</sub>, CH<sub>4</sub> and O<sub>3</sub> in-situ profiles and to compare these with radiances measured by hyper-spectral or geostationary infra-red instruments. These measures are an opportunity to qualify the Chemistry Transport Models (CTM) from which global daily realistic information for greenhouse gases can be routinely extracted.

### **Session 9a: Hyperspectral IR assimilation**

#### **9.01 What is the impact of IASI in global NWP?**

*Presenter: Vincent Guidard, CNRM-Meteo-France & CNRS*

*Authors: V. Guidard, AD. Collard, S. Heilliette, F. Smith, B. Ruston, etc.*

IASI is assimilated in global NWP models since June 2007. Since while, many NWP centres have made huge efforts to assimilate at most IASI. 10 years later, it is time to assess the impact of IASI on global analyses and forecasts. A intercomparison exercise has taken place among many centres on a 3 months period of 2015. Results will be presented.

#### **9.02 Assimilation of Suomi-NPP/CrIS radiances into the JMA's global NWP system**

*Presenter: Norio Kamekawa*

*Authors: Norio Kamekawa, Masahiro Kazumori, JMA*

The objectives of this presentation are to introduce the assimilation of CrIS radiance data for the operational global numerical weather prediction (NWP) system at Japan Meteorological Agency (JMA) and to show activities for enhanced use of Hyper Spectral Infrared Sounder (AIRS, IASI and CrIS) data.

Hyper Spectral Infrared Sounder radiance data from the CrIS on Suomi NPP spacecraft have been operationally assimilated into JMA global NWP system since March 2017. JMA obtains the CrIS 399-channel data set from the National Environmental Satellite, Data, and Information Service (NESDIS). 27 long-wave temperature sounding channels were selected for use in the assimilation. As Aqua/AIRS and Suomi NPP/CrIS use almost the same afternoon orbit, data thinning is necessary for the overlap region to reduce overfitting in the analysis. Normally, higher priority in the data thinning is assigned to CrIS because of its wider swath coverage (CrIS: 2,230 km; AIRS: 1,650 km). The priority in the data thinning depends on available channel numbers (i.e., clear-sky condition) and the distance between the observation location and the center of the thinning grid box. CrIS data for particular FOV (field of view) numbers (1, 3, 5, 7 and 9) are rejected for the assimilation due to their different biases from the other FOV data. The method of cloud top estimation and cloud screening for CrIS is based on an algorithm which is already implemented in the operational system for AIRS and IASI data processing.

Results of experiments to evaluate CrIS radiance data assimilation into JMA's global NWP system showed that the addition of CrIS long-wave temperature sounding channels clearly improved temperature analysis for the upper troposphere and stratosphere. Significant improvement in geopotential height forecast for the Southern Hemisphere was also confirmed.

As a future work, the increase of assimilated CrIS channels, update from RTTOV-10 to RTTOV-12 and utilization of water vapor channels of Hyper Spectral Infrared Sounder are planned. The status of these activities will be presented in the conference.

### **9.03 The use of reconstructed radiances to assimilate the full IASI spectrum at ECMWF**

*Presenter: Marco Matricardi, ECMWF*

*Authors: Marco Matricardi*

At ECMWF we have tested the exploitation of the full IASI spectrum in the long and medium wave via the assimilation of reconstructed radiances. Six months of assimilation trials carried out using version 42R1 of the ECMWF model shows that in terms of background forecasts, the assimilation of reconstructed radiance produces impressive results above all for humidity sounding in the middle and upper troposphere, temperature sounding in the upper troposphere/stratosphere and ozone sounding. Although these results are extremely significant and encouraging, the temperature sounding in the middle and lower troposphere is still an issue though, the reconstructed radiance system failing to produce better background forecasts than the raw radiance operational system. This is an issue that will be addressed in the medium term starting with the introduction of newly formulated humidity background errors and possibly diagnosing new reconstructed radiance observation errors.

### **9.04 Impact of assimilating the VIIRS-based CrIS cloud-cleared radiances on hurricane forecasts**

*Presenter: Jun Li, SSEC/UW-Madison*

*Authors: Jun Li, Pei Wang, Zhenglong Li, Agnes H. N. Lim, Jinlong Li, Timothy J. Schmit, and Mitchell D. Goldberg*

Hyperspectral infrared (IR) sounders have high vertical resolution atmospheric profile information, which improves the forecast skills in numerical weather prediction (NWP). However, IR sounder observations are mostly affected by clouds, usually clear radiances (not affected by clouds) from IR sounders are assimilated. The cloud-clearing (CC) technique, which removes the cloud effects from an IR cloudy field-of-view (FOV) and derives the cloud-cleared radiances (CCRs) or clear sky equivalent radiances (CSERs), is an alternative yet effective way to use the thermodynamic information in cloudy skies for assimilation. This study developed a Visible Infrared Imaging Radiometer Suite (VIIRS) based CC method for deriving Cross-track Infrared Sounder (CrIS) CCRs under partially cloudy regions. CrIS radiances and the collocated high resolution VIIRS cloud mask product and its radiances from IR bands are used together to derive the CrIS CCRs. Due to the lack of absorption bands in VIIRS, two important quality control (QC) steps are implemented in the CC process. The validation with VIIRS clear radiances indicates that the CC method can effectively obtain the CrIS CCRs for FOVs with partial cloud covers. To compare the impacts of original CrIS radiances and CCRs, experiments are carried out on Hurricane Joaquin (2015) and Hurricane Matthew (2016) using Gridpoint Statistical Interpolation (GSI) assimilation system, along with Weather Research and Forecasting (WRF) and Hurricane WRF (HWRF) models. At the analysis time, more CrIS observations can be assimilated in the system with CrIS CCRs than with original CrIS radiances, which leads to improved atmospheric fields than original radiances. Similar improved impacts are also observed in the forecast fields. The comparison of temperature and specific humidity with radiosondes indicates the data impacts are growing larger with longer time forecasts. The results of the hurricane track and intensity forecasts show that the assimilation of CrIS CCRs can improve the track forecast through the impacts on the weather system forecasts.

### **9.05 Impact of hyperspectral IR radiances on wind analyses**

*Presenter: Kirsti Salonen, ECMWF*

*Authors: Kirsti Salonen and Anthony McNally*

In 4D-var data assimilation a trajectory of model states is fitted to the available observations. Model variables are linked through the model governing equations and physical parametrizations. Changes made to fit the humidity sensitive observations will result also in adjustment of the trajectory for the other model variables. For example, the wind field can be changed to advect humidity to and from other areas. This process is called wind tracing and it has been demonstrated with clear sky geostationary radiances as well as with microwave instruments in the all-sky framework. The upcoming hyper-spectral infrared instruments on board geostationary satellites will provide information with high temporal and vertical resolution. In order to understand the possibilities of this data from the wind tracing perspective, observing system experiments with the current hyper-spectral IR instruments on board polar orbiting satellites are performed. The presentation will discuss the results.

## **9.06 Feature-tracked 3D winds from hyperspectral infrared sounders: Status and requirements for future missions**

*Presenter: David Santek, SSEC/UW-Madison*

*Authors: D. Santek, D. Posselt, W. McCarty, W. Smith, C. Velden, T. Pagano, J. Taylor, H. Su, G. Matheou*

The global measurement of 3D winds is recognized as an important dataset to improve medium- to long-range weather forecasts. At this time, vertical wind profiles through the troposphere are primarily from rawinsondes and aircraft ascents/descents, and are mostly confined to land areas. Wind information over mid- and low-latitude oceanic regions is limited to Atmospheric Motion Vectors (AMVs) from cloud and water vapor feature tracking using imagers on geostationary satellites. A similar technique is used with imagery from polar orbiting satellites over high-latitude regions. However, these geostationary and polar satellite-derived AMVs provide only single-level wind information at a particular geographic location.

To attain a 3D distribution of wind information, an AMV product has been prototyped based on tracking water vapor and ozone features retrieved from hyperspectral sounders. The retrievals produce spatial maps of humidity and ozone concentration on pressure surfaces throughout the troposphere and into the stratosphere, in clear sky and above clouds. An initial 3D AMV product, available in near real-time, is based on retrievals from the Aqua Atmospheric Infrared Sounder (AIRS).

The status of the project will be reported, along with a discussion on: (a) The retrieval algorithm and the 3D wind derivation technique as routinely applied to AIRS, and case studies from other sounders; (b) a detailed study of the sources of uncertainty in feature tracked winds; (c) assimilation statistics, forecast impact, and the outcome of Observing System Simulation Experiments (OSSE) to evaluate the impact of 3D winds in global numerical models. These results will serve to drive the requirements for future hyperspectral infrared instruments and missions, in terms of spatial resolution, instrument performance, retrieval attributes, and characteristics of the 3D AMV product, to realize an improvement in global numerical model forecasts.

## **Session 9b: Assimilation of IR observations**

### **9p.01 The current impact of infrared radiances in the ECMWF NWP system**

*Presenter: Reima Eresmaa, ECMWF*

*Authors: Reima Eresmaa, Cristina Lupu*

The European Centre for Medium-range Weather Forecasts (ECMWF) assimilates infrared radiances from four polar-orbiting satellites. There was a major revision to the operational use of Infrared Atmospheric Sounding Interferometer (IASI) and Cross-track Infrared Sounder (CrIS) radiances in November 2016. Substantial changes were made in observation error covariance specification and channel usage to significantly enhance the impact of the radiances on the NWP system performance. We will present an up-to-date assessment of the impact of each infrared sounder, based on standard observing system experiments (OSEs) and adjoint-based sensitivity diagnostics (FSOI).

Additionally to the impact of each sounder, contributions of various channel groups are investigated. Primary channel sensitivities range from mid-stratosphere to surface and they are known to contain useful information to constrain the analysis of temperature, humidity, and ozone mixing ratio. Considering the channel sensitivities, we have formed a total of seven channel groups and run a series of experiments to quantify the impact provided by each group. Among the carbon dioxide -sensitive long-wave channels, a substantial contribution is attributed to each of three groups with primary sensitivities to either stratospheric, upper- to mid-tropospheric, or lower-tropospheric temperature. Similarly among the water vapour -sensitive channels, both upper- and lower-tropospheric channels are found beneficial, even though the number of such channel in the active use is low. The impact contributions from ozone-sensitive channels and short-wave channels are more subtle.

### **9p.02 Preparing for CrIS full spectral resolution in the NCEP global forecast system**

*Presenter: James Jung, CIMSS/UW-Madison*

*Authors: James Jung and co-authors*

We will discuss the various changes made to the NCEP Gridpoint Statistical Interpolation (GSI) software to accommodate the new CrIS full spectral resolution data available from the Suomi NPP. Several changes were made to the BUFR table to identify the FSR data and add potentially new capabilities. We will show results from updating the spatial thinning routine from incorporating cloud information from the Visible Infrared

Imaging Radiometer Suite (VIIRS), computing the channel correlation matrix and using a new channel selection.

### **9p.03 CrIS channel selection for the KMA UM data assimilation based on an iterative method**

*Presenter: Eui-jong Kang, Seoul National University*

*Authors: Euijong Kang, B.J. Sohn, Hwan-Jin Song, Hyun-Sung Jang, Chu-Yong Chung*

The Cross-track Infrared Sounder (CrIS) on the Suomi-NPP satellite launched in October 2011 consists of 1305 channels on three bands in the normal mode. For the efficient CrIS data dissemination, Gambarcorta (2013) proposed 399 selected channels to alleviate problems related to transmission, storage and computation process due to massive channels while preserving full channel capability. However, only 134 channels represent temperature, humidity and surface and are currently used for the data assimilation (DA) in the KMA UM model. Those channels may not be considered optimal for the data assimilation because 399 selected channels are mainly selected to preserve the information as much as resolved by all CrIS channels. Therefore, in this study we suggest a set of new channels relevant for the data assimilation, but with an iterative method of Rodgers (1996) and 1D-VAR approach. The results indicate that the new set of selected channels led to improved RMSE of temperature and humidity fields. Especially temperature in middle and upper troposphere is found to be substantially improved. We also suggest the optimal number of channels that might give best 1-D Var results.

### **9p.04 MTG-IRS level 2 data assimilation into the ECMWF model**

*Presenter: Kirsti Salonen, ECMWF*

*Authors: Kirsti Salonen and Anthony McNally*

The Meteosat third generation (MTG) geostationary satellites will carry a hyper-spectral infrared sounder (MTG-IRS) instrument. EUMETSAT has developed a MTG-IRS level 2 (L2) processor to generate temperature, humidity and ozone retrievals. In the testing and evaluation phase, observations from the IASI instruments on METOP-A and B are used as a proxy. The L2 processor uses ECMWF model information as prior information about the atmospheric state and error covariance. In the post-processing stage the temperature and humidity retrievals are transformed into scaled projected state (SPS) observations which according to the theory are independent of the prior information used in the retrieval process. ECMWF is assessing the data quality and performing initial assimilation studies with the new EUMETSAT L2 retrievals. Latest results from the activities will be presented.

### **9p.05 Impact of assimilation of a new set of IASI channels on the UM precipitation forecast over East Asia**

*Presenter: Young-Chan Noh, Seoul National University*

*Authors: Young-Chan Noh, Byung-Ju Sohn, Jihoon Ryu, and Yoonjae Kim*

This study attempts to assess the impact of data assimilation with new IASI channels selected by Noh et al. (2017) on the UM precipitation forecast over East Asia. We target to examine rain forecasting capability depending on the heavy rain types; (1) heavy rainfalls frequently observed over East Asia associated with relatively low-level cloud that may contain a small amount of ice crystal (referred to as warm-type rain) and (2) heavy rainfall occurring under convectively unstable conditions linked to the deep convective cloud system (referred to as cold-type rain). In order to assess the effect of a set of new IASI channels in the UM data assimilation system, the data assimilation trials were performed for the summer period from 15 June to 31 July 2015. Using the surface gauge data and cloud-to-ground lightning data, the heavy rainfall events for the summer of 2015 were classified into two rainfall types, based on the characteristics of cloud-rain system of each rain type. The accuracy of precipitation forecast at the experiment run with new IASI channels was found to be improved, particularly in the cases of warm-type heavy rainfall over East Asia. The improvement of the precipitation forecast accuracy is thought to be attributed to the additional water vapor channels sensitive to the tropospheric water vapor in the new set of IASI channels.

### **9p.06 Impact of assimilating multispectral radiances from Himawari and Meteosat satellites on global forecasts**

*Presenter: Alain Beaulne, Meteorological Service of Canada*

*Authors: Alain Beaulne, Louis Garand, Stéphane Laroche, Judy St-James*

Water vapour (WV) clear-sky radiances (CSRs) from geostationary satellites are currently assimilated at the Meteorological Service of Canada (MSC) from a single channel (6.2-6.5 micron). Recently, CSR data from JMA's new-generation Himawari-8 in addition to second-generation Meteosat-8 were implemented into MSC data assimilation system in the same manner as previously, as a single water vapor channel. As a next step, the

impact of assimilating radiances from three Himawari-8 water vapor channels, and two similar channels from Meteosat-8 and Meteosat-10 is evaluated using the operational Global Deterministic Prediction System (GDPS) for the 2017 spring-summer season. With primary goal to improve humidity analyses, in a second experiment, a more strict control on humidity observations from radiosondes is also imposed. The observation thinning distance was set to 150 km and hourly CSR were assimilated in both experiments. The impact on forecasts up to five days is assessed against radiosonde observations and global analyses.

#### **9p.07 Assimilation of geostationary radiances at ECMWF**

*Presenter: Chris Burrows, ECMWF*

*Authors: Chris Burrows, Julie Letertre-Danczak, Tony McNally*

At ECMWF, geostationary radiances are assimilated from SEVIRI (Meteosat-8 and Meteosat-10); the Imager on GOES-13 and GOES 15; and AHI on Himawari-8. Currently, radiances from the water vapour channels are used exclusively, although the window channels are used to detect potentially cloudy scenes. This contribution will summarise various aspects of the assimilation methodology for geostationary radiances, with a particular focus on active lines of research: the impact of more aggressive use of observations at the edge of the disk will be covered, as observations for which the zenith angle is greater than 60 degrees are currently rejected. Also, progress towards an optimal thinning configuration will be presented. Furthermore, an initial investigation of inter-channel observation error correlations will be presented.

#### **9p.08 Use of geostationary imager clear-sky radiances in Met Office Global NWP**

*Presenter: Ruth Taylor, Met Office*

*Authors: R.B.E.Taylor and P.N.Francis*

Since the introduction of clear-sky radiance (CSR) information from the SEVIRI instrument aboard Meteosat-8 in 2010, the assimilation of geostationary imager radiances in the Met Office's global 4D-Var system has been extended to use cloud-cleared radiance products from an equatorial ring of five platforms. At the same time new instruments such as the Advanced Himawari Imager (AHI) have become operational, providing more detailed spectral information. Typically we now use hourly observations from two or three channels in the 6-7 micron region, providing middle- and upper-tropospheric humidity information, as well as longer-wavelength channels with surface sensitivity. This poster will summarise recent developments in geostationary CSR usage and examine the impacts of these observations.

#### **9p.09 Comparison among three cloud-clearing radiance products**

*Presenter: Haixia Liu, IMSG @NOAA/NCEP/EMC*

*Authors: Haixia Liu, Andrew Collard, John Derber*

Cloud clearing is the process of computing the clear column radiance (CCR) for each Cross-track Infrared Sounder (CrIS) channel by removing the cloud radiative effects. There have generally been three cloud-clearing methods developed for CrIS. All three methods make the assumption that the surface, atmospheric state and cloud formation characteristics are the same within one field of regard (FOR) or between two fields of view (FOVs) and only cloud fraction varies among adjacent pixels. They all require additional information to estimate a set of cloud-clearing parameters which are assumed to be independent of CrIS channels. The first CCR is from the NOAA-Unique CrIS-ATMS Processing System (NUCAPS). The second method is developed at Wisconsin by Li et al. (2016) to estimate CCR using collocated high spatial resolution imager measurements from Visible Infrared Imaging Radiometer Suite (VIIRS). NCEP has developed an inline cloud-clearing algorithm in their global data assimilation system (GDAS) and the CCRs are estimated together with all other observations. Theoretically the estimated CCRs at NCEP are constrained by all the observations being actively used in the data assimilation system.

In this presentation, the CCRs from the above-mentioned three methods will be compared. In addition, since the second CCR package includes the VIIRS cloud mask, a set of FORs can be identified which contain at least one clear FOV and therefore the three CCRs may be compared with the averaged radiances from the clear FOVs within the same FORs.

A month worth of VIIRS-based CCR data have been provided to be tested in the operational GDAS at NCEP. The impact of these CCR radiances on the global analysis and forecast skills will be reported at the conference.

### **9p.10 Assessing potential impact of air pollutants observations from geostationary satellite on air quality prediction through OSSEs**

*Presenter: Seon Ki Park, Ewha Womans University*

*Authors: Ebony Lee and Seon Ki Park*

The Geostationary Environmental Monitoring Spectrometer (GEMS) which is a UV-visible scanning spectrometer is planned to be launched in 2019. The missions of GEMS are to monitor air quality and provide measurements of air pollutants over Asia continuously. It is expected that measurements from the GEMS will provide high spatiotemporal information to improve an air quality prediction. Therefore, we assess potential impact of the GEMS observation on air quality prediction through the observation system simulation experiments (OSSEs).

In this study, we perform the OSSEs using synthetic radiance observation of the GEMS. Based on some target air pollutants of the GEMS (e.g., O<sub>3</sub>, NO<sub>2</sub>, SO<sub>2</sub>, HCHO, and aerosol), we choose several wavelengths to simulate synthetic radiances via a radiative transfer model. Assimilation of synthetic observation is conducted using a coupled meteorology-chemistry model (e.g., WRF-Chem). We will focus on stability of OSSE system and impact of GEMS observations on air quality prediction.

### **Session 10a: Land surface studies**

#### **10.01 Assimilation of tropospheric-sensitive infrared radiances over land**

*Presenter: Reima Eresmaa, ECMWF*

*Authors: Reima Eresmaa, Cristina Lupu, Tony McNally*

We demonstrate a considerable benefit from assimilating tropospheric-sensitive infrared channels over land surfaces. We have found it useful to make a distinction between those channels that are sensitive to underlying surface and those that are not. With regard to the former, active use continues to be prevented by poor description of surface emission and associated difficulties in detecting clear fields-of-view. In contrast, when it comes to the latter group of channels, we now believe the cloud detection is sufficiently accurate for active assimilation over land. The distinction between the two groups is best done such that local orography and atmospheric state are taken into account.

Motivated by the role of surface-sensitive channels in constraining lower-tropospheric humidity over sea, we are continuing to work towards activating the remaining channels over land. The most promising line of development in this area is through enhanced use of collocated imager data, particularly the visible channel, although this approach is readily applicable only to Infrared Atmospheric Sounding Interferometer (IASI) radiances in the day time. Diagnostic measures suggest some benefit from the approach, but no consistent positive impact has been obtained in extensive assimilation experiments so far. Successful assimilation of land-surface-sensitive channels likely requires improved modelling of skin temperature.

#### **10.02 Impact of dust aerosols on the retrieval of IR land surface emissivity spectrum: A new simultaneous approach accounting for dust characteristics and surface temperature from IASI**

*Presenter: Virginie Capelle, LMD/CNRS-Ecole Polytechnique*

*Authors: V. Capelle, A. Chédin, C. Crevoisier, R. Armante, L. Crepeau, and N. A. Scott*

Land surface emissivity (LSE) spectrum is a key variable for improving earth surface-atmosphere interaction models, retrievals of meteorological profiles, surface temperature, as well as cloud and aerosol characteristics. An error of 0.05 in emissivity for channels in the thermal infrared may induce an error in the simulated brightness temperature larger than 1.5K, leading to potentially important misinterpretation in the estimation of surface energy fluxes and temperature. However, an accurate estimation of this variable requires precise knowledge of 1) the land surface temperature (LST) and 2) the atmospheric contribution (temperature and water vapour profiles, presence of clouds and/or aerosols). In general, inversion methods are developed for clear sky situations only, where pixels contaminated by clouds or aerosols are supposedly eliminated. The limit of such a procedure is the difficulty, above highly dust contaminated regions such as Sahara or Sahel, to unambiguously identify clear sky condition. First, dusty pixels can be considered as "clear", leading to large errors in surface properties retrieval (a moderate dust aerosol layer of optical thickness 0.2 not detected leads to an error of more than 1K in LST and 0.05 in emissivity). Second, for such regions and some time periods, every pixel is potentially impacted by dust, preventing the determination of surface emissivity.

Here a new global monthly database of LSE spectrum has been derived from IASI by combining the simultaneous restitution of surface properties (LST&LSE) with the estimation of dust aerosols characteristics. A first estimation of LST and LSE are made applying the MSM method described in Capelle et al., 2012 and based on a physical inversion of the radiative transfer equation, developed for clear sky pixels only. This estimation doesn't take into account the presence of aerosols. The aerosol properties are then retrieved independently using a Look-up-Table method developed for the simultaneous restitution of dust aerosols characteristics (optical depth, mean altitude) as well as of LST (Capelle et al., 2017, in rev.). The impact of dust on the surface emissivity and temperature restitutions is then parametrized monthly, allowing to reconstruct a gridded monthly emissivity spectrum at resolution 0.25°X0.25°, independent of dust, even for regions and/or seasons without any clear condition. Surface emissivity estimation has also been extended to all latitudes, including ice and snow, and calculated monthly for the entire IASI period (2007-now). Comparisons with other databases such as the UW/MODIS emissivity or the IASI-L2 emissivity will be presented, as well as day and night comparisons with other datasets of surface properties such as soil moisture or NDVI.

### **10.03 A dynamic infrared land surface emissivity atlas based on IASI retrievals**

*Presenter: Rory Gray, Met Office*

*Authors: Rory Gray*

The radiative emission from the earth's surface is characterised by its skin temperature and spectral surface emissivity. Uncertainties in these properties limit the use of infrared sounders and imagers over land, for which a more accurate estimate of them is required. This is particularly important in limited area models, where the proportion of land surface is usually higher than in global models.

Hyperspectral IR sounders such as IASI allow exploitation of the spectral structure of surface emissivity. A high spectral resolution, near real-time global atlas of land surface emissivity using spectral emissivity retrievals from IASI has been created. The emissivity is retrieved in the form of a limited number of principal component weights in a 1D-Var pre-processor, simultaneously with skin temperature and atmospheric temperature and humidity profiles. The analysed surface spectral emissivity and skin temperature vary at each assimilation cycle, permitting the capture of more temporal variability than possible with a climatological emissivity atlas. The emissivity product is updated on an observation-by-observation basis, and is produced as a gridded dataset suitable for a range of applications. Verification has been performed against independent data sources.

Test and verification results are presented, and application to SEVIRI is demonstrated. The final product should prove beneficial to observations from other current and future instruments, including SEVIRI, HIRS, MTG-FCI and MetOp-SG.

## **Session 10b: Land surface studies**

### **10p.01 Withdrawn**

### **10p.02 The status of the Combined ASTER and MODIS Emissivity Over Land (CAMEL) product**

*Presenter: Eva Borbas, SSEC/UW-Madison*

*Authors: Eva Borbas, Michelle Feltz, Glynn Halley, Robert Knuteson and Simon Hook*

As part of a NASA MEaSUREs Land Surface Temperature and Emissivity project, the University of Wisconsin, Space Science and Engineering Center with the NASA's Jet Propulsion Laboratory have developed a global monthly mean emissivity Earth System Data Record (ESDR) at 0.05-degree spacial resolution with high spectral resolution (between 3.6-14.3  $\mu\text{m}$ ). The CAMEL ESDR was produced by merging two current state-of-the-art emissivity datasets: the UW-Madison MODIS Infrared emissivity dataset (UWIREMIS), and the JPL ASTER Global Emissivity Dataset v4 (GEDv4). The dataset is currently being tested in sounder retrieval schemes (e.g. CrIS, IASI) and has already been implemented in RTTOV-12 for immediate use in numerical weather modeling and data assimilation.

**10p.03 MACSSIMIZE: An upcoming campaign to focus on the development and evaluation of Arctic snow emissivity models suitable for use in assimilation of satellite microwave sounder data**

*Presenter: Chawn Harlow, Met Office*

*Authors: Chawn Harlow*

It has been well established that the polar regions are currently undergoing rapid changes in sea ice properties (eg. area cover, thickness, meltpond occurrence, etc.) as well as changes in terrestrial snow and permafrost due to Earth's changing climate. The changes in the cryosphere impact the local radiation, moisture, heat and momentum exchange with the atmosphere. Some of these atmospheric changes have been found in previous studies to impact mid-latitude weather and climate but the degree to which this occurs is dependent on currently uncertain cryospheric and PBL dynamical processes in polar regions amongst others. In addition there is uncertainty in the current state of the polar atmosphere and cryosphere due to paucity of conventional observations over these regions. The ability to use microwave and infrared satellite sounder data from instruments such as the AMSU's and IASI's to fill this gap in the conventional observations is limited by understanding of the surface temperature and emissivity, particularly for channels containing information about the lower troposphere where these surface-atmospheric interactions occur. As part of the World Meteorological Organisation's Year of Polar Prediction (YOPP) project, the Met Office is planning to undertake a joint airborne-ground-based field campaign in late winter of 2018 in order to further constrain some of these uncertainties in the polar climate system. This campaign is called Measurements of Arctic Clouds, Snow and Sea Ice in the Marginal Ice Zone (MACSSIMIZE). During MACSSIMIZE, the Met Office hopes to obtain novel observations from the Arctic of surface emissivity, surface and boundary-layer processes, cloud microphysics and aerosols, and orographic flows and their lee-side impacts. This presentation will focus on the snow and sea ice emissivity objectives and methodology for MACSSIMIZE. The novel instrumentation available on MACSSIMIZE should allow evaluation of snow microwave emission models in the 20-200 GHz frequency range to degrees of certainty greater than that achieved in the past. The ground-based snow pit measurements will provide snow microstructural and thermodynamic measurements that will be used to improve snow physical models for use in future NWP models. Such models should produce a model formulation able to generate snow stratigraphic sequences typical of Arctic snow conditions for the first time. Coupling such snow emissivity and physical modules into an NWP model will allow the production of a forward model useful for assimilation of microwave sounder data over the polar regions.

**10p.04 The current forecast impact of surface-sensitive microwave radiances over land and sea-ice in the ECMWF system**

*Presenter: Niels Bormann, ECMWF*

*Authors: Niels Bormann, Cristina Lupu, Alan Geer, Heather Lawrence, Peter Weston and Stephen English*

The current forecast impact of surface-sensitive microwave sounder radiances over land and sea-ice has been assessed in the ECMWF system. The assimilation relies on surface emissivities retrieved from window channel observations. Short-comings of the current approach to use the data in specific regions are also highlighted.

Surface-sensitive microwave radiances over land and sea-ice have a significant positive forecast impact (2-3 % reduction in forecast error for the 500 hPa geopotential over the extra-tropics). When added incrementally to an otherwise full observing system, observations over sea-ice, humidity-sounding radiances over land, and temperature-sounding radiances over land all contribute significantly to this positive forecast impact. The impact shows some seasonal dependence, and the Northern Hemisphere impact over land is smaller during winter, most likely related to a more restricted and less optimal use of the observations over snow.

Short-comings are nevertheless apparent in specific areas. Desert regions show diurnal biases, most likely due to biases in the temperature used to specify surface radiation, likely arising from a combination of penetration effects and diurnal model biases. Snow-covered regions show biases that appear consistent with assuming specular reflection when diffuse reflection is prevalent. The quality control currently applied is mostly successful in protecting the analysis from the deficiencies in these areas. Neglected cloud signals can have a significant effect on the retrieved emissivities and the subsequent quality control.

### **10p.05 The characteristics of the real-time land surface emissivity of the ATMS data for numerical weather prediction model**

*Presenter: Jisoo Kim, Ewha Womans University*

*Authors: Jisoo Kim, Myoung-Hwan Ahn, Chu-Yong CHUNG*

Assimilation of satellite observation to the numerical weather prediction (NWP) model contributes to reduction of forecast errors. However, there are some limitations in utilizing the microwave observation data over land due to its high variability of the surface characteristics and high emissivity which makes difficulty in distinguishing the land contribution with atmospheric contribution. In this study, we retrieve the real-time land surface emissivity of the Advanced Technology Microwave Sounder (ATMS) data for a better utilization of microwave satellite observation to the data assimilation process. The real-time emissivity is derived from ATMS data with auxiliary information obtained from the radiative transfer model (RTM). The Unified Model of the Korea Meteorological Administration's operational NWP model provides atmospheric information needed to RTM simulation. The real-time emissivity is characterized by comparison with the prescribed climatological emissivity. As a comparison result, the real-time emissivity shows a significant improvement in the first guess departures; the reduction of bias which means increased number of observation can pass the quality control and can be assimilated. And the variation of the real-time emissivity to frequency and satellite zenith angle is studied. Further, the uncertainty in the calculation of the real-time emissivity was studied. The errors in the data we used and the uncertainties in RTM calculation can propagate to the retrieved emissivity. As a result, the skin temperature and the observation data are the most affective variables in calculation of the emissivity.

### **10p.06 Increased use of microwave humidity sounding data from the FY-3 series in the ECMWF assimilation system**

*Presenter: Keyi Chen, Chengdu University of Information & Technology*

*Authors: Keyi Chen, Niels Bormann, Stephen English, Jiang Zhu*

ECMWF has been assimilating the FY-3B MWHS (Microwave Humidity Sounders) data over sea in the operational forecasting system since September 24th, 2014 and the FY-3C MWHS-2 data since April 4th, 2016. Here we study the introduction of more microwave humidity sounding data from these two instruments, namely the introduction of MWHS data over land, and the addition of MWHS-2 data from DBNet.

For assimilating MWHS/FY-3B observations over land, we compare approaches in which the emissivity is retrieved dynamically from MWHS channel 1 (150GHz (V)) with the use of an evolving emissivity atlas from 89 GHz observations from the Microwave Humidity Sounders (MHS) on NOAA and EUMETSAT satellites. The assimilation of the additional data over land improves the fit of short-range forecasts to other observations, notably ATMS humidity channels, and the forecast impacts are mainly neutral to slightly positive over the first 5 days. The forecast impacts are better in boreal summer and the Southern Hemisphere.

To improve the amount of available MWHS-2 data, we also consider the addition of DBNet data with much improved timeliness. This data is currently available from five stations around Europe. After excluding observations for the first and last few scan-lines of an overpass, the DBNet MWHS-2 data are overall consistent with the global data. Due to the improved timeliness, the data coverage is highly improved for the short cut-off assimilation window. It is therefore planned to add the FY-3C DBNet MWHS-2 observations to the ECMWF operational forecasting system in the next version upgrade in late 2017.

### **10p.07 Surface skin temperature for satellite data assimilation**

*Presenter: Cristina Lupu, ECMWF*

*Authors: Cristina Lupu, Tony McNally, Massimo Bonavita*

Knowledge of the surface temperature is important to assimilation of radiances providing information on temperature and humidity in the lower troposphere. For the open ocean the sea surface temperature is usually known to within 1K, with low bias and assimilation has proven very successful. However, for land and sea ice areas the uncertainty in the surface temperature can be much higher and can pose a bigger challenge for the assimilation of surface-sensitive data over these regions. In the current data assimilation system the surface skin temperature is retrieved for each satellite sounding during the 4D-Var assimilation and act as a sink variable in the analysis. This estimate is independent from other atmospheric variables in the background error covariance and from the skin temperature at other locations. Work towards improving the handling of skin temperature for satellite data assimilation has started. Different approaches will be discussed, such as using sample statistics from an Ensemble of Data Assimilations (EDA) to estimate background error variances

for skin temperature sink variable instead of the constant values currently specified over different surfaces and using the ECMWF model surface temperature instead of skin temperature sink variable.

#### **10p.08 Assimilation of land surface skin temperature observations derived from GOES imagery**

*Presenter: Sylvain Heilliette, Environment Canada*

*Authors: Sylvain Heilliette, Louis Garand, Bernard Bilodeau, Marco Carrera, and Stéphane Bélair*

Progress was accomplished at ECCO in the assimilation of surface sensitive radiances over land (Dutta et al, 2016, JAMC). However, that assimilation does not influence the analysis of surface skin temperature  $T_s$ , i.e.  $T_s$  increments are ignored. In view of reducing that inconsistency, the Canadian Land Surface Analysis System (CALDAS) is used to evaluate the impact in forecasts of assimilating GOES-derived  $T_s$  observations over land. The resolution of the model is 10 km and the domain covers North America and adjacent oceans. A 1D-var approach is used for the  $T_s$  retrieval using up to four infrared channels. GOES-E and GOES-W retrievals are assimilated at 3-h interval over a two-month summer period. The impact in forecasts up to 48-h is evaluated in comparison to the operational system which assimilates SMAP brightness temperatures and 2-m temperature and humidity observations using an ensemble Kalman filter approach. The sensitivity to the  $T_s$  observation and model errors is evaluated for optimization of the impact. The next step is to assimilate surface sensitive radiances in the atmospheric analysis together with the  $T_s$  observations in CALDAS.

#### **10p.09 Implementation of a real-time Level 2 SEVIRI processor for the simultaneous physical retrieval of surface temperature and emissivity at global scale**

*Presenter: Guido Masiello, Università degli Studi della Basilicata*

*Authors: Guido Masiello, Carmine Serio, Giuliano Liuzzi, Sara Venafra, Maria Grazia Blasi*

The real-time continuous monitoring of surface parameters is very important for different applications, like risk management, natural hazards and land surveillance. Geostationary platforms allow to provide series of satellite observations with a very high temporal resolution, able to resolve the diurnal cycle and to catch seasonal variability. In this work the development of a very fast multi-temporal and multi-spectral Level 2 processor is described. The processor exploits SEVIRI (Spinning Enhanced Visible and Infrared Imager) infrared radiances (8.7, 9.7, 10.8 and 12  $\mu\text{m}$ ) to retrieve surface temperature and emissivity simultaneously by means of a fast forward radiative transfer model ( $\sigma$ -SEVIRI) and an inversion procedure based on the Kalman filter approach. Further details on the adopted methodology are reported in recent works (Masiello et al. 2013 doi:10.5194/amt-6-3613-2013), together with validation exercises at regional and global scale both against in situ, analysis and equivalent satellite observations (Masiello et al. 2015 doi:10.5194/amt-8-2981-2015; Blasi et al. 2016 doi: 10.3369/tethys.2016.13.13). The software is capable to run in real-time also thanks to a code optimization and the usage of parallel computation. In detail, a single SEVIRI full disk slot time (15 minutes) can be processed in about 16 minutes exploiting 20 threads, providing surface temperature and emissivity estimations on land surface.

#### **10p.10 Use of surface observations as pseudo channels for improving AIRS temperature and moisture retrieval**

*Presenter: Hyun-Sung Jang, Seoul National University*

*Authors: Hyun-Sung Jang, Byung-Ju Sohn, and Jun Li*

Using a linear regression model for AIRS temperature and moisture retrievals, we examined the impact of use of surface observations in the soundings. In doing this, two regression models are constructed. One uses AIRS radiances as predictors for retrieving temperature and moisture profiles, while the other additionally uses temperature and moisture observations from surface weather stations. From the use of surface observations in the regression model, it is noted that contributions by window, lower temperature and lower water vapor channels are all changed, in which contributions from lower water vapor channels are notable. It can be interpreted that the information of lower water vapor channels is relatively unstable and surface observations affect the retrieval performance through modifying the contribution of these channels. Applying surface observations in the AIRS sounding results in improved retrieval performance not only at surface level where surface station is located, but also over the boundary layer above the surface station. In other words, the use of surface observations can help better resolve the vertical structure of temperature and moisture near the surface layer by alleviating the influences of incomplete channel weighting functions near the surface on the retrieval. From this experiment which uses Automatic Weather Station (AWS) data over the Korean Peninsula collocated with AIRS measurements, it is noted that root mean square errors of temperature and relative humidity are improved by up to 1 K and 8% point, respectively.

### **10p.11 Assessment of soil wetness variation for extreme events using direct broadcast receiving system at IMD**

*Presenter: Ashim Mitra, India Meteorological Department*

*Authors: A.K. Mitra and Shailesh Parihar*

Satellite remote sensing of soil related content for hydrological purposes have been considerably studied and developed over past three decades. This soil estimation by means of remote sensing depends upon the measurements of electromagnetic energy that has either been reflected or emitted from the soil surface and are accessible to remote sensing through measurements at the thermal infrared and microwave wavelengths. Recent advances in remote sensing, in the last few years, have shown that microwave techniques have the ability to measure soil moisture/wetness monitoring under a variety of topographic and vegetation cover conditions quantitatively. This is due to the all-weather and all-time capability of these data, as well as to their high sensitivity to water content in the soil.

The Soil Wetness Estimation (SWE) has been computed from the data acquired by real time direct broadcast (DB) receiving system installed at three places of India Meteorological Department (IMD) using microwave radiometer AMSU (Advanced Microwave Sounding Unit), flying aboard NOAA (National Oceanic and Atmospheric Administration) polar satellites. A multi-temporal analysis of AMSU channel 15 (at 89 GHz) and channel 1 (at 23 GHz) have been used to find the variation of SWE. In the present analysis, the proposed SWE indicator has been very well brought out the soil wetness changes specifically for the flood event which could give some indication of early 'signals' of an anomalous value of soil water content. In order to improve the forecast capabilities over the tropics, SWE approach is found to be promising for operational use.

### **10p.12 The Joint Land Data Assimilation System (JLDAS)**

*Presenter: Chunlei Meng, Institute of Urban Meteorology, CMA*

*Authors: Chunlei Meng; Dongmei Song*

An integrated urban land model (IUM) was developed based on the Common Land Model (CoLM), which integrates the urban land model with the common land model, extends the research scales of land models. A joint land data assimilation system was developed based on IUM, which can assimilate the land surface parameters in both urban and non-urban underlying surfaces, improves the model's performance, and expands the theory and application of data assimilation. A simplified variational assimilation method was used to assimilate the soil moisture and the land surface temperature both in natural and urban land surfaces. The atmospheric forcing data from Global Land Data Assimilation System (GLDAS) was used to forcing the IUM. A mosaic scheme was used to assimilate the land cover and land use (LULC) data. The nighttime light data provided by NOAA DMSP/OLS was used to parameterize the spatial distribution of anthropogenic heat release (AHR). A quality control (QC) method was used to assimilate the snow cover fraction (SCF) and snow water equivalent (SWE) data retrieved from Fengyun satellite simultaneously. MODIS leaf area index (LAI) and albedo data was also assimilated into JLDAS as the forcing variables.

## **Session 11a: Retrieval products**

### **11.01 The EUMETSAT operational IASI L2 products and services, from global to regional**

*Presenter: Thomas August, EUMETSAT*

*Authors: T. August, T. Hultberg, M. Crapeau, A. Burini, D. Klaes, C. Clerbaux, P. Coheur*

The EUMETSAT operational IASI level 2 (L2) product processing facility (aka PPF) provides single-pixel based products globally, including temperature and humidity profiles, surface skin temperature and land surface emissivity, cloud detection and characterisation (height, coverage) as well as a number of atmospheric composition products. For temperature and humidity sounding, the IASI L2 PPF implements a two-step approach with first a statistical retrieval followed by an optimal estimation (OEM).

We present here the experience made with the products since the release of the version 6 (v6) [August et al., ITSC-20] in September 2014 and in particular since the introduction of the latest revisions v6.2 (June 2016) and v6.3 (June 2017). In the v6, the first step statistical retrieval exploits collocated microwave measurements from the companion instruments AMSU-A and MHS in synergy with the IASI measurements, using a piece-wise linear regression algorithm (PWLR). As a result, temperature and water-vapour soundings are provided in about 85% of the IASI pixels, including cloudy conditions. Since v6.2, the retrieval algorithm exploits measurements in adjacent pixels to take advantage of the horizontal correlation of the atmospheric signals [PWLR3, Hultberg et August, ITSC-20]. The subsequent OEM retrieval is applied in clear sky and is IASI-based

only, exploiting most of the spectral information in bands 1 and 2 through reconstructed radiances after principal components analysis. The typical precision reached ranges 0.7-1K in clear-sky for most of the troposphere and of about 1-1.5g/kg for water-vapour depending on the actual moisture content. We show that the sounding products from the PWLR3 perform comparably to the retrievals from the OEM.

In addition, a new land surface emissivity product is available since the introduction of v6.2, which are also generated with the PWLR3 algorithm. We show that observations (OBS) are better fitted with calculated (CALC) radiances by using the PWLR3 temperature, humidity and ozone profiles together with the surface skin temperature and land surface emissivity products than with numerical model fields and static climatological atlas. Finally, the v6.3 consolidates and introduces new atmospheric composition parameters, including CO profiles, SO<sub>2</sub> columns and also a dust index which can be used for quality flagging and data selection.

The computational efficiency of the PWLR3 is compatible with regional Users needs to have timely description of the atmosphere. A new regional service extending EARS-IASI to include Level 2 has been running since November 2016. It is based on the joint MW+IR retrievals with the PWLR3 and provides L2 products within 30 minutes from sensing. We present the EARS-IASI L2 service and introduce the plans and preliminary results of using this service after one year in operations.

### **11.02 Status of the NPP and J1 NOAA Unique Combined Atmospheric Processing System (NUCAPS) for atmospheric thermal sounding: Recent algorithm enhancements and near real time users applications**

*Presenter: Lihang Zhou (for Antonia Gambacorta), NOAA*

*Authors: Antonia Gambacorta, Nick Nalli, Thomas King, Flavio Iturbide-Sanchez, Changyi Tan, Kexin Zhang, Michael Wilson, Bomin Sun, Xiaozhen Xiong, Christopher Barnet, Ashley Wheeler, Nadia Smith, Lihang Zhou, Walter Wolf, Tony Reale, Mitch Goldberg*

NUCAPS is the NOAA operational algorithm to retrieve thermodynamic and composition variables from hyper spectral thermal sounders such as CrIS, IASI and AIRS. The combined use of microwave sounders, such as ATMS, AMSU and MHS, enables full atmospheric sounding of the atmospheric column under all-sky conditions. The full suite of retrieval products comprises of skin temperature, cloud parameters and vertical profiles of temperature, water vapor and trace gases such as: ozone, methane, carbon monoxide, nitric acid, nitrous oxide, sulfur dioxide and carbon dioxide. NUCAPS retrieval products are accessible in near real time (about 1.5 hour delay) through the NOAA Comprehensive Large Array-data Stewardship System (CLASS). Since February 2015, NUCAPS retrievals have been also accessible via Direct Broadcast, with unprecedented low latency of less than 0.5 hours.

NUCAPS builds on a long-term, multi-agency investment on algorithm research and development. The uniqueness of this algorithm consists in a number of features that are key in providing highly accurate and stable atmospheric retrievals, suitable for real time weather and air quality applications, as well as long-term climate studies.

Firstly, maximizing the use of the information content present in hyper spectral thermal measurements forms the foundation of the NUCAPS retrieval algorithm. NUCAPS employs a sequential approach of retrieval steps based on an iterated, regularized and weighted optimal estimation approach. The sequential methodology aims at minimizing the dependence on the geophysical a priori and the sources of non-linearity affecting the retrieval problem. This feature is key when it comes to provide an independent measurement of the atmospheric state that can depart from the existing background knowledge, provide additional context to weather forecast and ultimately serve as a test bed for global circulation models. Secondly, NUCAPS is a modular, name-list driven design. It can process multiple hyper spectral infrared sounders (on Aqua, NPP, MetOp and JPSS series) by mean of the same exact retrieval software executable and underlying spectroscopy. This feature plays a critical role in providing additional, yet consistent, atmospheric measurements that can fill in for the temporal and spatial gaps left uncovered by the often-sparse networks of in situ measurements. Third, a cloud-clearing algorithm and a synergetic use of microwave radiance measurements enable full vertical sounding of the atmosphere, under all-sky regimes. This feature is key in removing the clear-sky bias that is typically found in infrared-only retrieval algorithms. It also maximizes the information provided on the atmospheric vertical structure that can complement the measurement acquired in the visible domain.

As we transition towards improved hyper spectral missions, assessing retrieval skill and consistency across multiple platforms becomes a priority. Focus of this presentation is geared towards an overview of the NUCAPS retrieval methodology and improved environmental applications of societal benefits.

### **11.03 Single footprint all-sky retrievals using a fast, accurate TwoSlab cloud representation**

*Presenter: Sergio DeSouza-Machado, UMBC*

*Authors: Sergio DeSouza-Machado, Larrabee Strow*

We have developed a fast, accurate package to simulate cloudy radiances, using a TwoSlab cloud representation with our SARTA scattering code. The package is applied toward single footprint retrievals of AIRS L1b radiances, using the Optimal Estimation method. The retrieval is linearized with respect to clouds using nearby ECMWF cloud fields that nominally match the observed radiances. The computed degrees of freedom are shown to vary linearly with window channel observations, demonstrating the retrieval is using the information in the AIRS radiances. Comparisons are made against AIRS L2 and ECMWF model fields. We also use the scattering code to present retrieved geophysical and cloud trends using 15 years of trended AIRS nadir radiance data.

### **11.04 Combining polar hyper-spectral and geostationary multi-spectral sounding data – A method to optimize sounding spatial and temporal resolution**

*Presenter: William Smith, SSEC/UW-Madison*

*Authors: W. L. Smith Sr., E. Weisz, and J. McNabb*

A method is developed for combining polar orbiting Direct Broadcast Satellite (DBS) hyper-spectral soundings with geostationary orbiting satellite multi-spectral soundings in order to optimize the spatial and temporal resolution of the derived products. The method is applied to the combination of Direct Broadcast Satellite CrIS and IASI hyper-spectral data and real-time GOES-16 Advanced Baseline Imager (ABI) multi-spectral data. It is shown that the combined sounding products have greater spatial resolution than that provided by either satellite system alone with the temporal resolution of the geostationary satellite multi-spectral imager. The accuracy of the derived products is validated using NASA ER-2 airborne Scanning High-resolution Interferometer Sounder (SHIS) data, which are provided at the horizontal resolution of the ABI and the vertical resolution of the satellite hyper-spectral sounders. The intent of the combined DBS polar and geostationary sounding products is to support real-time severe weather forecast applications.

## **Session 11b: Retrieval products and applications**

### **11p.01 Overview of JPSS-1 and Suomi NPP ATMS SDR and EDR products**

*Presenter: Quanhua (Mark) Liu, NOAA/NESDIS/STAR*

*Authors: Quanhua (Mark) Liu, Hu Yang, Ninghai Sun, Christopher Grassotti, Lin Lin, and Fuzhong Weng*

Suomi NPP Advanced Technology Microwave Sounder (ATMS) has been operational since 2012. The ATMS is a cross-track scanner with 22 channels and provides sounding observations for atmospheric temperature, moisture, and clouds, as well as surface temperature, snow and ice. All channels are sampled every 1.1° at 96 scan positions, with a cross track swath width of about 2600 km, significantly wider than AMSU-A or MHS. ATMS channels 1 and 2 have a large beam width (low spatial resolution) of 5.5 degrees; channels 3 to 16 have a medium beam width of 2.2 degrees; and channels 17 to 22 have a small beam width of 1.1 degrees.

In this presentation, we will present the JPSS-1 ATMS performance such as noise, bias, channel noise correction, and others.

We will also show the ATMS products. Using the ATMS data, NOAA Microwave Integrated Retrieval System (MiRS) operationally generates atmospheric profiles of temperature and water vapor, cloud liquid water, ice water content, rainfall rate, snow cover and snow water equivalent, snow fall rate, surface temperature and microwave emissivity, and sea ice concentration. The MiRS is based on 1D-VAR retrieval algorithm which includes the Community Radiative Transfer Model (CRTM) for computing radiances and the gradient of radiance (or Jacobian), and a scheme for minimizing the cost function that weighs the relative contribution of background (a priori) information and satellite observations.

There are a number of recent improvements to the MiRS system. The snow water equivalent retrieval is improved by the implementation of forest fraction emissivity correction. The light rain detection over land is improved by adding cloud liquid water (CLW) and rain water path (RWP) into the rain rate formula. By

Implementing new land surface background covariance based on updated CRTM and ECMWF analysis data, the retrieved land surface emissivity are largely improved in comparing with analytical emissivity based on ECMWF data. Both the retrieved temperature and water vapor profiles over ocean are improved by using the atmospheric covariance matrices based on ECMWF 137 level dataset. MiRS is also extended to GPM/GMI. The MiRS retrieval based on GPM/GMI has become operational. TPW retrieval over land based on GPM/GMI data is largely improved by adjusting the MiRS Tuning file.

### **11p.02 Exploitation of hyperspectral sounder and microwaves sounder data products generated at NOAA/NESDIS**

*Presenter: Awdhesh Sharma, NOAA/NESDIS*

*Authors: Dr. A.K. Sharma*

Recently, the National Oceanic and Atmospheric Administration (NOAA) National Environmental Satellite Data and Information Service (NESDIS) has made significant improvements for retrieving atmospheric soundings using the high spectral resolution infrared and advanced microwave sounders data from the Cross-track Infrared Sounder (CrIS) and the Advanced Technology Microwave Sounder (ATMS) instrument on board the Suomi-National Polar-orbiting Partnership (S-NPP) and the Infrared Atmospheric Sounding Interferometer (IASI) which resides on the European Space Agency's (ESA) Metop series of polar-orbiting satellites.

This series of advanced operational sounders CrIS, in conjunction with ATMS, provides more accurate, detailed atmospheric temperature and moisture observations for weather and climate applications. Currently, the level 2 data products from S-NPP and IASI from Metop-A and Metop-B satellites include temperature and humidity profiles, trace gases such as ozone, nitrous oxide, carbon dioxide, and methane, and the cloud cleared radiances (CCR) on a global scale and these products are routinely made available to the operational user community. Higher (spatial, temporal and spectral) resolution and more accurate sounding data from CrIS and ATMS support continuing advances in data assimilation systems and NWP models to improve short- to medium-range weather forecasts.

In an effort to ensure consistent levels of service for product monitoring and data quality assurance of the NOAA Unique Combined Atmospheric Processing System (NUCAPS) data products, the Office of Satellite and Product Operations (OSPO), has developed and implemented new innovative tools (including webpages for displaying analyses) in the ESPC operation that have made significant improvements in the diagnosis and resolution of problems when detected in the operational environment. The OSPO webpages have been extended to include the CrIS/ATMS SKEW-T (Logarithmic Pressure vs Temperature and Dew Point Temperature) sounding plots over the CONUS region. These pages are updated every hour to show the latest soundings from NUCAPS and IASI.

This presentation will showcase several of these tools that have been developed and deployed for the sounding products monitoring and data quality assurance maintenance and sustainment within the Environmental Satellites Processing Center (ESPC) operational environment. The presentation will also include a discussion on the ESPC system architecture involving sounding data processing and distribution for CrIS and IASI sounding products as well as the improvements made for data quality measurements, granule processing and distribution, and user timeliness requirements envisioned from the next generation of JPSS, Metop-C, and GOES-R satellites. There have been significant changes in the operational system due to system upgrades, algorithm updates, and value added data products and services.

### **11p.03 Retrieval of temperature and water vapor vertical profile from ATMS measurements with Random Forests technique**

*Presenter: Francesco Di Paola, IMAA-CNR*

*Authors: F. Di Paola, A. Cersosimo, D. Cimini, D. Gallucci, S. Gentile, S. T. Nilo, E. Ricciardelli, F. Romano, M. Viggiano*

The Advanced Technology Microwave Sounder (ATMS) is the polar orbiting microwave sounder currently flying on the Suomi National Polar-orbiting Partnership (NPP) satellite mission. It is a cross-track scanner with 22 channels that provides sounding observations useful to retrieve temperature (T) and water vapor (WV) atmospheric vertical profile in nearly-all-weather conditions, for operational weather and climate applications. Using spatial and temporal coincidences between ATMS observations and the global ECMWF atmospheric reanalysis ERA-Interim dataset (with 0.75° horizontal resolution and 6-hour temporal resolution), a training dataset for machine learning purpose was built for the whole 2016. In detail, for each ATMS acquisition, 37

levels of T (between 1 and 1000 hPa), and 27 levels of WV (between 100 and 1000 hPa), are used to train an algorithm based on Random Forests (RF) regression technique. Considering that the sounding below the precipitation level becomes unreliable, the precipitation-affected observations were removed from the training dataset by means of a pre-screening test based on Brightness Temperatures (BT). A single RF was trained for each level and variable, using a k-fold statistic approach to optimize the input selection and minimum leaf size parameter, to avoid overfitting problem and obtain an accurate retrieval. The algorithm was finally validated against ERA-Interim 2015 dataset, showing profiling performances in line with expectations for both T and WV at all the pressure levels.

#### **11p.04 Experimenting different a priori sources for optimal estimation retrievals with IASI**

*Presenter: Marc Crapeau, EUMETSAT*

*Authors: M. Crapeau, T. August, T. Hultberg, A. Burini*

We present here different choices of a priori and first guesses as input to an optimal estimation (OEM) retrieval from IASI measurements. The test bed is the EUMETSAT operational IASI Level 2 (L2) processor which implements a two-step retrieval approach to generate the sounding products.

Since the release of the version 6 (v6) [August et al., ITSC-19], a statistical retrieval method is applied, which nominally also exploits IASI observations in synergy with collocated microwave measurements from the companion instruments AMSU-A and MHS on-board Metop. This implements a piece-wise linear regression algorithm [PWLR3, Hultberg et August, ITSC-20] which is applied in clear-sky and in most cloudy situations. The retrieved geophysical parameters include temperature, humidity, ozone, surface temperature, land surface emissivity. They are provided with corresponding quality indicators.

In cloud-free pixels, as assessed with cloud detection functions with the L2 processor, an optimal estimation method [OEM, Rodgers 2000] is subsequently invoked, which exploits IASI measurements only. It is initialised with the first-step statistical retrieval, to update the surface skin temperature and the temperature, humidity and ozone profiles.

In the experiments presented here, the baseline OEM is configured with different inputs in turn, including the above statistical retrieval, numerical model forecasts and also deliberately inaccurate prior information, to evaluate the relative impact of the prior to the posterior state vector, on the convergence and the potential resilience to inaccurate prior estimates. The products evaluation is performed by comparing the temperature and humidity profiles to numerical model analyses and to in situ sonde measurements.

#### **11p.05 Hyper-spectral sounder derived severe weather indices**

*Presenter: William Smith (for Elisabeth Weisz), SSEC/UW-Madison*

*Authors: Elisabeth Weisz, William Smith Sr.*

Advanced sounding instruments (AIRS, IASI, CrIS) onboard polar-orbiting satellites provide high spectral resolution infrared measurements that can be turned into accurate and high vertical resolution temperature and humidity profiles. This information can be used to evaluate the atmospheric stability in the pre-convective storm environment by investigating severe weather indices such as the lifted index and the convective available potential energy for the use in weather monitoring and forecasting operations. These retrieval products prove valuable in the assessment of atmospheric conditions favorable to severe weather, and are found to complement the information from conventional surface and upper air data networks, satellite imagery and numerical weather prediction models.

#### **11p.06 Combining imager and lightning for enhanced GOES-R rain estimates in the NWS Pacific Region**

*Presenter: Nai-Yu Wang, University of Maryland/ESSIC, NOAA/NESDIS/STAR*

*Authors: Nai-Yu Wang, Yalei You, Patrick Meyers*

Precipitation estimates from geostationary satellites provide the rapid temporal update desired by the operational meteorologists to capture the growth and decay of precipitating cloud systems on a scale of several kilometers. The launch of the Geostationary Operational Environmental Satellite-R Series (GOES-R) ushered a new era of geostationary satellite with the 16 channel Advanced Baseline Imager (ABI) and the Geostationary Lightning Mapper (GLM) and the ability to take full-disk images of Earth at five-minute intervals. A combined IR and lightning convective features and precipitation algorithm for the Pacific Region is being developed using geostationary JMA's Himawari-8 infrared and ground lightning network GLD360 lightning observations. Following the heritage of an IR-lightning combined precipitation algorithm over land (Xu, Adler,

and Wang 2013, 2014), the Pacific Ocean region IR and lightning convective feature and precipitation algorithm uses a combination of an IR-based C/S technique (CST), multi-channel cloud information, and lightning information to identify deep convection cores and estimates rainfall rates. This study presents an overview of an oceanic IR-lightning Convective feature and precipitation algorithm, case studies, and provides some thoughts on the next step improvements.

#### **11p.07 The Suomi-NPP VIIRS total precipitable water product**

*Presenter: Eva Borbas, SSEC/UW-Madison*

*Authors: Eva Borbas, Zhenglong Li, Paul Menzel and Matyas Rada*

The goal of the Suomi NPP VIIRS Moisture Project is to provide total column water vapor (TPW) properties from merged VIIRS infrared measurements and CrIS plus ATMS water vapor soundings to continue the depiction of global moisture at high spatial resolution started with MODIS.

While MODIS has two water vapor channels within the 6.5  $\mu\text{m}$  H<sub>2</sub>O absorption band and four channels within the 15  $\mu\text{m}$  CO<sub>2</sub> absorption band, VIIRS has no channels in either IR absorption band. The VIIRS/CrIS+ATMS TPW algorithm being developed at CIMSS is similar to the MOD07 synthetic regression algorithm. It uses the three VIIRS longwave IR window bands in a regression relation and adds the NUCAPS (CrIS+ATMS) water vapor product to compensate for the absence of VIIRS water vapor channels.

This poster presents the evaluation of the S-NPP TPW Level 2 and 3 products with TPW data from ground-based and satellite-based measurements.

#### **11p.08 Application of cumulative probability distribution function to compositing precipitable water with Low Earth Orbit satellite data**

*Presenter: Junhyung Heo, KMA*

*Authors: Junhyung Heo*

Precipitable water (PW) is used as a leading indicator for severe weather such as heavy rainfall by forecasters. In this study, PWs which are integrated water vapor at boundary layer (BL), middle layer (ML), high layer (HL) and total precipitable water (TPW) are retrieved and analyzed to verify the impact of different layer.

The National Meteorological Satellite Center (NMSC) receives observation data directly from Low Earth Orbit satellite (LEO) data such as NOAA-18, 19 (ATOVS), MetOp-A, B(ATOVS), and Suomi-NPP (CrIS, ATMS) and generates level 2 products in near real time around East Asia. In order to integrate PW retrieved from the five satellite within specific time windows, blending process for PW is performed. The blending algorithm matches the cumulative probability distribution function (CDF) of PW retrieved from the different satellites to reference CDF in order to minimize their differences. For the reference CDF, PW retrieved from Unified Model (UM) Regional Data Assimilation Prediction System (RDAPS) analysis data are used and changed dynamically according to selected time windows. The PWs are composited every 6-hour for 03:00~09:00, 09:00~15:00, 15:00~21:00, and 21:00~03:00UTC time windows. Validation of composited PW is performed during summer and winter season in 2015, 2016, and 2017. Composite results of TPW and BL at Suomi-NPP are improved about 15 % (TPW) and 40 % (BL) in RMSE.

#### **11p.09 Evaluating satellite estimates for extreme rainfall in the Southern of Brazil in high resolution**

*Presenter: Rute Ferreira, INPE/CPTEC*

*Authors: Rute Ferreira, Dirceu Herdies, Daniel Vila, Eder Vendrasco, Thiago Biscaro, Lina Zea, José Roberto Rozante*

The southern region of Brazil concentrates a significant part of the country's economy, including agriculture and livestock production. Over this region, the precipitation is consistently distributed during the year and differentiated by the main precipitation systems. In the warmer months, the Mesoscale Convective Systems (MCS) are responsible for intense precipitation in a few hours; resulting in agricultural and livestock damage, landslides and flooding. In the months with lower temperatures, the precipitation in this region is principally linked to cold fronts which can also generate large and continuous daily rain accumulation. The objective of this study was to compare the performance of the most common algorithms to estimate precipitation in the southern region of Brazil such as GPM (Global Precipitation Measurement), CMORPH (CPC Morphing technique), PERSIANN System (automated system for Precipitation Estimation from Remotely Sensed Information using Artificial Neural Networks), and others. After analyzing the performance of these algorithms within extremes of precipitation, a correction was performed using the bias removal for precipitation data set

from one year and from approximately 400 telemetric rain gauge stations; using the Combined Scheme technique (CoSch) to evaluate the best performance to be applied in hydrological models and, in the validation of precipitation prediction of nowcast models.

#### **11p.10 Structure analysis of heavy precipitation over the Eastern Slope of the Tibet Plateau based on TRMM data**

*Presenter: Wei Dong, Lanzhou Central Meteorological Observatory, China*

*Authors: Wang Baojian, Huang Yuxia, Wei Dong*

The horizontal and vertical structure of a heavy rain system happened over the eastern slope of the Tibet Plateau on July 21 2013 has been studied by using tropical measure mission data (TRMM), NCEP-FNL operational global analysis data and ERA-Interim analysis data, in combination with Doppler radar and other surface observational data. The result indicate that: The heavy rain system triggered by unstable stratification with 700 hPa shear line and surface convergence line was consisted of a main stratiform precipitation cloud and several scattered convective precipitation clouds characterized by high precipitation intensity. Although the number of convective rains is less than the stratiform rains, averaged rain rate of convective rains is 4.7 times larger than stratiform rains and contribute 25.6% to the total rain. The horizontal scale is about 20~50 km with a standard of 10 mm/h precipitation rate. The rainfall intensity spectral distributions of convective rains mainly occurred in 1~50 mm/h, and the rains between 20~30 mm/h make the greatest contribute to total. Meanwhile, the rain rate of almost 90% of the stratiform rains concentrated 10 mm/h below. Vertically, the rain top of heavy precipitation system can reach to 12 km from the surface with a low-quality core structure (below 6 km). The most precipitation rate occurred in the atmospheric from ground to the level near 7 km and the distributions of precipitation rate in vertical was inhomogeneous. Upon most occasions, the contribution of total precipitation was inversely proportional to the height, but in certain range of height, convective precipitation profiles show the opposite. As a verification and supplement for TRMM PR, Doppler radar observations show such characteristics as low centroid and adverse wind area.

#### **11p.11 Analysis of heavy rainfall events occurred in Italy by using microwave and infrared technique**

*Presenter: Elisabetta Ricciardelli, National Research Council of Italy – Institute of Methodologies for Environmental Analysis*

*Authors: E. Ricciardelli, A. Cersosimo, D. Cimini, F. Di Paola, D. Gallucci, S. Gentile, S. T. Nilo, F. Romano, M. Viggiano*

The Precipitation Evolving Technique (PET) [1, 3] and the Rain Class Evaluation from Infrared and Visible observation (RainCEIV) technique [2] use observations from the Meteosat Second Generation –Spinning Enhanced Visible and Infrared Imager (MSG-SEVIRI) to provide information about the rain rate and cloud class. In detail, PET is a multi-sensor algorithm for the continuous monitoring of convective rain cells. This technique propagates forward in time and space the last available rain rate maps obtained from the Advanced Microwave Sounding Unit (AMSU) and Microwave Humidity Sounder (MHS) observations by tracking MSG-SEVIRI observations. RainCEIV is composed of two modules: a cloud classification algorithm (cloud Classification Mask Coupling of Statistical and Physical methods, C-MACSP) to identify and to characterise the cloudy pixels, and a supervised classifier that defines the rainy areas according to three rainfall intensity classes. Some heavy rainfall events occurred in Italy are analysed by means of PET and RainCEIV. Both these techniques show their ability in the near-real-time monitoring of convective cells formation and their rapid evolution. As quantitative precipitation forecasts by NWP could fail in predicting these heavy rainfall events, tools like RainCEIV and PET shall be adopted by civil protection centres to monitor the real-time evolution of deep convection events in aid to the severe weather warning service.

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[3] Di Paola, F., Ricciardelli, E., Cimini, D., F., Romano, F., Viggiano, M., and Cuomo, V.: Analysis of Catania Flash Flood Case Study by Using Combined Microwave and Infrared Technique, *J. Hydrometeorology*, 1989-1998, 2014.

## Session 12a: Assimilation studies

### 12.01 The effect of NWP model bias on radiance bias correction schemes

*Presenter: John Eyre, Met Office*

*Authors: John Eyre*

Observation bias correction schemes are important components of the data assimilation (DA) systems used in operational numerical weather prediction (NWP). They are used at present mainly to correct for biases in satellite radiance observations and their observation operators. These schemes attempt to remove biases in observations relative to the NWP model background or analysis field. The presence of bias in the NWP model itself can substantially complicate this process. Using a very simple scalar forecast model and DA system, this study explores the extent to which model bias leads to biases in the background and analysis states. Theory is presented for systems that attempt to remove observation bias relative to the background or relative to the analysis. It is shown that background and analysis biases are functions of three parameters: the weights given within the DA system to the observations to be bias-corrected and to the other “anchoring” observations, and the rate at which the NWP model state relaxes towards its own climatology. These effects are quantified for “baseline” values of these three parameters intended to be representative of the current Met Office global NWP system, and for large variations around these baseline values. If the baseline values are indeed representative, then background and analysis biases in the range 0.21-0.33 of the model bias are expected. However, substantial variations are expected within the model domain, depending on variations in observation density, in the balance between bias-corrected and anchoring observations, and in the rate at which the NWP model state relaxes towards its own climatology. Moreover, the effect of model bias on background and analysis biases will increase as more observations are bias-corrected and a smaller proportion are used as “anchor” observations. This has important implications for observation bias correction strategies used in NWP and in reanalyses.

### 12.02 Constrained variational bias correction for satellite radiances assimilation

*Presenter: Wei Han, NWPC/CMA*

*Authors: Wei Han, Niels Bormann, Heather Lawrence*

Satellite radiance observations are typically affected by biases that arise from uncertainties in the absolute calibration, the radiative transfer modeling, or other aspects. These biases have to be removed for the successful assimilation of the data in NWP systems. Two key problems have been identified in bias correction: Firstly, bias corrections can drift towards unrealistic values in regions where there is strong model error and relatively few “anchor” observations, i.e., observations that have little systematic error and therefore allow the separation between model and observation bias. Examples where this has been particularly problematic are channels sensitive to ozone or stratospheric temperature. Secondly, there is undesired interaction between the quality control and bias correction for observations where bias-corrected observation departures are used for quality control and where these departures show skewed distributions (e.g., in case of cloud detection).

In this study, we investigated potential solutions to these ill-posed problems by providing further constraints using potential available information, such as constraints on the size of the bias correction and innovative bias correction metrics using uncertainty estimation from calibration and radiative transfer as regularization. This has been studied in CMA’s GRAPES (Global/Regional Assimilation PrEdiction System) 3D-Var system and the full ECMWF global 4D-Var system, using data from microwave sounders which are sensitive to stratospheric temperature. The data assimilation experiments showed that this scheme improved GRAPES analyses and medium range forecasts. The resulting enhanced bias corrections in the full ECMWF global 4D-Var was assessed in the context of other assimilated observations (in particular radiosondes and GPS radio occultation measurements), and through comparisons of MLS temperature retrieval data in stratosphere and mesosphere in the full ECMWF global 4D-Var system.

The constrained bias correction of AMSU-A stratospheric sounding channels reduces the biases in stratosphere and improves the medium range forecasts in both stratosphere and troposphere.

### **12.03 Radiance bias correction from an alternative analysis**

*Presenter: Benjamin Ruston, NRL*

*Authors: Benjamin Ruston, Nancy Baker, Rolf Langland, and Craig Bishop*

The Naval Research Laboratory (NRL) Marine Meteorology Division (MMD) located in Monterey, CA does the primary development and support of global and regional atmospheric modeling and data assimilation capabilities for the U. S. Navy and broader community. It enjoys a partnership with Fleet Numerical Meteorology and Oceanography Center (FNMOC), which maintains an operational global and regional systems. The global hybrid 4D-Variational data assimilation system for the Navy Global Environmental Model (NAVGEN) is similar to many systems and includes the assimilation of radiances from a variety of radiometers. All of these radiometers use bias correction, which fit the first-guess differences between the observation and NWP model against model thicknesses and quantities derived from scan position. Only the SSMIS sensor adds an additional predictor on orbital position. An examination of the residual biases remaining clearly show systematic patterns for both regions and in some cases diurnal patterns. So the question about how to deal with these residual biases remain. Recently, there has been discussion of this issue from Eyre (2016), and a constrained variational approach proposed by (Chen et al., 2014), and an offline approach using an independent analysis (Buehner et al., 2014). We at NRL are also exploring an offline style approach using dynamic cycling where a parallel DA stream assimilates only observations where no bias correction is applied such as radiosonde and GNSS-RO, to an alternative analysis. This system is just being explored but shows a massive shift in the radiance bias corrections being applied and the verification of the resulting analysis using these bias corrections. Further development is ongoing which further refines the observation error on the observations used in the alternative analysis.

### **12.04 Benefits of using a variational preprocessing approach for the assimilation of satellite radiances: An application to data assimilation in environmental data fusion**

*Presenter: Erin Jones, RTi @NOAA/NESDIS/STAR*

*Authors: Erin Jones, Kevin Garrett, Eric Maddy, and Sid Boukabara*

A new approach to environmental data fusion (EDF) is under development as part of a pilot project at the National Oceanic and Atmospheric Administration's (NOAA) Center for Satellite Applications and Research (STAR). This approach is, in a sense, data fusion at the radiometric level: leveraging NOAA's current data assimilation (DA) systems and incorporating satellite remote sensing algorithms in order to create and observation-driven, 4D, global analysis. At the core of this EDF system is a variational preprocessor that allows for the assimilation of satellite observations impacted by cloud and precipitation, and those over non-ocean surface types. The approach relies on the full radiometric information present in the observations, and is therefore able to simultaneously take advantage of the sensing capabilities of both sounding and imaging channels, where they are present. The control state vector includes all geophysical parameters that might impact the radiometric data, and as the method is informed by sensor sensitivities, greater emphasis is put on multiple-scattering forward and Jacobian operators, removing the reliance on moist physics parameterization schemes. The result is a simplified assimilation process that enables the adjustment of the assimilation background, the removal of meteorological feature displacement, and the assimilation of satellite radiance data that might otherwise be rejected by traditional quality control measures.

### **12.05 Exploring using Artificial Intelligence (AI) for NWP and situational awareness applications: Application to remote sensing and data assimilation/fusion**

*Presenter: Sid Boukabara, NOAA*

*Authors: S. A. Boukabara, E. Maddy, K. Ide, K. Garrett, E. Jones, K. Kumar and N. Shahroudi*

The volume and diversity of environmental data obtained from a variety of Earth-observing systems, has experienced a significant increase in the last couple years with the advent of high spectral, high- spatial and temporal resolutions sensors. At the same time, users-driven requirements, especially for nowcasting and short-term forecasting applications but also for medium-range weather forecasting, strongly point to the need for providing this data in a consistent, comprehensive and consolidated fashion, combining space-based, air-based and surface-based sources, but at higher spatial and temporal resolutions and with low latency. This trend is expected to continue further with the emergence of commercial space-based data from multiple industry players and the advent of flotillas of small satellites (Cubesats) as well as new sources of data (such as Internet of Things IoT) to complement traditional environmental data. Yet, the data volume presents already a significant challenge. Satellite measurements input to data assimilation algorithms for instance, need to be aggressively thinned spatially, spectrally and temporally in order to allow the products generation, calibration, assimilation and forecast system to be executed. Only a fraction of satellite data gets actually assimilated.

Taking full advantage of all the observations, allowing more sources of observations to be used for initial conditions setting, and to do it within an ever shrinking window of assimilation/dissemination, requires exploring new approaches for processing the data, from ingest to dissemination. We present in this study the results of a pilot project's effort to use cognitive learning approaches for numerical weather prediction (NWP) applications. The Google's machine learning open-source tool TensorFlow, used for many Artificial Intelligence (AI) applications, was used to reproduce the performances of remote sensing and data assimilation techniques to fuse data from many sources including satellites, with flexibility to extend to other sources such as IoT. The outcome is a 5D-cubese of parameters to describe the state of the Environment, useful for multiple applications including NWP. The approach relies on training a deep-layer neural network on a set of inputs from NASA's GEOS-5 Nature Run (NR) and corresponding observations simulated based on it using the Community Radiative Transfer Model (CRTM) and other forward operators. The present study demonstrates the proof of concept and shows that using AI holds significant promise in potentially addressing the vexing issue of computational power and time requirements needed to handle the extraordinary volume of environmental data, current and expected. With dramatically lower execution times, we will compare the AI-based algorithm performances to those of a variational algorithm used to perform data assimilation pre-processing and retrievals, as well as compare the AI-based assimilation system to those of an ensemble/variational hybrid data assimilation system used in NOAA operations (GSI) and explore assessing its potential impact on NWP applications. Comparisons will be based on the NR set up and therefore the truth will be known exactly.

## **Session 12b: Assimilation studies**

### **12p.01 Satellite bias correction in limited-area model ALADIN**

*Presenter: Patrik Benacek, The Czech Hydrometeorological Institute*

*Authors: Patrik Benacek, Mate Mile*

Bias correction of satellite radiances is an essential component of data assimilation system in Numerical Weather Prediction (NWP). Variational Bias Correction (VarBC) schemes are widely used by global NWP centres (NCEP, Derber and Wu 1998; ECMWF, Dee 2004), but there are still open questions regarding their use in limited-area models (LAMs). We shall present a study of key VarBC aspects in the limited-area 3D-Var system using the state-of-the-art NWP system ALADIN, which shares its model code with the global system IFS/ARPEGE (ECMWF/Météo-France), and is operationally used at Czech Hydrometeorological Institute. Firstly, we compare the initialization of the VarBC bias parameters using different initialization methods namely a cold-start, a warm-start as well as adopting of the bias parameters from a global model. Secondly, we study the adaptivity of the bias parameters (the VarBC adaptivity) with respect to the limited-area observation sample. Especially polar orbiting satellites provide the data sample that is non-uniform in time and space, leading to the higher sampling variance of the detected radiance bias. Therefore, commonly used settings of the VarBC adaptivity in global models need a revision in LAMs, as pointed out by Lindskog et al. (2012). In order to provide a meaningful satellite bias estimate for LAMs, it is essential to constraint the VarBC adaptivity to reduce the sampling variance. This is achieved by extending of the VarBC stiffness parameter by additional inflation factors considering the limited observation sample and a persistence of the NWP model bias. Finally, the proposed adaptivity approach is evaluated in terms of the bias correction quality and the forecast impact.

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### **12p.02 Comparison between global model and VarBC bias corrections in a UK regional model**

*Presenter: James Cameron, Met Office*

*Authors: James Cameron*

VarBC is used actively in the Met Office's UK regional model since 11 July 2017. In this poster, the effect of applying the most recent global model bias correction in the UK regional model is compared to the

performance of running VarBC regionally. The evolution of VarBC coefficients, the statistics of the fit of observations to the forecast background, and the forecast performances are compared.

### **12p.03 Diagnosis of residual biases in the assimilation of AMSU-A**

*Presenter: Edward Pavelin, Met Office*

*Authors: Ed Pavelin, Bill Bell, Andy Elvidge*

Variational data assimilation schemes generally assume that observations are unbiased relative to the NWP model background. However, even after the observations are calibrated and quality controlled, there can be residual biases relative to the model when they are presented to the assimilation system. Well-established bias correction schemes are used in an attempt to remove systematic biases, primarily due to radiative transfer model error. Nevertheless, even after standard bias correction methods have been used there are residual biases present in the innovations (observation-minus-background departures). The first of a series of studies has been carried out at the Met Office to document and attempt to explain the residual biases found in sounder radiances, starting with AMSU-A. A number of physical mechanisms have been identified that contribute to residual biases, including residual cloud and surface effects, tropical convection and gravity wave breaking. The initial results of this study will be presented together with a discussion of possible strategies for minimising the effects of these biases.

### **12p.04 Assimilating infrared and microwave sounder observations with correlated errors**

*Presenter: Kristen Bathmann, IMSG @NOAA/NCEP/EMC*

*Authors: Kristen Bathmann, Ricardo Todling, Andrew Collard, Wei Gu, John Derber*

Satellite observations are operationally assimilated at the National Centers for Environmental Prediction (NCEP) and are a vital part of the data assimilation system. The Gridpoint Statistical Interpolation (GSI) uses prescribed observation errors for infrared and microwave sounders and assumes that the observation errors of different channels are uncorrelated. To compensate for this, observation errors are inflated, however, in order to produce an optimal analysis, errors and error correlations must be accurately defined. The goal of this study is to enhance the specification of these errors in the operational GSI by improving their estimates and by properly accounting for these inter-channel correlations. The estimation of Infrared Atmospheric Sounding Interferometer (IASI), Atmospheric Infrared Sounder (AIRS), and Advanced Technology Microwave Sounder (ATMS) covariances are detailed in this presentation, as are the impacts of their inclusion in the GSI. The forecast benefits are also assessed after a two month assimilation experiment by verifying against other observations and against ECMWF analyses.

### **12p.05 Accounting for correlated observation error in the assimilation of ATMS**

*Presenter: Peter Weston, ECMWF*

*Authors: Peter Weston, Niels Bormann*

The ATMS temperature sounding channels are affected by  $1/f$  gain fluctuations in the low-noise amplifier. This effect causes the observation errors to become correlated along scanlines which can be seen as a striped pattern in first guess departure maps. In practice, at NWP centres, this has led to the assumed observation errors for ATMS being inflated to give the data less weight in the analysis and to indirectly account for this effect. However, the effect also results in the ATMS temperature sounding channels having stronger diagnosed inter-channel error correlations than the corresponding AMSU-A temperature sounding channels. Hence, another way of indirectly accounting for this effect would be to take account of these non-zero inter-channel error correlations in the assimilation of ATMS data.

In recent years it has been shown that accounting for inter-channel error correlations for the assimilation of infrared sounders is beneficial to NWP analysis and forecast accuracy. Part of this benefit is due to the more aggressive use of the data by using smaller assumed error standard deviations compared to the previously inflated values. Another part of the benefit comes from the situation dependent weighting given to observations depending on which eigenvector the inter-channel structure of the first guess departures maps onto. Both of these benefits should be realised for ATMS too so accounting for correlated observation errors for ATMS has been tested in the IFS at ECMWF.

The error covariance matrix diagnosed using a posteriori methods will be presented and compared to the instrument noise and currently assumed observation errors. It will also be compared to the diagnostics for AMSU-A to highlight the effect of the  $1/f$  noise source. Then the impact of using the full observation error covariance matrix will be assessed by running assimilation experiments.

### **12p.06 Posterior channel selection for satellite radiances with correlated observation error in hybrid 4DVar system (NAVGEM)**

*Presenter: William Campbell, NRL*

*Authors: William F. Campbell*

The vertical observation error covariances for a set of IASI and CrIS channels were estimated using the Desroziers method (Desroziers et al., 2005) and an archive of historical satellite and NAVGEM model data. The resulting error covariance matrices have lower error variance (diagonal of R) and strong correlations (off-diagonal terms), especially in the moisture-sensitive channels, compared to the prior diagonal R. The strong correlations lead to a high condition number, which adversely affect the convergence rate of the solver. Before we can use them for practical data assimilation with our system, they must be reconditioned.

Several different reconditioning methods are explored in our paper (Campbell et al., 2017). They can be used independently from, or in conjunction with the new method outlined here. We identify sets of channels that have very high mutual error correlation from the Desroziers-derived error matrices. The high error correlation means that there is significantly less information that can be extracted, and that what is there is significantly more difficult to extract.

In tests with IASI and CrIS in our Hybrid 4DVar DA system (NAVGEM), we replaced sets of channels with high error correlations by a single channel from each set. The resulting condition number of the error covariance matrices was significantly reduced, so the solver converged faster, and there was no negative impact on forecasts. The forecasts were significantly better than the control, which used a diagonal R with inflated variances.

### **12p.07 Buddy check for radiance with analysis error variance**

*Presenter: Hyoung-Wook Chun, KIAPS*

*Authors: Hyoung-Wook Chun and Ji-Hyun Ha*

This presentation describes a trial of buddy check for radiance data by using analysis error variances in observation space from Desroziers's diagnostic method. The analysis error variances are calculated as the product of increment and analysis departure, so they should normally be positive value at each observation point. But the analysis error variances are negative when the given observation has different signal from other observations in the vicinity because the analysis does not exist between the background and the observation. The process of eliminating observation with large negative analysis error variance is defined as buddy check in this study. The buddy check is adapted for satellite radiance data such as IASI, CrIS, AMSUA, ATMS, and MHS, after first outer loop in data assimilation process of Korea Institute of Atmospheric Prediction Systems (KIAPS). Preliminary result showed a positive impact on temperature and humidity under 850 hPa in assimilation cycle test. We are currently struggling to find more suitable threshold which is reference point to remove negative analysis error variances.

### **12p.08 A decade of improved fits to satellite observations at the Met Office**

*Presenter: Stuart Newman, Met Office*

*Authors: Stuart Newman, Bill Bell and Nigel Atkinson*

The last decade has seen increased volumes of global satellite observations used within NWP and continuous upgrades to the underpinning data assimilation systems. Here long-term trends in background fits to observations are presented, showing examples of improving fits consistent with reduced errors in Met Office short-range NWP forecasts. A focus is given to humidity sensitive channels on microwave and infrared sounders. The contributions to the departures of various sources of observation error, including instrument noise, are considered, shedding light on trends in NWP background error.

### **12p.09 Observation impact diagnostics in an ensemble data assimilation system**

*Presenter: Olaf Stiller, DWD*

*Authors: Olaf Stiller*

This study uses observation impact diagnostics developed for ensemble data assimilation systems to diagnose the impact of observations on the analysis of the operational global ICON-EnVAR system of DWD, focusing in a first step especially on observations like radiosondes, radio occultations and AMSU A radiances.

Optimizing the exploitation of observational data, particularly from remote sensing instruments, is not trivial as they can be affected by a large number of influences such as clouds, trace gases and surface properties. Also, some observational and model properties (such as, e.g., biases or correlated error characteristics) often make the assimilation more difficult and require some mitigation. The identification of such detrimental influences or properties is often difficult. To facilitate the investigation of this issue, novel diagnostic variables have been developed over the last decade which (within some approximation) indicate the impact of individual observations on the forecast quality. This allows the generation of statistics over subgroups of observations which can make it easier to identify problems of a particular observation type.

Such diagnostics have been developed both, in the framework of 4D Var systems (using the adjoint model, Cardinali 2009) and the ensemble Kalman filter (EnKF - using covariances diagnosed by the ensemble, Kalnay et al. 2012 and Sommer&Weissmann, 2016). A major challenge in this context is to assess (verify) the forecast impact. While using a model analysis for the verification has the advantage of a good spatial coverage, Sommer et al. used observations as those are less correlated with the original analysis (from which the forecast was started). Particularly for evaluating short forecast lead times this is important. The interpretation of the results requires care, especially when using observation types like radiosondes whose spatial coverage is very inhomogeneous so that impacts on some regions may be overemphasised compared to others.

The work presented here follows in many respects that of Sommer&Weissmann but considers the LETKF/ENVAR system of the DWD's global Icon model. The main difference, however, is that the current work focuses on the impact on the analysis (rather than on the model forecast) which means that the forecast lead time is effectively set to zero. In principal, this represents a consistency check which indicates whether the impacts on the analysis of two different observation types (the chosen one and the observations used for verification) are consistent. While assessing the impact of observations on the model forecast may be seen as the ultimate goal (which is improving the forecast), starting with the analysis impact has the merit of separating the two problems, whether observations lead to a consistent analysis and to which extent the model can profit from a consistent analysis to make a better forecast. Also, as it is explained, for lead time zero the method can be used to indicate the model bias in the observation space of the observations under consideration provided the bias of the verifying observations can be neglected (as it may be appropriate for radio occultations).

In a first step, to demonstrate the possible benefit and the problems of the method, it is applied to well established observations like radio sondes, radio occultations and AMSU A radiances. While the overall consistency is found to be good for these observations, it is shown how regimes where, e.g. AMSU A radiances and radio occultations, seem to pull the analysis into contradicting directions can be identified with this method. Possible explanations in terms of model or observational biases are discussed.

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#### **12p.10 Data assimilation methodology developments at ECMWF**

*Presenter: Stephen English, ECMWF*

*Authors: S.J. English, P. Browne, M. Bonavita, M. Chrust, P. de Rosnay, A. Geer, J. Goddard, M. Hamrud, E. Holm, S. Lang, P. Laloyaux, P. Lean, O. Marsden, S. Massart, D. Salmond, D. Schepers*

In this poster recent developments of ECMWF's data assimilation will be presented.

ECMWF have developed a C++ code control layer to run the data assimilation in a single executable. This is known as the Object Orientated Prediction System (OOPS). This has previously been applied to data assimilation for simplified models. Over the past two years ECMWF's Integrated Forecast System (IFS) code has been refactored to allow IFS to be called and controlled from OOPS, with a view to using OOPS operationally. The initial goal is to replicate the current atmospheric 4D-Var capability with OOPS. Once this is achieved OOPS will provide a flexible framework that will enable introduction of new data assimilation developments,

including the saddle point weak constraint data assimilation formulation. OOPS is also being applied to other components of the IFS, such as the NEMOVAR ocean data assimilation, and will be applied to the land DA system in due course. During the process of this major technical development project the opportunity has been taken to perform a modernisation of the IFS code base, and this allowed some errors and issues in the IFS code to be found and corrected.

Alongside this major infrastructure project a number of important science improvements have been made to the data assimilation methodology, and several others are being researched. Recent improvements include the application of the wavelet background error formulation, from ECMWF's ensemble data assimilation (EDA), to be extended to humidity. Areas of active development include overlapping 4D-Var 12 hour windows, asymmetric re-centred EDA and an augmented control vector. In addition techniques for coupled ocean-atmosphere data assimilation are being developed and impact for sounder radiances, as described in more detail in the poster by Eresmaa, Lupu, Schepers et al.

### **12p.11 Toward a coupled ocean-atmosphere data assimilation system: First impact examination from the viewpoint of satellite radiances**

*Presenter: Louis Garand, Environment and Climate Change Canada*

*Authors: Sergey Skachko, Louis Garand, Jean Marc Bélanger, François Roy, Ervig Lapalme, and Stéphane Laroche*

Environment and Climate Change Canada (ECCC) has demonstrated that a significant impact on forecasts is obtained as a result of coupling the atmospheric and oceanic models so that the sea surface temperature as well as the ice cover are evolving in forecasts. The next step is to pass trial fields from that coupled model to the assimilation system. This is the object of this presentation. We will show how observations minus background statistics (O-B) for satellite radiances change (hopefully lower values) as well as sea surface temperature increments proposed by the assimilation system. The impact on forecasts will be evaluated. Next steps toward stronger coupling will be highlighted.

### **12p.12 Assimilation of satellite data in a coupled ocean-atmosphere system**

*Presenter: Reima Eresmaa, ECMWF*

*Authors: Reima Eresmaa, Dinand Schepers, Stephen English, Sean Healy, Patrick Laloyaux, Cristina Lupu*

ECMWF has a long record of producing comprehensive re-analyses to provide consistent descriptions of global atmospheric state over extended periods of time. The ongoing trend in the re-analysis is towards increased level of coupling between atmospheric, ocean, land, and sea ice components of the Earth system. At ECMWF, a coupled assimilation system (CERA) has been developed that allows information exchange between different Earth system components at the outer loop level. During development of the CERA assimilation system, the added benefit of the coupling has been demonstrated in the context of improved fit to conventional surface observations and a reduction of initialization shocks in forecasts initialised from a coupled analysis.

Within the ERA-CLIM2 FP7 project, the CERA assimilation is used for the production of a pilot coupled reanalysis for the satellite era (CERA-SAT) spanning 2008-2016. Run with a TL319-L137 atmosphere coupled to a high resolution ocean model, CERA-SAT makes use of the complete available observation system for atmosphere and ocean. Particular focus will be put on analysing the assimilation of sounding satellite observations in the CERA data assimilation framework. With benefits of the coupled assimilation being demonstrated during the project, we expect to implement the coupling in the ECMWF operational system in the next few years.

### **12p.13 Met Office convective-scale satellite data assimilation**

*Presenter: Robert Tubbs, Met Office/Exeter*

*Authors: Bob Tubbs, Graeme Kelly, Gareth Dow, Marco Milan, Bruce Macpherson, Gordon Inverarity, Pete Francis*

The Met Office UKV is a convection-resolving operational NWP system which has 1.5-km resolution over the UK land area. Recent changes to the NWP system are discussed: upgrading the data assimilation to hourly 4D-Var; implementation of variational bias correction (VarBC) for the satellite radiance assimilation; increased domain; changes to satellite thinning and data usage; new observation types, new background-error covariances and changes to the adaptive grid settings. This poster gives an overview of the current status of the UKV and an assessment of the performance improvement provided by the recent upgrades, with a

particular focus on satellite data assimilation. Also included are future plans for convective-scale satellite data assimilation at the Met Office.

### **Session 13a: Sounding science and validation**

#### **13.01 Satellite sounding product characteristic performance and impact of satellite overpass time**

*Presenter: Tony Reale, NOAA*

*Authors: Tony Reale and Bomin Sun*

The NOAA Products Validation System has operated at NOAA STAR since 2008 providing routine compilation of collocated conventional radiosonde and environmental satellite sounding products. These observations provide a benchmark for determining the characteristic performance of satellite derived soundings from different satellites based on a common, global sample of observations. In 2013, NPROVS was expanded to target radiosondes synchronized (dedicated) with satellite overpass including reference observations from the GCOS Reference Upper Air Network (GRUAN), referred to as NPROVS+.

This work characterizes the performance of available hyper-spectral IR sounding products, including from the recently sanctioned Full Spectral Resolution (FSR) NOAA Unique Combined Atmospheric Processing System (NUCAPS), and possible impact of local satellite overpass time on perceived performance. Results are primarily based on common samples of collocated conventional radiosonde and satellite observations from NPROVS. Conventional radiosondes typically occur at the 00Z and 12Z synoptic times, with the S-NPP and MetOp satellites having local overpass times of approximately 1330 and 0930, respectively. Results include analysis of systematic time differences (mismatch) between the synoptic radiosondes and respective satellites as a function of global region, diurnal cycle, season and height and respective impacts on perceived characteristic performance. A specific question addressed is how the impact of local overpass is manifested using relatively small (10-day to sub-seasonal) versus larger (multi-seasonal to multi-year) samples. The NPROVS analytic interface provide good tools for "framing" such studies through multiple sampling options that include respective time difference, satellite, region and seasonal segregations, for example, restricting data to polar regions and concurrent cases of MetOp and S-NPP satellites collocated with the same radiosondes. Results are re-enforced with observations from NPROVS + using sets of available synchronized observations from different satellites available at the same site.

Summarizing, this work demonstrates characteristic performance for MetOp and S-NPP hyper-spectral sounding products including from NOAA, EUMETSAT and NASA using collocations of conventional radiosonde and satellite products routinely compiled in NPROVS. The impact of characteristic performance based on local satellite overpass time is investigated. Results are further supported using collocations with available synchronized, reference radiosondes from NPROVS+.

#### **13.02 Studies using spectral measurements of satellite atmospheric FTIR sounder IRFS-2**

*Presenter: Alexander Polyakov, Saint-Petersburg State University*

*Authors: A.V. Polyakov, A.S. Garkusha, Yu.M. Timofeyev, A.B. Uspensky, Ya.A. Virolainen, A.V. Kuharsky*

The Russian instrument IRFS-2 has been operating on board the satellite "Meteor-M No. 2" for more than 3 years. The spectral data obtained with it are in good agreement with the results of independent measurements; they are stable and characterized by high accuracy. Based on these spectral measurements, a number of results presented in this study have been obtained.

The validation of measurements of vertical temperature profiles by the IRFS-2 instrument (Meteor M N2 satellite) in cloudless conditions based on comparisons with radiosonde measurements and results of NCEP GFS analysis was carried out. For a matched vertical resolution, the average differences in the results of satellite and radiosonde measurements do not exceed 1 K, the root-mean-square differences vary from 1.2 K to 1.8 K, except the lower layer, where they reach -2 K and 4 K, respectively. Above the water surface in the 300-600 hPa pressure range, the root-mean-square difference between the satellite and NCEP GFS data is less than 1K, but above a land it increases up to 1.5-2 K.

A technique for determining the total ozone content (TOC) from IRFS-2 spectra under cloudiness has been developed. The error analysis for the developed technique has shown that the differences between the TOC measured by the IRFS-2 instrument and by independent satellite (OMI) and ground-based (Dobson, Brewer, M-124) instruments are 3-5% as a rule. The greatest differences (up to 10%) are observed in the southern

polar latitudes during observations over Antarctica. The results of TOC measurements show the decrease in ozone content of almost 50% during observations of ozone mini-holes over Russia in the first quarter of 2016.

The studies were supported by Russian Science Foundation (project 14-17-00096).

### **13.03 Sounding science at the Jet Propulsion Laboratory**

*Presenter: Bjorn Lambrigtsen, JPL*

*Authors: Bjorn Lambrigtsen, Joao Teixeira, Thomas Pagano, Eric Fetzer*

The Jet Propulsion Laboratory (JPL) has become one of the premier centers for atmospheric science based on observations from infrared and microwave satellite sounders such as the Atmospheric Infrared Sounder (AIRS) and the Advanced Microwave Sounding Unit (AMSU) as well as aircraft based microwave sounders such as the High Altitude MMIC Sounding Radiometer (HAMSR). We give an overview of capabilities and areas of active research, highlighting the Atmospheric Physics and Weather group, but we also summarize related activities in other groups at JPL and collaborations with other sounding centers in the U.S. The Atmospheric Physics and Weather group provides science support for AIRS on the Aqua platform as well as the Cross-track Infrared Sounder (CrIS) and the Advanced Technology Microwave Sounder (ATMS) on the Suomi-NPP platform and carries out atmospheric research funded through NASA's Research and Analysis (R&A) program. Future sounding systems to address current gaps are also being developed at JPL, such as an IR CubeSat system (CIRAS) and a geostationary microwave sounder (GeoSTAR). We conclude by discussing current and potential collaborations with European organizations.

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## **Session 13b: Retrieved products and validation**

### **13p.01 Retrieval of cloud properties at the infrared instrument FOV using the CO<sub>2</sub> slicing technique**

*Presenter: Michael Chalfant, NOAA/NESDIS/STAR*

*Authors: Michael W. Chalfant and Franklin H. Tilley*

The science presented in this article allows sounding systems using AIRS, IASI and CrIS to retrieve clouds and non-water vapor atmospheric features at the infrared instrument horizontal resolution while taking advantage of the CO<sub>2</sub> channels response to the radiation from different altitudes. This article presents a linear multi-step approach for retrieval of atmospheric features dependent upon the use of microwave data collocated to the infrared instrument field-of-view for generation of a clear radiance. Clouds and non-water vapor atmospheric features detected in the infrared CO<sub>2</sub> channels are used with the clear radiance to generate cloud properties which are used in the cloud clearing process for improved temperature and moisture soundings as the principal objective. The science presented in this article provides for retrieval of cirrus, low height stratus and optically thin semi-transparent atmospheric contamination from non-water vapor sources using a methodology which includes single infrared field-of-view processing and CO<sub>2</sub> slicing. This science includes a new boundary layer function to retrieve low height semi-transparent atmospheric features; a surface albedo correction, for retrieval of non-water vapor atmospheric contamination in the CO<sub>2</sub> channels in daytime land scenarios; single infrared field-of-view cloud clearing; and the generation of a quantitative error, at each infrared field-of-view. Single infrared field-of-view retrieval of cloud and non-water vapor atmospheric features allows for a cloud clearing process where the retrieved cloud amount and cloud top pressure are used as direct input for generation of cloud cleared channels. This research has been directed toward providing a system and scientific basis for generation of cloud products with improved accuracy, geographic coverage and horizontal resolution for use in the cloud clearing process. Improved cloud clearing will have a direct benefit on the retrieval of all products for sounding systems using AIRS, IASI and CrIS instruments.

### **13p.02 Validation of temperature sounding of the atmosphere from a board of «Mereop-M» No 2 satellite (IRFS-2 device)**

*Presenter: Alexander Polyakov, Saint-Petersburg State University*

*Authors: A.V. Polyakov, Yu.M. Timofeev, A.B. Uspensky, A.V. Kukharsky*

The vertical temperature profiles retrieved from spectral satellite measurements of outgoing thermal radiation by the IRFS-2 device («Meteor M No 2» satellite) in cloudless conditions are compared with radiosonde data and results of the NCEP GFS analysis. It is shown that the adjustment of vertical resolutions of various measurements leads to the decrease of a mean square difference between results by 0.2–1 K and more depending on the atmospheric altitude.

In the case of the consistent vertical resolution, average differences between satellite and radiosonde measurements do not exceed 1 K in an absolute value, and mean square differences change from 1.2 K to 1.8 K in free troposphere. In near-surface layer, mean square differences increase up to 4 K. Over the land, mean square differences between satellite measurements and NCEP GFS data (more than 2 K against 1.2–1.8 K for radiosondes) show the worst consent. It is shown that though in the free troposphere over a water surface the mean square difference between satellite and NCEP GFS data less than 1 K, over the land, it reaches 1.5–2 K. In cloudless cases over water surface, the accuracy of IRFS-2 temperature sounding (when comparing with results of the analysis) is close to the accuracy reached for IASI.

The study was supported by Russian Science Foundation (project 14-17-00096).

### **13p.03 Identifying downburst events using INSAT-3D satellite system**

*Presenter: Sanjeev Kumar Singh, NCMRWF*

*Authors: Johny C J, Prasad V S and Sanjeev Kumar Singh*

Indian Space Research organization (ISRO) operates INSAT series of geostationary satellites since nineteen eighties and currently operational satellites in this series are INSAT-3D operating at 82° E and INSAT-3DR operating at 74° E. INSAT-3D systems carries 19-channel Sounder and 6-channel advanced Imager can provide images of earth disk at every 26 minutes. The system with features of accurate sea surface temperature estimation, middle infrared band for night time pictures of low cloud and fog and high spatial resolution in visible and thermal infrared bands can be used in various meteorological applications. The combination of INSAT-3D and INSAT-3DR provide the multi-spectral images of the earth and the atmosphere at every 15-minute interval from Imager and 30-minute interval from the Sounder that ensures more accurate and timely detection of weather parameters around the Indian subcontinent. Convective downdraft events and associated outflow of winds are hazardous and major threat especially in aviation sector. Indices like Microburst wind speed potential index (MWPI) and Dry microburst index are used for identifying these extreme down draft events. Brightness temperature difference between water vapor channel and thermal infrared channel of geostationary satellites also used as indicator for these downdraft events. Lifted index is used for estimating potential of atmosphere for generating extreme thunderstorm events. In this study various indices derived from INSAT-3D satellite system is used to identify these extreme events in atmosphere. Indices computed from INSAT 3D measurements are verified with numerical weather prediction model outputs and surface based observations.

## **Session 14: Space agency reports**

### **14p.01 Updates on CMA meteorological satellite programs**

*Presenter: Peng Zhang, CMA*

*Authors: Peng Zhang*

The current status, future program and latest progress are updated on CMA Fengyun meteorological satellites (FY) in this presentation. Currently, there are 3 FY polar satellites and 4 FY geostationary satellites are on the orbit and 5 of them in the operational.

As for the current status, FY-2E and FY-2G constitute the Geo constellation to provide the full disk scan images in 15 minutes maximum while FY-2F provides the images within 6 minutes maximum in fast scan mode. FY-3B and FY-3C constitutes the Leo constellation to provide the global observation of the Earth four times per day on the middle morning and afternoon orbit.

As for the future program, FY program have been integrated into National Space Infrastructure Plan 2015-2025 (NSIP). In NSIP, a number of atmosphere-related satellites are planned in coming decade as three parts. The first part is the CMA led program, such as FY-2 and FY-4 Geo weather satellites, FY-3 Leo climate and environment satellite. The second part is the CMA engaged program, such as High Resolution Earth Observation Satellite series (GF), Atmospheric Environment Monitoring Satellite series. The third part is the joint R&D program, such as Carbon Observation Satellite program (TANSAT).

FY-4A and TANSAT has been launched last Dec. The on-orbit commission tests of both two satellites have been completed by CMA. The latest progress of these two satellites will be given in this presentation. FY-3D is scheduled to be launched this Sept. The news of FY-3D is also expected to be given in this presentation.

#### **14p.02 An update on EUMETSAT programmes and plans**

*Presenter: Dieter Klaes, EUMETSAT*

*Authors: Dieter Klaes*

The poster will provide information on EUMETSAT mandatory geostationary programmes, mandatory polar programmes. These include current operational programmes (MSG, EPS) and also programmes under development (MTG, EPS-SG). Further more optional and Third party programmes are discussed. These include Jason-2 and Jason-3 and the Copernicus related activities (Sentinel-3, Sentinel-4 and Sentinel-5, Jason-CS (Sentinel-6). Regional missions are discussed as well (EARS).

#### **14p.03 NOAA satellite program update**

*Presenter: Mitch Goldberg, NOAA*

The poster will provide an update on the NOAA satellite program.

#### **14p.04 Status report of space agency: JMA and JAXA**

*Presenter: Kozo Okamoto, JMA*

*Authors: Kozo Okamoto, Misako Kachi and Hidehiko Murata*

This presentation will provide the status and plan of JMA and JAXA program.

#### **14p.05 Status of Russian meteorological satellite programs**

*Presenter: Alexander Uspensky, SRC Planeta*

This presentation will provide an update on the Russian meteorological satellite programs.

### **Session 15a: Future observations**

#### **15.01 IASI-New Generation: Scientific objectives and foreseen validation**

*Presenter: Cyril Crevoisier, CNRS-LMD*

*Authors: C. Crevoisier, F. Smith, C. Clerbaux, V. Guidard, A. Deschamps, T. August and the ISSWG members*

In the framework of the EPS-SG program of EUMETSAT, CNES is currently preparing the IASI-NG (IASI-New Generation) mission that will fly on the ESA Metop-SG-A satellite series. The preparation of this mission, which is now in Phase-C, was a long-term process involving research and operational communities, industry and space agencies. Scientists from 3 communities – NWP, atmospheric composition and climate - first defined the goals of the mission and established the scientific requirements to fulfill these goals (what to measure, with which accuracy, and at what spatial/temporal sampling). They then translated these needs in terms of instrumental specifications (what spectral range, which radiometric performance/spectral resolution, what footprint/horizontal coverage). This led to the selection of an innovative concept proposed by Airbus Defence and Space and based on a Mertz interferometer.

IASI-NG has three main objectives: (i) continuity of the IASI/Metop series that now span more than 10 years, with an envisioned 10 more years to come); (ii) improvement of vertical coverage, especially in the lower troposphere; (iii) improvement of the precision and detection threshold of atmospheric and surface components.

This presentation will detail the studies that have been done by the scientific community, in the framework of the ISSWG (IASI/IASI-NG Sounding Science Working Group), in order to narrow IASI-NG specifications to an improvement of the spectral resolution (factor of 2 as compared to IASI) and the radiometric noise (factor of 2 in the longwave, better in the shortwave and in some spectral regions particularly important for atmospheric composition), and how these choices led to the definition of a baseline for the instrument.

We will also discuss the status of activities that need to be undertaken in order to validate the scientific results that will be achieved by IASI-NG. Forming the IASI-NG validation plan, these activities will be built on existing ground-based, airborne (both aircraft and balloons) as well as satellite instruments in order to validate the full suite of atmospheric and surface variables that will be retrieved by IASI-NG.

### **15.02 A study on the benefits of spatial resolution for next generation infrared hyperspectral sounder instruments**

*Presenter: Likun Wang, University of Maryland*

*Authors: Likun Wang, Yong Chen, and Changyong Cao*

Hyperspectral infrared (IR) radiance measurements from satellite sensors contain valuable information on atmospheric temperature and humidity profiles, greenhouse gases, clouds, and surface characteristics. These measurements are used not only to retrieve atmospheric temperature and humidity profiles, but more importantly, to be directly assimilated into numerical weather prediction (NWP) models as inputs for weather forecasting. Combined with the microwave sounders together, hyperspectral infrared (IR) sounders, provide basic information on atmospheric temperature and humidity for NWP models, especially at the region where conventional weather observations are not available. The current global NWP and regional models have horizontal resolutions of about 16 km. In the near future, it is under plan to further improve the global model resolution to 3-5 km. Given the fact that current operational IR sounders -Crosstrack Infrared Sounder (CrIS) and Infrared Atmospheric Sounding Interferometer -have an field of view (FOV) size of 14 km and 12km at nadir, it is essential to improve spatial resolution of hyperspectral sounder instruments to match NWP model resolutions by reducing the field of view (FOV) size to 8 km or below.

From a perspective of data utilization for NWP models, this study report our recent analysis on scientific benefits of spatial resolution for next generation IR hyperspectral sounders (Wang et al. 2016). First, since clear-sky measurements from IR sounders are mainly used for data assimilation, smaller FOV size will provide more clear measurements for NWP models. Second, smaller FOV size increases scene uniformity, which will reduce the spectral calibration uncertainties of IR spectra. Finally, smaller FOV size affects measurements noise for unapodized IR spectra. Specifically, we will use the Visible Infrared Imager Radiometer Suite (VIIRS) and Moderate Resolution Imaging Spectroradiometer (MODIS) radiance measurements and cloud mask products to test different FOV configurations (including the change of FOV size and number) to examine the statistics how the clear and uniform cloudy scene measurements vary. In addition, theoretical analysis and model simulation will be presented to show effects of the smaller FOV sizes on the instrument noise. The study will provide basic scientific information on spatial resolution for future hyperspectral IR sounder instrument design.

### **15.03 Impact analysis of LEO hyperspectral sensor IFOV size on the next generation high-resolution NWP model forecast performance**

*Presenter: Agnes Lim, CIMSS/SSEC/UW-Madison*

*Authors: Agnes Lim, Allen Huang, James Jung, Zhenglong Li, Federick W Nagle, Greg Quinn, Jack Woollen, Sean B. Healy, Jason Otkin, Mitch Goldberg and Robert Atlas*

Reduced errors in initial conditions and improved forecast models have led to steady improvements of forecast skill in the past three decades. Some of the reductions in initial condition errors come from increases in the quality and quantity of satellite observations. The spatial resolution of satellite observations must increase to maintain its positive influence on forecast skill as Numerical Weather Prediction (NWP) Centers move to higher resolution forecast models. Increasing the spatial resolution of satellite observations and decreasing spatial inhomogeneity in satellite observations is crucial for satellite radiance assimilation.

Some NWP Centers have begun assimilating cloudy radiance observations, but challenges remain before substantial forecast impact from cloudy radiances could be achieved. Infrared radiance observations are very sensitive to clouds and cloud detection plays an important role in the use of hyperspectral infrared sounders. A smaller field-of-view (FOV) will have a higher probability of being cloud free, increasing the percentage of infrared radiance observations to be assimilated and thus potentially making a larger contribution to the quality of the forecast initialization.

To support the National Oceanic and Atmospheric Administration's Joint Polar Satellite System (NOAA/JPSS) Program in planning for the next generation hyperspectral sounder, the impact of FOV size of the hyperspectral infrared sounder such as Cross-track Infrared Sounder CrIS instrument on NWP will be assessed. The NOAA National Centers for Environmental Prediction's (NCEP) Global Data Assimilation system/Global Forecast System (GDAS/GFS) is used, in the presence of the existing observing network, to assess the impact of the CrIS sensor with a smaller FOV size. Impact assessment is performed in a simulated environment, also known as an Observing System Simulation Experiment (OSSE). Observations from current observing network; CrIS observations at both the current and increased resolution are simulated from a known state of the

atmosphere or the Nature Run. The OSSE system is calibrated against the real system to verify that the simulated data impact is comparable to the real data impact. Preliminary results on the impact of increased spatial resolution of CrIS observations will be presented.

#### **15.04 An OSSE investigating a constellation of 4-5 $\mu\text{m}$ infrared sounders**

*Presenter: Will McCarty, NASA Global Modeling and Assimilation Office*

*Authors: Will McCarty, John Blaisdell, David Carvalho, Ronald Errico, Ronald Gelaro, Louis Kouvaris, Isaac Moradi, Steven Pawson, Nikki Prive, Meta Sienkiewicz, Joel Suskind*

NASA is investigating the utility of a strategically-constructed constellation of infrared sounders onboard small satellites to provide spaceborne measurements of wind. The method proposed by instrument teams is to fly multiple instruments in complementary orbits so that atmospheric motion vector measurements can be made. As part of the investigation of this measurement approach, the Global Modeling and Assimilation Office (GMAO) at NASA Goddard Space Flight Center performed a set of Observing System Simulation Experiments (OSSEs) to demonstrate the value of the wind measurements as well as the corresponding infrared radiance observations that will come from the constellation. This work was an extension of the GMAO OSSE infrastructure and is in the context of the MISTIC(tm) Winds concept. It is noted, though, that this provided insight to the overall measurement strategy. This talk addresses the simulation of the atmospheric motion vectors retrieved via the constellation, the simulation and validation of the radiance observations measured via the constellation, the specification of observation errors for both winds and radiances, and the extension of the data assimilation system to utilize these additional observations on top of a full global observing system. Finally, the results from a set of OSSE experiments are presented.

#### **15.05 The TROPICS mission's sounding capabilities**

*Presenter: Ralf Bennartz, UW-Madison*

*Authors: Ralf Bennartz, Bill Blackwell, Scott Braun, Vincent Leslie, Tom Greenwald, James Davies*

NASA's 'Time-Resolved Observations of Precipitation structure and storm Intensity with a Constellation of Smallsats' (TROPICS) mission will consist of six 3U CubeSats, two CubeSats in each of three orbital planes at 550 km altitude and 30° inclination. Each satellite is equipped with cross-track scanning microwave sounders covering the 118 GHz oxygen and 183 GHz water vapor absorption bands as well as channels at 92 and 204 GHz. TROPICS will demonstrate that a satellite constellation approach to earth science can provide improved resolution, configurable coverage (e.g. tropics, near global, or global), flexibility, reliability, and launch access at extremely low cost, thereby serving as a model for future missions.

TROPICS will enable passive microwave observations of tropical cyclones with an unprecedented temporal resolution. It will also allow for temperature and moisture soundings with accuracy similar to current operational microwave sounders. This presentation will give an overview of the TROPICS mission with special emphasis on its sounding capabilities for both retrievals and data assimilation.

#### **15.06 Future opportunities of using microwave data from small satellites**

*Presenter: Fuzhong Weng, NOAA/STAR*

*Authors: Fuzhong Weng, Xiaolei Zou and Zhengkun Qin*

Small satellites carrying onboard microwave instruments include Microsized Microwave Atmospheric Satellite (MicroMAS) [1] which was deployed from International Space Station on March 4, 2015; Microwave Radiometer Technology Acceleration (MiRaTA) [2] and Earth Observing Nanosatellite (EON), both of which carry the multi-band microwave sounder onboard; the five-CubeSat constellation Temporal Experiment for Storms and Tropical Systems (TEMPEST) [3]. Recently, a new mission on Time-Resolved Observations of Precipitation structure and storm Intensity with a Constellation of Smallsats (TROPICS) is developed by NASA. TROPICS is a constellation with 12 CubeSats. The 12-channel microwave radiometer is designed to provide the atmospheric temperature measurements by seven channels near the 118.75 GHz oxygen absorption line, water vapor measurements by three channels near the 183 GHz water vapor absorption line precipitation measurements by a single channel near 90 GHz and cloud ice measurements by a single channel at 206 GHz. This study aims at using the microwave observations for monitoring the fast-evolving weather systems and improving the prediction of the storms through an optimally-designed small satellite constellation. Hurricane Weather Research and Forecast (HWRF) model is used to conduct the impact studies with assimilation of microwave sounder data from future small satellites. For a direct monitoring of storm development, we propose a three dimensional imaging algorithm using all-available microwave sounder radiance data as demonstrated earlier [4].

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## Session 15b: Future observations

### 15p.01 IASI-NG program: General status overview

*Presenter: Francisco Bermudo, CNES*

*Authors: F. Bermudo, E. Jurado, F. Bernard, C. Lefèvre, A. Deschamps, S Guibert*

With notable improvements on spectral and radiometric performances compared with IASI first generation, CNES will develop the New Generation of the Infrared Atmospheric Sounding Interferometer (IASI-NG) key element of the future European meteorological polar system, i.e. the EUMETSAT Polar System Second Generation (EPS-SG).

Within a CNES and EUMETSAT cooperation agreement, CNES has technical oversight responsibility for the development and procurement of the instruments, the definition of instrument in flight operations, the Level 1 data processing software (L1C POP) and the IASI NG Technical Expertise Centre developments. (IASTEC) in charge of the in-flight calibration, validation and continuous performance monitoring. The present paper reports on latest general status overview of IASI NG program

### 15p.02 Overview of the IASI-NG Level 1 processing

*Presenter: Adrien Deschamps, CNES*

*Authors: A. Deschamps, H. Makhmara, C. Luitot, F. Bernard, E. Baldit, A. Penquer, E. Jurado, F. Bermudo*

The development of the IASI-NG System, under responsibility of CNES, includes development and delivery of IASI-NG instruments and Level 0 Processor (ICPU) to be flown on the Metop-SG A Satellites, the development of the Level 1 Processor (L1 POP) as part of the EPS-SG ground segment, and the development of a Technical Expertise Centre (IASTEC) in charge of the in-flight calibration, validation and continuous performance monitoring.

This paper reports on latest scientific developments of the Level 1 Processor concerning both the IASI-NG sounder science data and the internal imager. It presents also the current system performances budget for the main mission requirements.

The Level 1 Processor aims to transform raw interferograms generated by the instrument (LO products) into geo-located and calibrated spectra distributed to end-users (L1C products). This transformation is divided in several steps such as the Zero-Path Difference detection, the radiometric calibration and the instrument removal. This last function performs in one operation the spectral calibration, the equalization of the Instrument Spectral Response Function (ISRF) and an apodization with a truncated Gaussian function.

This operation consists in estimating the ISRF for each spectrum acquisition, taking into account both the spectral shape and the spectral shift induced by the instrument, then replacing this spectral response function by an easily-modeled function (Gaussian) which is the same for all acquisitions. This part of the Level 1 Processor is named ISRF-EM (for ISRF-Estimation Model) and is very different from the processing of the IASI first generation data. We describe in this paper how this estimation is performed and how this algorithm takes

benefits from the devices included in the instrument design, such as the Fabry Perot Interferometer and the five metrology beams.

We present also the functions of the IASI-NG internal imager (IMA) and the main algorithms of the image data processing to perform the pixels geolocation and the scene description within the IASI-NG Field-Of View, based on a radiance classification.

### **15p.03 Introduction to the IASI-NG principal components and L2 operational processor**

*Presenter: Flavia Lenti, CLC Space GmbH*

*Authors: F. Lenti, T. Hultberg, T. August, C. Clerbaux, P. Coheur*

The EPS-SG, EUMETSAT Polar System – Second Generation, will provide continuity of observations after EPS in the 2020–2040 time frame. It is Europe's contribution to the future Joint Polar System (JPS), which is agreed to be established together with the National Oceanic and Atmospheric Administration (NOAA) of the United States, following on from the Initial Joint Polar System (IJPS).

The IASI-NG, Infrared Atmospheric Sounding Interferometer - New Generation, is the successor instrument of the IASI instruments flown on the EPS/Metop satellites. It will provide hyper-spectral infrared soundings of temperature, water vapour, and trace gases with a spectral resolution of 0.25 cm<sup>-1</sup> (twice the spectral resolution of IASI) within the spectral range from 645 to 2760 cm<sup>-1</sup>. The noise figures of the IASI-NG are half the ones of IASI. As for IASI, the footprint at Nadir is about 12km and the observations will be performed at an average spatial sampling distance of 25 km. Similarly as in EPS, IASI-NG will fly with a microwave sounder (MWS, taking heritage from AMSU and MHS) and a high spatial resolution radiometer (METImage, taking heritage from AVHRR and MODIS).

We introduce here the required products with their required accuracy, and give an overview of the processing chains planned to generate the principal components (Level 1D) and the Level 2 (L2) geophysical parameters (Level 2) products in near-real time. These will include temperature and humidity profiles; surface skin temperature over ocean, land and ice; land surface emissivity; dust detection and cloud detection and characterisation ozone profiles as well as air quality and atmospheric composition products: O<sub>3</sub>, CO, HNO<sub>3</sub> profiles, SO<sub>2</sub> characterisation and green-house gases. The IASI-NG L2 processor has a direct heritage from the operational IASI L2 v6 processor, including BRESCIA and FORLI retrieval functions to generate the EUMETSAT AC SAF products.

### **15p.04 Preparing the assimilation of IASI-NG in NWP models: A first channel selection**

*Presenter: Francesca Vittorioso, CNRM/Meteo-France & CNRS*

*Authors: F. Vittorioso, V. Guidard, N. Fourri , J. Andrey-Andres*

The hyperspectral Infrared Atmospheric Sounding Interferometer (IASI), key payload element of the European Meteorological Operational Satellites (MetOp) series, provides since 2007 a huge contribution to Numerical Weather Prediction (NWP), pollution monitoring and climate research with its accurate measurements of atmospheric temperature, humidity and more than 25 other atmospheric components.

As the EUMETSAT Polar System-Second Generation (EPS-SG) is being prepared, a new generation of the IASI instrument has being designed. The IASI New Generation (IASI-NG) instrument will measure at 16921 wavelengths (or channels) in each sounding pixel benefiting of a spectral resolution and a signal-to-noise ratio improved by a factor 2 compared to its predecessor. Measurement precision will be improved as well (1 K for temperature and 10% for humidity). IASI-NG characteristics will lead to huge improvements in detection and retrieval of numerous chemical species and aerosols, and in thermodynamic profiles retrievals.

The high amount of data resulting from IASI-NG will present many challenges, most of all in the areas of data transmission, storage and assimilation. Moreover, the number of individual pieces of information will be not exploitable in an operational NWP context and the choice of an “optimal” data subset will be needed.

For all this reasons, an appropriate IASI-NG channel selection is going to be performed aiming to select the most informative channels for NWP. The work will be carried out on a simulated observation database, containing simulated data for IASI and IASI-NG, produced with specific purpose to serve as a Observing System Simulation Experiments (OSSEs) support.

One-dimensional variational (1D-Var) experiments are used to carry out the channel selection for IASI-NG. As a baseline, retrievals over sea, clear-sky pixels have been realized with the 123 IASI channels used in operational assimilation. However, IASI-NG has a larger channel set and thinner Jacobians. Thus, the best channel selection for this instrument is not necessarily the same as for IASI.

Methodology and results of the channel selection will be described in the presentation.

#### **15p.05 Preparing test data for the IRS Level 2 processor**

*Presenter: Cédric Goukenleuque, EUMETSAT*

*Authors: C. Goukenleuque, T. August, B. Théodore*

The Meteosat Third Generation (MTG) programme is EUMETSAT follow up of the Meteosat Second Generation (MSG), to guarantee continuity of access to space-acquired meteorological data until at least the late 2030s. It consists of three axes stabilised platforms, allowing instruments to be pointed at the Earth for 100% of their in-orbit time. The satellite series will comprise four imaging (MTG-I) and two sounding satellites (MTG-S). The Infra-Red Sounder (MTG-IRS) is based on an imaging Fourier-interferometer with a hyperspectral resolution of 0.625 cm<sup>-1</sup> wave-number. It will deliver hyperspectral infrared measurements over the Full Disk in the Long-Wave InfraRed (LWIR), between 700 and 1210 cm<sup>-1</sup> (14.3–8.3 μm) and in the Mid-Wave InfraRed (MWIR), between 1600 and 2175 cm<sup>-1</sup> (6.25–4.6 μm) with a half-hour repeat cycle over Europe. The IRS spectra will enable the monitoring of atmospheric temperature, humidity, ozone vertical distributions and some other atmospheric constituents such as SO<sub>2</sub>, CO, aerosol, as well as the retrieval of surface parameters: sea-and land- surface temperature and land surface emissivity.

There is a large heritage in hyperspectral infrared missions from Polar orbits (e.g. AIRS, IASI, CrIS) with a number a directly applicable experience to the generation of IRS principal components and Level 2 products. Some aspects specific to the geostationary (GEO) acquisition (e.g. viewing geometry from Nadir to quasi limb sounding, very high temporal sampling, data volume) and to this instrument (spectral resolution and coverage coarser than IASI, high spatial resolution) need to be studied to adapt legacy algorithms, develop new ones where needed and exploit the opportunities that the IRS sensing offers in complement to low-Earth orbit missions. Test data are required more generally also to verify and test the implementation of the prototype and future operational processors. We present here early test data development and results, involving high spatial resolution geophysical state from regional numerical models and simulated data with radiative transfer models to evaluate the domain of applicability of heritage algorithms and prepare for the testing and verification of the IRS L2 prototype processor.

#### **15p.06 Value-added impact from geostationary hyperspectral infrared sounder on high impact weather forecasting – demonstration with quick regional OSSE**

*Presenter: Zhenglong Li, SSEC/UW-Madison*

*Authors: Pei Wang, Zhenglong Li, Jun Li, Agnes Lim, Timothy J. Schmit, Robert Atlas*

Atmospheric water vapor information with high temporal and spatial resolution is one of the key parameters needed in the regional numerical weather prediction (NWP) models for reliable prediction of high impact weather (HIW) events such as tropical cyclones (TCs) and local severe storms (LSS). The high spectral resolution or hyperspectral infrared (IR) sensors from geostationary orbit (GEO) provide nearly time continuous three-dimensional temperature and moisture profiles that allow substantial improvements in monitoring the mesoscale environment for HIW forecasts. These measurements would be an unprecedented source of information on the dynamic and thermodynamic atmospheric fields, an important benefit for nowcasting and NWP based forecasting. In order to demonstrate the impact of GEO high spectral resolution IR sounder radiances on HIW forecasts, a regional quick Observing System Simulation Experiment (R-OSSE) frame has been developed. The first step is to simulate both the GEO and current polar orbit (LEO) satellite based high spectral resolution IR sounder radiances from a suitable high spatial resolution natural runs (NRs). A fast radiative transfer model (RTM) has been developed for radiance simulation, and simulated GEO based hyperspectral IR radiances from NRs are compared with the collocated GOES Imager radiance measurements to examine the quality of the simulation, including channels consistency, diurnal variations, cloud coverage etc. Quick R-OSSEs are performed using the high resolution NRs to investigate the potential value-added impact of a GEO high spectral resolution IR sounder on TC and LSS forecasts. It is found that compared with a LEO based advanced IR sounder, a GEO advanced IR sounder has potential to provide value added impact on both TC and LSS forecasts due to large spatial coverage and high temporal resolution.

### **15p.07 Using CIRAS and MicroMAS-2 to mitigate the data gap of CrIS and ATMS**

*Presenter: Zhenglong Li, SSEC/UW-Madison*

*Authors: Zhenglong Li, Jun Li, Pei Wang, Agnes Lim, Timothy Schmit, Jinlong Li, Frederick W Nagle, Robert Atlas, Sid Boukabara, Thomas Pagano, William Blackwell, and John Pereira*

As the Nation's next generation polar orbiting operational environmental satellite system, the Joint Polar Satellite System (JPSS) will continue observing the atmosphere and earth surface in the afternoon orbit (1330 local overpass time) over the next two decades. These observations will provide critical support toward NOAA's goal of the Weather-Ready Nation. Each of the four JPSS satellites will have a designed lifetime of 7 years, resulting in about 2 years of overlap between two consecutive satellites. However, the current constellation is fragile, with the possibility of a data gap in critical sounder data should the S-NPP satellite fail before JPSS-1 is launched; or in the event of a launch failure before NOAA is able to deploy a more robust afternoon polar-orbiting constellation by the 2020's. Should one or more instruments or launch system fails, it could be detrimental to operational weather forecasts. CubeSat based infrared (IR) or microwave (MW) sounders, with the great advantage of being cost effective, could be used to mitigate the risk of the temporary data gap. For example, the Jet Propulsion Laboratory's (JPL's) Cubesat Infrared Atmospheric Sounder (CIRAS) measures hyperspectral IR radiances in the shortwave CO<sub>2</sub> region near 5 micron. Despite of fewer channels (625 total) and reduced coverage than the Cross-track Infrared Sounder (CrIS), multiple CubeSats could be launched into one or more orbits to increase data usage, while maintaining an overall low cost. In the loss of the Advanced Technology Microwave Sounder (ATMS), the Lincoln Laboratory's Micro-sized Microwave Atmospheric Satellite-2 (MicroMAS-2) could be used to mitigate the risk. In this study, quick regional observing system simulation experiment (OSSE) studies are conducted to 1) evaluate the impact of the CIRAS and MicroMAS-2 on local severe storm forecasts over CONUS, 2) determine whether they are capable of mitigating the risk in losing CrIS or ATMS on JPSS, and 3) determine the optimal configuration of the CubeSat orbits, such as how many orbits and how many CubeSats in each orbit. Details about the CubeSat orbit simulator, the radiance observation simulation, the nature run generation, and the results of the impact study will be presented.

### **15p.08 Level 1 processing for the Microwave Sounder on Metop-SG**

*Presenter: Nigel Atkinson, Met Office*

*Authors: Nigel Atkinson, Niels Bormann, Roger Randriamampianina, Fatima Karbou, Clemens Simmer, Jörg Ackermann, Sabatino Di Michele, Sreerekha Thonipparambil, Dirk Schüttemeyer, Ville Kangas*

The Microwave Sounder (MWS) will fly onboard the Metop-SG satellite "A", due for first launch in 2021. It is a cross-track scanning microwave radiometer, providing a total number of 24 channels from 23 GHz up to 230 GHz. The instrument is being developed by Airbus in the UK.

In preparation for the mission, ESA and EUMETSAT have established a Science Advisory Group (SAG). One of the tasks of the SAG is the preparation of a Science Plan; within the plan, gaps that might exist in the proposed product processing, product format, archiving, dissemination and reprocessing will be identified.

The SAG has made some specific recommendations on the calibration methodology to be used for MWS. The calibration equations proposed have clear traceability to heritage missions (e.g. AMSU-A, MHS), with a polynomial conversion from counts to antenna temperature similar to that found in NOAA level 1b files. But the equations are modified to take account of the scan-angle-dependent antenna reflectivity, in a way that is physically realistic yet without introducing undue complexity. Also, it has been found that the equation traditionally used for the nonlinear term (due to nonlinearity in the power detector) does not correctly account for changes in gain of the receiver front-end components as the instrument ages. An alternative formulation is presented.

Other aspects of the level 1 processing, including antenna pattern correction and monitoring diagnostics, will also be discussed.

### **15p.09 Evaluation of Laser Heterodyne Radiometry (LHR) for Numerical Weather Prediction (NWP) applications**

*Presenter: Fiona Smith, Bureau of Meteorology (and Met Office)*

*Authors: Fiona Smith, Stephan Havemann, Alex Hoffmann, William Bell, Damien Weidmann and Stuart Newman*

Infrared LHRs are very small, very high spectral resolution instruments suitable for launch on small satellites or piggybacking onto larger missions, offering a good alternative to traditional hyperspectral sounders.

In this poster, we explore whether the very high spectral resolution infrared measurements achievable with LHR over narrow microwindows ( $<1 \text{ cm}^{-1}$ ) may theoretically be suitable for future nadir-viewing instruments targeting NWP. Appropriate microwindows with CO<sub>2</sub> lines apt for temperature sounding are identified, and information content analysis used to study the performance of idealized instruments in an operational NWP data assimilation context.